Adherence to guidelines on documentation required for registration to London GP practice websites: a mixed-methods cross-sectional study


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Do London GP Practice Websites Adhere To Guidelines On Documentation Required For Registration? A Mixed-Methods Cross-Sectional Study

How this fits in

Many patients have reported that, contrary to NHS standard operating principles and BMA guidelines, their inability to provide documentation meant they were denied registration at a GP practice. GP practice websites are an important but often unreliable source of information about registration.

We find that 75% of London GP practice websites state that documentation will be involved in the registration process as well as conflating administrative tasks with treatment, asserting that urgent treatment is documentation-dependent, and misinterpreting regulations on immigration status. We demonstrate heterogeneity between policies, which implies that the most stringent policies are excessive, and identify examples of pragmatic good practice (12%) where GP practice websites clearly include a plan for those who cannot provide documentation.

INTRODUCTION

Accessibility is central to the mission of primary care in the United Kingdom (UK). The National Health Service (NHS) Standard Operating Principles in England state inability to provide identification or proof of address is not reasonable grounds to refuse registration. (1)

Primary care is mainly provided by general practitioners (GPs) in the UK. Despite this core value of accessibility, 17% of Londoners are not registered with a GP and the most common obstacle to registration is presenting proof of address. (2) People who are not registered with a GP miss out on
health benefits of primary care, increasing strain on the wider health system; in 2014 over 150,000 patients without a GP attended emergency departments in London hospitals. (3)

Some groups of people are at greater risk of exclusion from primary care than others, particularly homeless people, travellers, sex workers and drugs users, as well as recent arrivals to the UK. (4) Members of these groups report finding it particularly difficult to present the right documents at a GP practice for registration. (4) Charitable organisations such as Homeless Link and Healthwatch report that many patients have been denied registration at GP practices due to their inability to present the correct documentation. (5) Citizens Advice research found that 58% of GP surgeries refused to register patients without specific documentation. (6) Not only are members of excluded groups less likely to have stable accommodation (and therefore proof of address) but they are more likely to struggle with “poor literacy and the subsequent inability to complete applications” and are strongly influenced by small financial penalties. (4) This presents a barrier to completing GP registration forms as well as paperwork for a passport or driver’s licence, and makes the cost of these documents (£75.50 and £34) a significant disincentive. (7) (8) Seventeen percent of British adults do not have a passport and 30% lack a driving licence. (9) (10)

Taken together, these factors suggest some vulnerable groups may be highly influenced in their ability to register with a GP by the information available about practices’ rules on registration documentation. GPs often give such information on their public facing websites, but struggle to keep this information up to date. Practice websites and NHS Choices pages have been shown to include spurious information about staff and opening hours. (11) (12) Local Healthwatch activists have drawn attention to the 19 out of 42 Greenwich GP practice websites that imply documentation is a prerequisite for registration. (13) Studying GP practice websites may be an unreliable way of discovering practices’ true policy, but is the only information that new registrants are likely to access, short of visiting the clinic in person. The way that practices advertise services should be
guided by Good Medical Practice: “When advertising your services, you must make sure the information you publish is factual”. (14 p. 70) Thus, although the GP practice may operate a different policy than it advertises online (which would breach Good Medical Practice guidance), the claim that documentation is required for registration could deter people from trying to register, even if they might encounter a more hospitable approach should they attend the surgery.

**Law and Guidelines**

According to NHS Standard Operating Principles, inability to present proof of address or photo ID is not acceptable grounds to deny a patient registration at a GP practice. (1) This is a reference to the NHS GP contract section 13.7.1 which states “The Contractor may only refuse an application ... if the Contractor has reasonable grounds for doing so”. (15) The British Medical Association (BMA) reiterates the NHS Standard Operating Principles:

“There is no contractual duty to seek evidence of identity or immigration status or proof of address. Therefore practices should not refuse registration on the grounds that a patient is unable to produce such evidence. (16)

It is reasonable for practices to decline patients on the basis that they live out of area, but not to refuse care to those who cannot provide proof of address. (1 pp. p6-9) In addition, the Standard Operating Principles recognise that it may be useful for a practice to ask to see ID, but must specify “what action should be taken when a patient is unable to supply any form of ID.” (15) If a patient states that they live in the area then no other proof is needed.

The NHS London guidelines on registration go even further and are summarised in Table 1.

**Table 1**
The Equality Act 2010 applies to GP practices and is breached by policies that treat people differently or worse because of a protected characteristic such as race. This is summed up in the Standard Operating Principles: “Any practice policy to ask for patient ID should be applied in a non-discriminatory fashion.” (1 p. 8) (2)

Given that GP practice websites are the only available public facing source of information about the GP practice’s registration rules for new patients these are a useful proxy for understanding if practices are adhering to national policies in a non-discriminatory way. We therefore examined GP practice websites in London. We aimed to quantify how often these sites breached guidelines on registration documentation requirements; specifically, how many sites required ID and/or proof of address for registration and failed to offer an alternative policy. We explored how this was explained in the registration information on GP practice websites.

**METHODS**

*Study design*

A cross-sectional analysis of the websites of GP practices in London was undertaken. We used both a quantitative approach and a qualitative approach.

*Selection of GP practices*

Ten London boroughs were selected at random. The names of all GP practices in 10 London boroughs were obtained from Clinical Commissioning Groups. A random number generator was used to select 10 practices from each of these boroughs to generate a representative sample totalling 100 GP surgeries (7.5% of all surgeries in London). (17) Two stages of random sampling
were used to ensure a diverse sample from across London without giving preference to communities with high levels of deprivation. Surgeries were excluded if they focussed on alternative medicine, catered to a particular group (eg homeless people), and or had no website. The random number generator was used to select another practice from the same borough.

**Data collection**

Piloting and development of a proforma (Table 2) took place during July 2017, which was constructed in Microsoft Excel. GP practice websites were accessed between 09-10-2017 and 17-10-2017.

**Table 2**

Where there was uncertainty in strength of wording, the practice was scored as the less strongly worded option.

In addition to the structured data on the proforma, all text relating to registration and documentation was extracted from the website, to be analysed qualitatively.

**Data Analysis**

Structured data were summarised descriptively, due to small numbers.

Qualitative data were analysed using a thematic analysis according to the six stages outlined by Braun and Clarke. (18) Initial coding of the data was conducted by NH and MC. Themes were developed inductively by NH and MC, tested for internal homogeneity and external heterogeneity following Patton (20) and key quotations were selected for inclusion. (19)
Ethical approvals

No ethical approvals were sought, because this study used data which was in the public domain. Practice names are not included in the manuscript.

RESULTS

Quantitative analysis

100 surgeries were included after 12 exclusions.

Sixty-five practice websites mentioned that photo ID was required for registration, and 72 required proof of address. Sixty-two mentioned both and 25 did not mention either. (Figure 1)

Figure 1

Among the 65 that mentioned photo ID, 33 of these (51%) used language such as “required for registration”, 21 (32%) made a request (“please bring”), and 11 (17%) mentioned documentation in passing (“it would help if you could bring”) without a specific request. Of the 72 that mentioned proof of address, 39 (54%) required proof of address, 26 (36%) requested, and 7 (10%) mentioned it in passing.
Only 12 of the 75 websites that mentioned either photo ID or proof of address included a comment suggesting that it might be possible to obtain care without this documentation.

**Figure 2**

<table>
<thead>
<tr>
<th>Practice policies when people lack documents</th>
</tr>
</thead>
<tbody>
<tr>
<td>No policy for people without documentation</td>
</tr>
<tr>
<td>Policy for people without documentation</td>
</tr>
<tr>
<td>Documentation not requested</td>
</tr>
</tbody>
</table>

**Thematic Analysis**

Thematic analysis generated five themes within the language used to describe requirement of documentation for registration, four representing failure to follow guidance, and one indicating positive language and policies which matched SOPs and guidelines.

1. **Heterogeneous demands**

There was diversity between documents required by practices. Although most websites suggested that documentation was involved in registration, only one practice website correctly stated that this was not the case:

“To register and receive treatment at a GP practice: You do not need a fixed address; You do not need identification; Your immigration status does not matter.” (Kensington and Chelsea 9)
There was also heterogeneity among practices that required documents. Some accepted tenancy agreements, others did not.

“WE DO NOT ACCEPT: TENANCY AGREEMENTS/ JOB CENTRE LETTERS/ PAYSLIPS as a proof of address” (Newham 9)

Some accepted mobile phone bills (Kensington and Chelsea 6), whilst many others specifically stated that they did not (eg Camden 8, Newham 8, Haringey 10). One practice went even further, apparently declining landline bills:

“We do not accept telephone or bank statements” (Richmond-upon-Thames 2)

Most practices asked for a driving licence although some stated that it could not be used as proof of address (Camden 3), or could be used as either proof of address or photo ID but not both (Kensington and Chelsea 6). One website specified that a provisional licence was acceptable (Wandsworth 9) but another stated the opposite:

“Learners Driving Licence will not be accepted” (Camden 4)

Two practices accepted student ID, either from University College London (Camden 9) or King’s College London (Westminster 3). Other practices did not mention student cards.

The duration of bills also varied. Many practices asked for a bill from the last 3 months. One website was more stringent, demanding a bill from the last 2 months (Camden 10). Inconsistency was evident on another page which sought proof of address from “the last 3 months” and “An official notification dated within the last calendar month” (Richmond-upon-Thames 4).
This practice is also unusual in requesting consecutive bills, rather than a single recent bill:

“Last 3 months of bills: eg. Gas/Telephone/Electric OR eg. 3 months of bank statements”

(Richmond-upon-Thames 4)

Most practices considered a single bill sufficient proof of address. Demanding serial bills over several months presents a significant impediment to anybody new to the area. Other practice websites asked new patients to provide two bills in addition to photo ID (Kensington and Chelsea 10, Haringey 9), although it seems these could be different bills rather than the consecutive copies of the same bill.

Some websites described policies that are clearly unenforceable:

“all patients aged 16 years and over are requested to provide photographic proof of identity (e.g. passport, photocard driving license) and current proof of address (e.g. utility bill no older than 3 months)” (Haringey 4)

2. Reassuring comments

Several practices already acknowledged that their website could create a barrier to registration for certain patients without documents. One practice recognised that new registrants may not have a medical card, phrasing their request carefully or encouraging patients without the preferred paperwork to speak with receptionists:

“Please note that we are always open to discuss this matter as we are aware that some individuals may have problems providing the requested ID” (Richmond-upon-Thames 2)
One practice even named the receptionist who could be spoken to:

“Where such a letter is not available, we would encourage the prospective patient to talk to our Lead Receptionist [name] who can facilitate registration”. (Haringey 10)

Two neighbouring practices used identical text which included the phrase “If you can not [sic] provide these documents immediately we’ll register you temporarily (for three months) so that you have time to obtain them” (Tower Hamlets 2, Tower Hamlets 4). Another practice website had copied and pasted the NHS London guidance (Richmond-upon-Thames 7) demonstrating awareness of the problem, although it is not easily interpretable to the layperson.

3. **Conflating administration and treatment**

In some practices the distinction between registration and care became blurred. Practice websites claimed that registration was contingent upon the provision of medical documents such as a vaccination history (eg Newham 2, Westminster 8) or even attending a health check:

“You will be required to book for a health check as part of the acceptance procedure” (Newham 7)

“You will need to attend the surgery within 30 days to sign the registration and consent forms and to have your blood pressure checked” (Southwark 2)

One practice website implies a state of partial or incomplete registration prior to a health check, although it is not clear whether this involves withholding treatment:
“We are unable to register you fully until this has been done” (Richmond-upon-Thames 8)

Others simply encouraged attendance at the health check:

“An appointment will be offered with our Health Care Support Worker during your registration.”

(Southwark 3)

Patients were asked to perform some administrative tasks that could easily be performed by the surgery. Two practice websites asked people applying for registration to photocopy their documents before attending (Richmond-upon-Thames 10, Ealing 10). Others implied that registration depended upon patients finding out their NHS number from their last practice (eg Wandsworth 7).

One website reported restrictive timings for registration:

“If you would like to register please come to the surgery between 10:30 a.m. and 11:30 a.m., Monday to Friday” (Newham 5)

The administrative task of getting the preferred documentation seems to supersede the aim of providing treatment according to some practice websites.

4. Withholding treatment

Contrary to the guidelines, some practice websites suggest that emergency treatment will not be provided until documents are provided:
“Until you have submitted your ID you will not be registered at the practice and cannot be seen for any treatment.” (Kensington & Chelsea 1)

One practice explicitly contradicts guidelines by stating that failure to submit ID will delay registration, when patients should instead be registered first and asked for ID at a later date as required.

“Failure to provide these will delay your registration with this surgery.” (Haringey 5)

Another practice website minimises the practice’s responsibility to provide emergency treatment, including people in the process of registering, by offering an alternative:

“If you are very unwell and have no GP you can visit a walk-in centre whilst awaiting registration e.g. [address and phone number given] … Until you have submitted your ID you will not be registered at the practice.” (Westminster 4)

5. Immigration and ethnicity

Two GP practice websites openly admitted to treating British citizens differently from non-British people:

“If you have come from abroad you will need to show us your passport, ID card or Home Office correspondence” (Haringey 6)

“if you have recently arrived in the country, [you will need to bring in] details of your visa entry permissions.” (Southwark 9)
If it was practice policy to require different documents from people based on ethnicity or some undefined notion of having “recently arrived” then this could fail to comply with the *Equality Act 2010*.

One practice misused the term ‘normally resident’ which only applies to secondary care (Haringey 4) and breached NHS London guidelines by making specific demands relating to immigration status:

“*Immigration Status - We require one of the following: An up-to-date passport OR If you are not normally resident in the U.K., a letter from the immigration department stating how long you have been permitted to stay in the country OR If you are not normally resident in U.K. an I.D. card from the immigration department.*” (Haringey 4)

Another practice describes patients being “registered with the NHS” or having “entitlement to NHS”. These are neither formal concepts nor features of the guidelines (Camden 4). This website goes on to use capital letters and potentially menacing language to make stringent demands of people who are new to the UK, suggesting that whoever wrote the website completely misunderstands who is eligible for treatment:

“*If you have never been registered with the NHS before, you will need to provide us with: The exact date, month and year of your entry to the UK PROOF OF ENTITLEMENT TO NHS (NATIONAL HEALTH SERVICE) TREATMENT In order to establish patient’s entitlement to NHS ... Any incorrect information may result in the rejection of your registration from Patient Data Department.*” (Camden 4)

Some practice websites implied registration depended upon nationality by mentioning an “Ethnicity form” (Wandsworth 4) which could be off-putting although it may well refer to a form for collecting equality data. Other practices use vague language allowing receptionists wide discretion:
“if requested be able to provide the following: [ID] ... You may be asked to bring in proof of identity”

(Westminster 10)

“[reception staff] will explain the necessary procedures” (Wandsworth 8)

One practice website denies singling out certain people, implying an understanding of the law, but nevertheless mistakenly suggests that people who are new to the country are ineligible to register with a GP:

“We need to know that you have been resident in the UK for at least the last 3 months. This is our Practice Policy for ALL patients wishing to register with us.” (Richmond-upon-Thames 4)

DISCUSSION

Summary

We aimed to quantify how often websites of London GP practices breached guidelines on registration documentation requirements and to explore what websites said about registration. We found wide disparity in documents required for patient registration between websites. Many examples conflicted with NHS policy. The majority of GP practice websites (75/100) stated that providing documentation was part of the registration process. Only twelve (16%) of these reassured those without documentation that receptionists could help. In our qualitative analysis of website content we found practices made heterogeneous requirements for documents, conflated administrative processes of registration with access to treatment, which may lead to treatment being withheld, and misinterpreted regulations about immigration status or nationality. We also found examples of good practice where GP practices showed flexibility and openness to registrants who could not provide documentation.
Strengths and Limitations

This study is novel in exploring online barriers to GP access in particular whether guidelines are in fact being broken. The study benefits from the clear guidelines (and law) against which information on GP practice websites can be tested and has a large sample of practices. Another strength of this paper is that it undertakes an in-depth textual analysis allowing for identification of good areas of practice.

Although the GMC demands that GP websites are accurate, it is possible that they do not represent actual policy. Likewise, patient perspectives and behaviours have not been investigated. NH and MC practice in primary care informing their analysis with a positive, but not rose-tinted, view of staff. No other staff were interviewed in this analysis. As such the reasons for these policies could not be elaborated from this source of data.

All practices sampled were in London. General practices in other areas in the UK may show different policies because of less transient populations than London, however, local Healthwatch groups have found corroborating stories around the UK.

Comparison with existing literature

Quantitative results:

These quantitative results support the findings of informal local surveys of GP websites by HealthWatch groups elsewhere in the country, which have suggested that these findings may not be confined to London. (13) (20) One limitation of such surveys is that they did not show whether documentation was mentioned in passing (not necessarily a breach of guidelines) or demanded (a definite breach of guidelines). This paper shows that most practice websites mentioning documentation implied it was essential for registration.
Diverse policies:

Most practices stated that ID and proof of address were required, offering no alternative for people without ID, thereby breaching NHS England guidelines. (1) Refusal to accept tenancy agreements and mobile phone bills presents a particular barrier to mobile people, who are also those most likely to need to register at a new practice frequently. One practice requested 3 months of consecutive bills which could preclude registration until patients have spent four months in the area, a particular problem for people with chronic diseases who need regular check-ups.

Conflating Administration and Treatment:

In direct contravention of NHS England guidelines, we found evidence that some practices threatened to withhold treatment until patients provided ID or attended a health check, that patients could only register during 1 hour in late morning, and that patients could not be seen for emergency treatment (1 pp. 10-12). These restrictions on treatment may endanger patients and divert them to the more expensive emergency department.

The alarming suggestion that patients could not be seen for emergency treatment contradicts NHS England guidelines: “General Practices are also under a duty to provide emergency or immediately necessary treatment, where clinically necessary, irrespective of nationality or immigration status. The practice is required to provide 14 days of further cover following provision of immediate and necessary treatment.” (1 p. 4) Refusing to provide treatment endangers patients at worst and at best diverts patients into the expensive emergency department system.

The cost-effective GP system ensures that the emergency department is reserved for people requiring expensive hospital care; preventing people from accessing primary care has expensive knock-on effects. (3) Primary care is under pressure too, potentially suggesting that practices
implement these barriers to reduce the flow of work. Admin teams within GP practices are increasingly praised for coming up with ways of reducing the doctors’ workload (21; 22), but initiatives like asking patients to photocopy their own documents are likely to delay some patients’ registration.

**Immigration and ethnicity**

We demonstrated that the information on some GP websites was legally questionable under the Equality Act. (23) Furthermore, the Health and Social Care Act makes all NHS bodies responsible for tackling inequality. (24) Other practices risked facing accusations of discrimination because they lacked a firm policy but demanded documentation at the receptionists’ discretion. Some misused or invented concepts indirectly discriminating against people from other countries without experience of the NHS. This is despite guidance that immigration status is of no relevance to GP registration (25).

Problems with race and migration may run deeper within the NHS. Racial inequality in the health service is well-documented. (26) (27) The NHS Staff Survey 2015 found that, compared with white staff, Black and Minority Ethnic (BME) staff report equal levels of harassment from the public; but BME staff face higher levels of harassment from colleagues. (28) This study builds on knowledge that there is more work to do to improve NHS staff approach to racial diversity, revealing that in some instances policies are wrongly impairing access to care.

**Implications for research and/or practice**

This paper has revealed a significant diversity in policy, but has also uncovered examples of good practice relating to both local policies and website instructions. In the long term GP practices may all operate their online presence from a central platform such as NHS Choices rather than disparate
independent efforts. (12) One solution to the heterogeneity discovered here would be for all GP practice pages to display a set and approved statement about rights to access, taken from NHS guidelines, and trialled for language and acceptability with members of the public.

This would make it easier to ensure conformity between practice policies and could lead to a single national policy. Future research should ask staff in GP practices why these disparities arise. In the UK, everybody has the right to access primary care and we must ensure that neither GP practice policy, nor erroneous online information, keep patients away.
Table 1: NHS London Guidelines on Patient Registration

<table>
<thead>
<tr>
<th>Guideline</th>
<th>NHS London Guidelines (25)</th>
</tr>
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<tbody>
<tr>
<td>The practice must take steps to ensure a patient receives care and should not withhold treatment from patients who need it.</td>
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<tr>
<td>“Neither registration or appointments to see the doctor should be delayed because of the unavailability of a new patient check appointment”</td>
<td>Page 7</td>
</tr>
<tr>
<td>“Registration and appointments should not be withheld because a patient does not have the necessary proof of residence or personal identification”</td>
<td>Page 6</td>
</tr>
<tr>
<td>“General Practices are also under a duty to provide emergency or immediately necessary treatment where clinically necessary irrespective of nationality or immigration status. They are also required to provide 14 days of further cover following provision of immediate and necessary treatment.”</td>
<td>Page 3</td>
</tr>
<tr>
<td>Immigration status is irrelevant so practices should not even ask about it.</td>
<td></td>
</tr>
<tr>
<td>“Immigration status does not affect eligibility to primary care (see page 7 for secondary care regulations) - practices should not enquire about patients’ immigration status”</td>
<td>Page 9</td>
</tr>
<tr>
<td>“There is no set length of time that a patient must reside in the UK in order to become eligible to receive NHS primary care services. A patient does not need to be “ordinarily resident” in the UK to be eligible for NHS primary care – this only applies to secondary care (see below) The length of time that a patient is intending to reside in an area in the UK dictates whether a patient is registered as a temporary or permanent patient.”</td>
<td>Page 3</td>
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<tr>
<td><strong>Table 2: Data collection proforma</strong></td>
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<tr>
<td>1) Whether or not the website mentioned documents required for registration</td>
<td></td>
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<tr>
<td>2) Whether the documentation required was photo ID or proof of address or both.</td>
<td></td>
</tr>
<tr>
<td>3) Whether documentation was i) necessary for registration (&quot;you must bring&quot;), ii) requested politely (&quot;please bring&quot;), or iii) mentioned in passing (&quot;if you have&quot;).</td>
<td></td>
</tr>
<tr>
<td>4) Whether any alternative was described in the case that such documents were not held by the registrant (reassurance, flexibility).</td>
<td></td>
</tr>
</tbody>
</table>
Funding
This research was unfunded.

Ethical Approval
Ethics approval was not sought as all websites were in the public domain.

Competing Interests
None declared.

References


