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Article (Accepted Version)

Hernandez-Pacheco, Natalia, Farzan, Niloufar, Francis, Ben, Karimi, Leila, Repnik, Katja, Vijverberg, Susanne J, Soares, Patricia, Schieck, Maximilian, Gorenjak, Mario, Forno, Erick, Eng, Celeste, Oh, Sam S, Pérez-Méndez, Lina, Berce, Vojko, Tavendale, Roger et al. (2019) Genome-wide association study of inhaled corticosteroid response in admixed children with asthma. *Clinical & Experimental Allergy*, 49 (6). pp. 789-798. ISSN 0954-7894

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# Genome-wide association study of inhaled corticosteroid response in admixed children with asthma

*Running title: GWAS of ICS response in asthmatic admixed children*

**Total word count of the manuscript:** 3,814 words

**Tables and figures:** 3 figures and 2 tables

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1 **ABSTRACT**

2 **Background.** Inhaled corticosteroids (ICS) are the most widely prescribed and effective  
3 medication to control asthma symptoms and exacerbations. However, many children still have  
4 asthma exacerbations despite treatment, particularly in admixed populations, such as Puerto  
5 Ricans and African Americans. A few genome-wide association studies (GWAS) have been  
6 performed in European and Asian populations, and they have demonstrated the importance of  
7 the genetic component in ICS response.

8 **Objective.** We aimed to identify genetic variants associated with asthma exacerbations in  
9 admixed children treated with ICS, and to validate previous GWAS findings.

10 **Methods.** A meta-analysis of two GWAS of asthma exacerbations was performed in 1,347  
11 admixed children treated with ICS (Hispanics/Latinos and African Americans), analyzing 8.7  
12 million genetic variants. Those with  $p \leq 5 \times 10^{-6}$  were followed up for replication in 1,697  
13 asthmatic patients from six European studies. Associations of ICS response described in  
14 published GWAS were followed up for replication in the admixed populations.

15 **Results.** A total of 15 independent variants were suggestively associated with asthma  
16 exacerbations in admixed populations ( $p \leq 5 \times 10^{-6}$ ). One of them, located in the intergenic  
17 region of *APOBEC3B* and *APOBEC3C*, showed evidence of replication in Europeans  
18 (rs5995653,  $p = 7.52 \times 10^{-3}$ ) and was also associated with change in lung function after  
19 treatment with ICS ( $p = 4.91 \times 10^{-3}$ ). Additionally, the reported association of the *L3MBTL4-*  
20 *ARHGAP28* genomic region was confirmed in admixed populations, although a different  
21 variant was identified.

22 **Conclusions & Clinical Relevance.** This study revealed the novel association of *APOBEC3B*  
23 and *APOBEC3C* with asthma exacerbations in children treated with ICS and replicated  
24 previously identified genomic regions. This contributes to the current knowledge about the  
25 multiple genetic markers determining responsiveness to ICS which could lead in the future

26 the clinical identification of those asthma patients who are not able to respond to such  
27 treatment.

28 **Keywords:** African American, childhood asthma, exacerbations, Latino, pharmacogenomics.

## 29 INTRODUCTION

30 Asthma is the most common chronic condition in children and young adults. In addition  
31 to the direct impact of the illness on the individual, severe exacerbations of asthma generate  
32 considerable economic costs to healthcare systems, as well as work and/or school absenteeism  
33 [1].

34 Inhaled corticosteroids (ICS) are the most effective and commonly prescribed  
35 medications for symptom control and prevention of severe asthma exacerbations [1]. While  
36 most children using ICS experience a decrease in their asthma symptoms, 30-40% will  
37 continue to experience exacerbations, and of these non-responders, 10-15% may even have an  
38 increase in their exacerbations [2]. High variability in ICS response has been described also  
39 among ethnicities [3]. In addition to high asthma morbidity, exacerbations rates and mortality,  
40 admixed populations have reduced ICS response [4]. These strong ethnic differences suggest  
41 a substantial hereditary component in the ICS response [5]. In fact, approximately 40-60% of  
42 the variation in ICS response may be due to genetic factors [6].

43 For several decades, pharmacogenetic studies have utilized candidate-gene approaches,  
44 which only evaluate a small portion of the genetic variation. More recently, these have  
45 evolved towards hypothesis-free approaches by implementing genome-wide association  
46 studies (GWAS) [7]. Eight GWAS of ICS response have been performed to date [8-15],  
47 revealing an association between 14 genomic regions and this trait.

48 However, the polymorphisms identified by GWAS to date only represent a small  
49 proportion of the heritability of ICS response, and hence it is not possible to predict an  
50 individual's response to this treatment [16]. The design of the GWAS performed to date may  
51 be the main reason, where analyses are statistically underpowered to detect genetic  
52 associations. Most GWAS of ICS response have included a relatively small number of



53 individuals (N<1,000) of primarily European and, to a lesser extent, Asian ancestry, with poor  
54 representation of admixed populations [4], which include Hispanics/Latinos and African  
55 Americans. However, the increased asthma prevalence among admixed individuals with  
56 African ancestry, such as Puerto Ricans and African Americans, and the greater genetic  
57 diversity and specific genetic background of these populations present a unique opportunity to  
58 study the response to ICS treatment in asthma [3-4].

59 We hypothesized that a large pharmacogenetic study of ICS response in admixed  
60 individuals with asthma that exhaustively explores the association of genetic variants across  
61 the genome could reveal novel genes associated with this trait. We also attempted to evaluate  
62 whether the associations described in GWAS performed in European and Asian populations  
63 could be generalized to admixed populations.

## 64 **METHODS**

### 65 **Study Populations**

66 A total of eight independent studies participating in the Pharmacogenomics in  
67 Childhood of Asthma (PiCA) consortium [17] were analyzed as part of discovery and  
68 replication phases of this meta-GWAS. Individuals from two admixed populations were  
69 included in the discovery phase: the Genes-environments & Admixture in Latino Americans  
70 Study (GALA II) and the Study of African Americans, Asthma, Genes and Environments  
71 (SAGE). Samples from six European PiCA studies were used for replication. All studies have  
72 been approved by their local institutional review boards and all participants/parents provided  
73 written informed assent and consent, respectively. GALA II and SAGE were approved by the  
74 Human Research Protection Program Institutional Review Board of the University of  
75 California, San Francisco (San Francisco, United States) (ethics approval numbers: 217802  
76 and 210362, respectively). PACMAN was approved by the Medical Ethics Committee of the  
77 University Medical Centre Utrecht (Utrecht, the Netherlands). The Tayside Committee on  
78 Medical Research Ethics (Dundee, United Kingdom) approved BREATHE. PASS was  
79 approved by the Liverpool Paediatric Research Ethics Committee (Liverpool, United  
80 Kingdom) (reference number: 08/H1002/56). SLOVENIA was approved by the Slovenian  
81 National Medical Ethics Committee (Ljubljana, Slovenia). ESTATe was approved by the  
82 Medische Ethische Toetsings Commissie, Erasmus Medical Center (Rotterdam, the  
83 Netherlands) (ethics approval number: MEC-2011-474). followMAGICS was approved by  
84 the Ethik-Kommission der Bayerischen Landesärztekammer (Munich, Germany) (ethics  
85 reference number: 01218).

86 *Discovery phase*

87 Patients from the GALA II and SAGE studies with a physician diagnosis of asthma who  
88 reported having active symptoms and asthma medication use within the last year were  
89 analyzed in the discovery phase. These are two independent studies focused on two different  
90 racial/ethnic groups based on the self-identified ethnicity of the four grandparents of each  
91 subject: Hispanics/Latinos (GALA II) and African Americans (SAGE). Both studies recruited  
92 unrelated children and young adults, aged 8 to 21 years old, using the same protocol and  
93 questionnaires from different areas in the United States. GALA II also recruited individuals in  
94 Puerto Rico [18].

95 Analyses were performed for a subset of 854 subjects from GALA II and 493  
96 individuals from SAGE. Specifically, we assessed self-reported ICS use, age, gender,  
97 genome-wide genotypic data [19-20], and information regarding presence or absence of  
98 severe asthma exacerbations, as defined by the European Respiratory Society (ERS) and the  
99 American Thoracic Society (ATS) [21]. We examined exacerbations that occurred during the  
100 12 months preceding the study enrollment (need to seek emergency asthma care,  
101 hospitalizations or the administration of oral corticosteroids).

102 *Replication phase*

103 Validation was carried out in European individuals from six independent studies  
104 participating in the PiCA consortium: the follow-up stage of the Multicenter Asthma Genetics  
105 in Childhood Study (followMAGICS); the Pharmacogenetics of Adrenal Suppression study  
106 (PASS); Pharmacogenetics of Asthma Medication in Children: Medication with Anti-  
107 inflammatory effects (PACMAN); Effectiveness and Safety of Treatment with Asthma  
108 Therapy in Children (ESTATe); BREATHE and SLOVENIA studies. Details for each study  
109 are described in the Supporting Information.

110 The use of ICS and availability of data related to the presence/absence of asthma  
111 exacerbations during the previous 12 or 6 months were also applied as inclusion criteria for  
112 the individuals from these studies analyzed in the current study, whereas non-availability of  
113 data related to ICS use, asthma exacerbations, age, gender and genotype data were considered  
114 as exclusion criteria. For those studies without data related to the events included in the  
115 ATS/ERS definition of asthma exacerbations, information regarding school absences,  
116 unscheduled general practitioner (GP) or respiratory system specialist visits was also  
117 considered.

### 118 **Genome-wide genotyping, genetic ancestry assessment and imputation**

119 Both GALA II and SAGE samples were genotyped using the Axiom® LAT1 array  
120 (Affymetrix Inc.), and quality control (QC) procedures were performed as described  
121 elsewhere [19-20]. Genotyping of the subjects included in the replication phase was  
122 performed on different genotyping platforms, as described in previous publications (see  
123 Supporting Information) (**Table S1**). In addition, four of the studies were genotyped for the  
124 purposes of the PiCA consortium and their QC is described in the Supporting Information.

125 Genetic ancestry was assessed by means of Principal Component (PC) analysis with  
126 EIGENSOFT 6.14 for the studies included in both discovery and replication phases [22].  
127 Quantitative global genetic ancestry estimates were also obtained for the populations included  
128 in the discovery phase. An unsupervised model was applied using ADMIXTURE [23],  
129 assuming the European (CEU), African (YRI) and Native American (NAM) as the parental  
130 populations for the Hispanics/Latinos and YRI and CEU for African Americans. For that,  
131 reference haplotypes from CEU and YRI populations from the HapMap Project Phase III [24]  
132 were used. Moreover, haplotypes from individuals genotyped with Axiom® LAT1 array

133 (Affymetrix Inc.) were considered as reference for NAM population, as described elsewhere  
134 [19, 25].

135 In all the studies, imputation was carried out by means of the Michigan Imputation  
136 Server (<https://imputationserver.sph.umich.edu>) using the second release of the Haplotype  
137 Reference Consortium (HRC) (r1.1 2016) as reference panel [26]. Haplotype reconstruction  
138 and imputation were performed with SHAPEIT v2.r790 [27] and Minimac3 [28],  
139 respectively.

#### 140 **Association testing and meta-analysis in the discovery phase**

141 GWAS analyses were carried out separately for GALA II and SAGE. Logistic  
142 regressions were used to evaluate the association between genetic variants and ICS response  
143 by means of the binary Wald test implemented in EPACTS 3.2.6 [29]. The presence or  
144 absence of any asthma exacerbations during the last 12 or 6 months in patients treated with  
145 ICS was considered as a measure of ICS response, which was evaluated as a binary variable.  
146 Age, gender, and the first two PCs, obtained with EIGENSOFT 6.14 [22], were included as  
147 covariates in the regression models. The number of PCs included as covariates was chosen  
148 based on the comparison of different models that included up to 10 PCs, showing that results  
149 based on 2 PCs had the best fit with the expected values under the null hypothesis of no  
150 association.

151 Single nucleotide polymorphisms (SNPs) with a minor allele frequency (MAF) $\geq 1\%$  and  
152 with imputation quality (Rsq) $\geq 0.3$  in GALA II and SAGE, and shared among both  
153 populations were meta-analyzed using METASOFT [30]. Fixed-effects or random-effects  
154 models were selected for each variant depending on absence or presence of heterogeneity,  
155 respectively, which was assessed by means of the Cochran Q-test. A threshold of  $p$ -  
156 value  $\leq 5 \times 10^{-6}$  was arbitrarily set to select variants suggestively associated with asthma

157 exacerbations, since this threshold is commonly adopted in GWAS studies [31-35]. Among  
158 those variants, independent associations were detected by means of logistic regression  
159 analyses conditioned on the most significant SNP of each locus using R 3.4.3 [36]. This  
160 analysis provided a list of independent variants that were followed up for replication.

### 161 **Association testing and meta-analysis in the replication phase**

162 Statistical analyses were performed following the same methodology as in the discovery  
163 phase, except for the definition of asthma exacerbations available in each study and the  
164 number of PCs included as covariates in the association analyses (**Table S1**). Evidence of  
165 replication was considered for those SNPs that showed a combined  $p$ -value  $\leq 0.05$  in a meta-  
166 analysis of all the European studies and consistent directions of effects in both discovery and  
167 validation populations.

### 168 **Association with ICS response measured as change in FEV<sub>1</sub>**

169 SNPs significantly associated with asthma exacerbations in both admixed and European  
170 populations, were evaluated for association with the change in the forced expiratory volume  
171 in 1 second (FEV<sub>1</sub>) after 6 weeks of treatment with ICS in 166 ICS users from the  
172 SLOVENIA study, the only cohort included in the analyses with this outcome measured. This  
173 variable was dichotomized to define responders and non-responders to ICS treatment using a  
174 cutoff of  $\geq 8\%$  improvement of FEV<sub>1</sub>, which has been established as a good predictor of  
175 asthma severity in children [37]. Logistic regression models were applied including age,  
176 gender, and the first two PCs as covariates.

### 177 **Functional evaluation of variants associated with ICS response**

178 Functional annotation and evidence of significant expression quantitative trait loci  
179 (eQTL) were searched with HaploReg v4.1[38] based on data provided by the Encyclopedia

180 of DNA Elements (ENCODE) project [39]. This was performed for the SNP associated with  
181 ICS response in admixed and European populations and those in high linkage disequilibrium  
182 (LD) ( $r^2 > 0.9$ ) according to African populations from the 1000 Genomes Project (1KGP) data  
183 incorporated by HaploReg v4.1. Gene expression was inspected using the Portal for the  
184 Genotype-Tissue Expression (GTEx) [40] and the Gene Expression Atlas [41]. Moreover,  
185 evidence of association with enhancers was searched using the multiple sources available  
186 from GeneHancer [42].

### 187 **Validation of previous associations in admixed populations**

188 Since previous GWAS of ICS response have focused on European and Asian  
189 populations [8-15], we attempted to validate their results in admixed populations. A total of  
190 25 SNPs near or within 14 genes declared as associated with ICS response [8-14], were  
191 followed up for replication in GALA II and SAGE.

192 Replication was attempted at the SNP level and also as genomic region, the latter  
193 considering variants located within 100 kilobases (kb) upstream or downstream from the gene  
194 where the variant was located or from the two closest genes in case the variant was intergenic.  
195 Evidence of replication was considered for SNPs nominally associated with ICS response  
196 ( $p \leq 0.05$ ) that had the same direction of the effect as the published GWAS. For the replication  
197 at level of genomic region, a Bonferroni-like correction was applied to account for the  
198 number of independent variants tested within each genomic region, as estimated with  
199 empirical autocorrelations based on Markov Chain Monte Carlo (MCMC) simulations. For  
200 this, an autocorrelation matrix was obtained based on the  $-\log_{10} p$ -value of each SNP analyzed  
201 using the *effectiveSize* function from the R package *coda* [43], as described elsewhere [44].  
202 According to this, a Bonferroni-corrected significance threshold was estimated for each  
203 genomic region with  $\alpha = 0.05/\text{number of independent variants}$ .

## 204 RESULTS

### 205 Characteristics of the study populations

206 The characteristics of the 1,347 admixed asthmatic patients from GALA II and SAGE  
207 analyzed in the discovery phase and the 1,697 Europeans subjects included in the replication  
208 are shown in **Table 1** and **Table S1**, respectively. In terms of estimates of global ancestry in  
209 the admixed populations, Hispanics/Latinos had 13.6% African ancestry, 51.5% European  
210 ancestry and 34.9% Native American ancestry. In contrast, African Americans had 79.4%  
211 African admixture and 20.6% European ancestry. Hispanics/Latinos reported a higher  
212 proportion of asthma exacerbations in the 12 months preceding study enrollment (66.4%) than  
213 African Americans (51.9%). Although asthma exacerbations were differentially defined in the  
214 validation populations, similar proportions were found across the discovery and replication  
215 studies, except for PACMAN and SLOVENIA, with values of 11.0% and 34.1%, respectively  
216 (**Table S1**).

### 217 Discovery phase

218 The meta-analysis of the GALA II and SAGE GWAS included 8.7 million SNPs that  
219 were shared among Hispanics/Latinos and African Americans and had  $MAF \geq 1\%$  and  
220  $R_{sq} \geq 0.3$ . The Q-Q plots of the association results for each individual study (**Figure S1A** and  
221 **Figure S1B**) and those obtained after combining both admixed populations did not reveal  
222 major genomic inflation due to population stratification ( $\lambda_{GC} = 1.04$ , **Figure S1C**). Although  
223 the genome-wide significant threshold ( $p\text{-value} \leq 5 \times 10^{-8}$ ) was not reached by any of the  
224 variants, 27 SNPs with  $R_{sq}$  values ranging from 0.59-1.00 and located near or within 13 loci  
225 were suggestively associated with asthma exacerbations despite the use of ICS ( $p\text{-value} \leq 5 \times 10^{-6}$ )  
226 <sup>6</sup>) in admixed children and young adults (**Figure 1** and **Table S2**).



227 After performing pairwise regression models conditioned on the most significant variant  
228 for each locus with at least two suggestive associations, one independent variant was detected  
229 per locus, except for *APOBEC3B-APOBEC3C* and *ANKRD30B*, where two SNPs remained  
230 significant after conditioning on each gene's most significant variant (**Table S3**). As a result,  
231 15 SNPs were identified as independently associated with ICS response in admixed  
232 populations (**Table S3**) and were followed up for replication.

### 233 **Replication phase**

234 Of the 15 SNPs selected for replication in Europeans, 11 SNPs had a  $MAF \geq 1\%$  and  
235  $Rsq \geq 0.3$  (ranging from 0.36-1.00) in Europeans and were forwarded for replication (**Table 2**).  
236 Of those, rs5995653, located within the intergenic region of *APOBEC3B* and *APOBEC3C*  
237 (**Figure 2**), showed evidence of nominal replication after combining the European studies. To  
238 check that the association of this SNP in the admixed populations was not confounded by  
239 unaccounted components of ancestry, different regression models were tested including  
240 estimates of genetic ancestry, different number of PCs, or following the method described by  
241 Conomos *et al.* [45], which provided similar results (**Table S4**). The direction of effect for  
242 this SNP was the same in Europeans (OR for A allele: 0.76, 95% CI: 0.62-0.93,  $p = 7.52 \times 10^{-3}$ )  
243 as in the admixed samples (OR for A allele = 0.66, 95% CI: 0.56-0.79,  $p = 4.80 \times 10^{-6}$ )  
244 (**Table 2**). A meta-analysis of this SNP across the two phases resulted in a suggestive  
245 genome-wide significant association (OR for A allele = 0.70, 95% CI: 0.61-0.81,  $p = 3.31 \times 10^{-7}$ ,  
246 **Figure 3**).

### 247 **Association of rs5995653 with ICS response measured as change in FEV<sub>1</sub>**

248 The SNP rs5995653 was significantly associated with a positive response to the ICS  
249 treatment in SLOVENIA, measured as an increase of FEV<sub>1</sub> (OR for A allele = 2.16, 95% CI:

250 1.26-3.70,  $p = 4.91 \times 10^{-3}$ ), which is concordant with the protective effect of this SNP with  
251 asthma exacerbations in both discovery and validation studies.

### 252 ***In silico* functional role of the novel association detected**

253 The experimental data provided by the ENCODE project shows that the SNP rs5995653  
254 is located within a histone H3 lysine 4 mono-methylation (H3K4me1) mark of an active gene  
255 enhancer and a DNase hypersensitivity site in blood cells [39]. This is concordant with the  
256 GeneHancer evidence that *APOBEC3B* has been associated with enhancers that regulate  
257 multiple transcription factor binding sites, indicating its involvement in the regulation of gene  
258 expression in different cell types, including lung fibroblasts [42]. Moreover, this variant is  
259 also in high LD with several eQTL in blood cells associated with the expression of  
260 *APOBEC3A* (rs9607601:  $p=1.80 \times 10^{-13}$  and rs5995654:  $p=9.10 \times 10^{-14}$ ), *APOBEC3G*  
261 (rs9607601:  $p=0.003$ ), and *CBX6* (rs9607601:  $p=3.94 \times 10^{-4}$  and rs5995654:  $p=4.00 \times 10^{-4}$ ) [38-  
262 39, 46]. In addition, previous functional studies have evidenced high levels of gene expression  
263 of both *APOBEC3B* and *APOBEC3C* in pulmonary cells (GTEx) [40-41].

### 264 **Validation of previous associations of ICS response**

265 None of the 25 SNPs previously associated with ICS response was consistently  
266 associated with asthma exacerbations in admixed populations (**Table S5**). To assess whether  
267 the lack of replication of previous GWAS hits could be due to the association of alternative  
268 genetic variants among different populations, a replication analysis was also performed at  
269 genomic region level. A total of 36,261 variants located within 100 kb upstream and  
270 downstream from 14 loci previously associated with ICS response were evaluated. After  
271 applying a Bonferroni-like correction for the number of variants analyzed within each  
272 genomic region, suggestive associations were observed for nine SNPs near three genomic  
273 regions: *ALLC* (min  $p$ -value =  $4.69 \times 10^{-4}$  for the SNP rs113903375), *L3MBTL4-ARHGAP28*

274 (min  $p$ -value =  $1.57 \times 10^{-5}$  for the SNP rs62081416), and *ELMO2-ZNF334* (min  $p$ -value =  
275  $3.56 \times 10^{-4}$  for the SNP rs2425845) (**Table S6**). However, applying a more restrictive  
276 correction for the total number of independent variants across all genomic regions ( $p$   
277  $\leq 1.71 \times 10^{-5}$  for 2,916 independent variants tested), only the association of rs62081416, located  
278 within the intergenic region of *L3MBTL4* and *ARHGAP28*, was significantly associated with  
279 ICS response in admixed individuals (OR for A allele = 2.44, 95% CI: 1.63-3.65,  $p = 1.57 \times 10^{-5}$ ).  
280

## 281 **DISCUSSION**

282 In this study, we carried out the first GWAS of ICS response in Hispanic/Latino and  
283 African American children and young adults with asthma. After combining the association  
284 results from these two populations, 15 independent suggestive association signals were  
285 associated with asthma exacerbations despite use of ICS, and one of them showed evidence of  
286 nominal replication in Europeans. This SNP was also significantly associated with an increase  
287 in FEV<sub>1</sub> after 6 weeks of treatment with ICS in one of the European studies where this  
288 outcome was measured. These results revealed for the first time the association of  
289 *APOBEC3B* and *APOBEC3C* genes with ICS response in asthmatic children and young  
290 adults. Additionally, we validated the association of the *L3MBTL4-ARHGAP28* genomic  
291 region in admixed populations, which was previously described in a GWAS of ICS response  
292 in subjects of European descent.

293 The *APOBEC3B* and *APOBEC3C* genes encode two members of the apolipoprotein B  
294 mRNA-editing catalytic polypeptide 3 (APOBEC3) family. APOBEC3 proteins are involved  
295 in RNA editing through the deamination of cytidine to uracil [47]. Their main function is  
296 related to innate immunity and are considered important restriction factors against a broad  
297 range of viruses [48]. However, APOBEC3 proteins are also involved in cellular processes  
298 related to mutagenic activity [49], including the development of several types of cancer, while  
299 *APOBEC3B* specifically has been associated with an increased risk of lung cancer [50].

300 We found that the A allele of rs5995653, located 5.8 kb from the 3'UTR of  
301 *APOBEC3C*, showed a protective effect against asthma exacerbations and was associated  
302 with improvement on FEV<sub>1</sub> in patients treated with ICS. While no asthma-related functions  
303 have been attributed to any of the *APOBEC3* flanking genes, evidence of high levels of RNA  
304 expression has been found in pulmonary fibroblasts for both genes [40-41]. Furthermore, the  
305 functional evidence found for rs5995653 suggests that this SNP plays a key role in regulating

306 the expression of genes involved in several cellular processes in the lung. Interestingly,  
307 respiratory viral infections are important risk factors for exacerbations in asthmatic children  
308 [51]. This fact is concordant with the consistent function of *APOBEC3B* and *APOBEC3C* as  
309 restrictors of viral infections, suggesting that the expression of these genes in pulmonary  
310 tissues could be involved in fighting against viral-induced asthma exacerbations in patients  
311 treated with ICS.

312 Our study has several strengths. First, this is the largest meta-GWAS of ICS response  
313 with a discovery phase specifically focused on Hispanic/Latino and African American asthma  
314 patients, the minority ethnic groups most affected by asthma in the United States [4].  
315 Admixed populations with African and Native American have been underrepresented in the  
316 asthma pharmacogenomic studies of ICS response [4]. Secondly, we identified a novel  
317 association shared among admixed and European populations, which could be also influential  
318 in other populations. Third, we validated the association of three genomic regions previously  
319 described in GWAS of ICS response in European and Asian populations [11, 13] and one of  
320 them was associated with an improvement in FEV<sub>1</sub> after treatment with ICS in adults [11].  
321 This evidence reinforces the validity of asthma exacerbations as a good measure of response  
322 to the asthma treatment with ICS. Finally, the fact that the intergenic region of *L3MBTL4* and  
323 *ARHGAP28* has been previously identified in adults could suggest the existence of common  
324 genetic markers of ICS response among adulthood and childhood asthma [13].

325 We recognize some limitations of our study. First, the most significant variant  
326 associated with ICS response in admixed and European populations did not reach genome-  
327 wide significance. This result was replicated in independent samples at nominal level,  
328 although it would not still be significant after a multiple comparison correction. Second, this  
329 study did not include a considerable larger sample size compared to the largest GWAS of ICS  
330 response published to date [17]. Third, even though the HRC reference panel is the largest

331 catalogue of variants from the whole genome available to date [26], admixed populations with  
332 African and Native American ancestries are not well represented. Fourth, asthma  
333 exacerbations were differentially defined in the European populations included in the  
334 replication phase. Nevertheless, this outcome was homogeneously defined in the studies  
335 included in the discovery phase, suggesting that the identified locus is robustly associated  
336 with asthma exacerbation across a range of definitions. Fifth, ICS response was evaluated as  
337 the presence or absence of asthma exacerbations in asthmatic patients with a self-reported use  
338 of ICS, which might not correspond to compliance or changes with the asthma control  
339 therapy. For this reason, the association signal detected was followed up for replication using  
340 a quantitative measurement of ICS response, which was only available in one of the European  
341 populations. Additional studies should seek to validate the association signal when using  
342 change in FEV<sub>1</sub> after the treatment with ICS as the response variable. Finally, functional  
343 evidence relating the intergenic region of *APOBEC3B* and *APOBEC3C* with ICS response in  
344 asthma patients was not directly assessed in this current study, since only experimental data  
345 available in public databases was queried. Therefore, *in vitro* experiments in relevant tissues  
346 and cell types for ICS response are needed to evaluate the functional roles of these loci in  
347 order to confirm their implication in this trait.

348 In summary, our meta-GWAS in admixed children and young adults identified a novel  
349 association of genetic variants from the intergenic region of *APOBEC3B* and *APOBEC3C* as  
350 with ICS response in subjects with asthma. We also validated the association of one genomic  
351 region previously associated with ICS response. Our study demonstrates the advantages of  
352 including admixed populations in asthma pharmacogenomic studies of ICS response.

## 353 ACKNOWLEDGEMENTS

354 The authors acknowledge the patients, families, recruiters, health care providers and  
355 community clinics for their participation in all the studies involved in the PiCA consortium  
356 (<http://pica-consortium.org/>). The authors also thank Sandra Salazar for her support as the  
357 GALA II and SAGE study coordinator and the contribution of Teide High-Performance  
358 Computing facilities (<http://teidehpc.iter.es>) provided by the Instituto Tecnológico y de  
359 Energías Renovables (ITER, S.A.) to the results of this research.

360 This work was supported by the award number AC15/00015 by the Instituto de Salud  
361 Carlos III (ISCIII) through Strategic Action for Health Research (AES) and European  
362 Community (EC) within the Active and Assisted Living (AAL) Programme framework (MP-  
363 Y), and the SysPharmPedia grant from the ERACoSysMed 1<sup>st</sup> Joint Transnational Call from  
364 the European Union under the Horizon 2020. N.H-P was funded by a fellowship (FI16/00136)  
365 from Instituto de Salud Carlos III (ISCIII) and co-funded by the European Social Funds from  
366 the European Union (ESF) “ESF invests in your future” and MP-Y was supported by the  
367 Ramón y Cajal Program (RYC-2015-17205) by the Spanish Ministry of Economy, Industry  
368 and Competitiveness. The GALA II and SAGE studies were funded by the Sandler Family  
369 Foundation, the American Asthma Foundation, the RWJF Amos Medical Faculty  
370 Development Program, Harry Wm. and Diana V. Hind Distinguished Professor in  
371 Pharmaceutical Sciences II, National Institutes of Health  
372 (1R01HL117004, R01HL128439, R01HL135156, and 1X01HL134589), National Institute of  
373 Health and Environmental Health Sciences (R01ES015794 and R21ES24844), the National  
374 Institute on Minority Health and Health Disparities (1P60MD006902, RL5GM118984,  
375 and 1R01MD010443), and the Tobacco-Related Disease Research Program under Award  
376 Number 24RT-0025 to E.G.B. The PACMAN cohort study was funded by a strategic alliance  
377 between GlaxoSmithKline and Utrecht Institute for Pharmaceutical Sciences. The

378 SLOVENIA study was financially supported by the Slovenian Research Agency (research  
379 core funding No. P3-0067).

380 **CONFLICT OF INTEREST STATEMENT**

381 NH-P declares funding from Instituto de Salud Carlos III (ISCIII) and the European  
382 Social Funds. SSO and HF report funding from the National Institutes of Health (NIH). KV  
383 declares funding from ZonMw. MK reports funding from the European Union, the German  
384 Ministry of Education and Research, German Research Foundation and other sources. A-HM  
385 declares funding from GlaxoSmithKline, Boehringer Ingelheim and Astra Zeneca. EGB  
386 reports funding from NIH, National Institute of Health and Environmental Health Sciences,  
387 National Institute on Minority Health and Health Disparities and the Tobacco-Related Disease  
388 Research Program. MP-Y declares funding from ISCIII and Spanish Ministry of Economy,  
389 Industry and Competitiveness.

390 The rest of authors have no conflict of interest.



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531 **FIGURE LEGENDS**

532 **Figure 1. Manhattan plot of association results of ICS response in the discovery phase.**

533 Association results are represented as  $-\log_{10} p$ -value on the y-axis along the chromosomes (x-axis). The suggestive significance threshold for replication is indicated by the black line  
534 axis). The suggestive significance threshold for replication is indicated by the black line  
535 ( $p \leq 5 \times 10^{-6}$ ).

536 **Figure 2. Regional plot of association results in the discovery phase for the *APOBEC3B-APOBEC3C* intergenic region, which represents a novel association with ICS response.**

537 Statistical significance of association results ( $-\log_{10} p$ -value) (y-axis) is represented by  
538 chromosome position (x-axis) for each SNP as a dot. A diamond represents the independent  
539 association signal with evidence of replication in Europeans (rs5995653) and the remaining  
540 SNPs are color-coded based on their LD with this SNP, indicated by pairwise  $r^2$  values for  
541 American populations from the 1KGP.  
542 American populations from the 1KGP.

543 **Figure 3. Forest plot of association effect of rs5995653 across studies.**

544 Odds ratio (OR) for the effect allele (A) is shown for each study and after combining them by black boxes and a  
545 blue diamond. Black dash lines indicate the corresponding 95% Confidence Intervals (95%  
546 CI) for each individual study.

## TABLES

**Table 1.** Clinical and demographic characteristics of the admixed populations analyzed in the discovery phase.

	GALA II (n = 854)	SAGE (n = 493)
Gender (% male)	57.3	54.2
Mean age $\pm$ SD (years)	12.1 $\pm$ 3.2	13.5 $\pm$ 3.4
Ethnicity	Hispanic/Latino	African American
Mean genetic ancestry (%)		
African	13.6	79.4
European	51.5	20.6
Native-American	34.9	NA
Asthma exacerbations in the last 12 months (%)	66.4	51.9
Emergency asthma care (%)	56.6	43.2
OCS use (%)	40.2	29.4
Hospitalizations (%)	12.6	5.7

SD: standard deviation; OCS: oral corticosteroids; NA: not available.

547

548



**Table 2.** Association results for the suggestive SNPs followed up for replication in European populations.

SNP	Chr. <sup>a</sup>	Position <sup>b</sup>	Nearest gene(s)	A1/A2	Admixed populations (n=1,347)			European populations (n=1,697)		
					Freq. <sup>c</sup>	OR (95% CI) <sup>d</sup>	<i>p</i> -value	Freq. <sup>c</sup>	OR (95% CI) <sup>d</sup>	<i>p</i> -value
rs11121611	1	6367219	<i>ACOT7</i>	G/T	0.201	0.55 (0.43-0.70)	1.65 x 10 <sup>-6</sup>	0.062	0.97 (0.61-1.56)	0.247 <sup>e</sup>
rs35514893	6	15909525	<i>DTNBP1-MYLIP</i>	T/C	0.020	0.36 (0.23-0.55)	2.86 x 10 <sup>-6</sup>	0.082	0.73 (0.22-2.46)	0.613
rs4897302	6	123886231	<i>TRDN</i>	T/C	0.505	1.58 (1.31-1.91)	1.75 x 10 <sup>-6</sup>	0.221	0.96 (0.81-1.13)	0.637
rs61585310	7	104006510	<i>LHFPL3</i>	G/T	0.796	0.61 (0.49-0.75)	2.85 x 10 <sup>-6</sup>	0.763	0.91 (0.74-1.11)	0.352
rs7851998	9	126828514	<i>LHX2-NEK6</i>	A/G	0.191	0.56 (0.44-0.72)	3.97 x 10 <sup>-6</sup>	0.046	0.83 (0.65-1.06)	0.132
rs2125362	11	86167136	<i>ME3</i>	A/G	0.684	1.31 (0.68-2.56)	3.53 x 10 <sup>-6</sup> <sup>e</sup>	0.750	0.97 (0.82-1.16)	0.764
rs450789	13	33578233	<i>KL</i>	G/A	0.334	0.64 (0.53-0.77)	3.33 x 10 <sup>-6</sup>	0.271	0.97 (0.83-1.15)	0.756
rs12959468	18	15182381	<i>ANKRD30B-ROCK1</i>	A/G	0.039	0.39 (0.26-0.58)	2.99 x 10 <sup>-6</sup>	0.077	1.39 (0.74-2.62)	0.309
rs2278992	19	18095769	<i>KCNN1</i>	C/T	0.176	0.59 (0.47-0.74)	3.76 x 10 <sup>-6</sup>	0.151	1.00 (0.81-1.24)	0.991
rs6001366	22	39399941	<i>APOBEC3B-APOBEC3C</i>	T/C	0.079	0.47 (0.35-0.65)	2.53 x 10 <sup>-6</sup>	0.064	1.00 (0.72-1.38)	0.995
rs5995653	22	39404249	<i>APOBEC3B-APOBEC3C</i>	A/G	0.285	0.66 (0.56-0.79)	4.80 x 10 <sup>-6</sup>	0.508	0.76 (0.62-0.93)	<b>7.52 x10<sup>-3</sup></b>

<sup>a</sup>Chromosome; <sup>b</sup>Positions based on GRCh37/hg19 build; <sup>c</sup>Frequency of the effect allele; <sup>d</sup>Odds ratio for the effect alleles (additive model); <sup>e</sup>Random-effect model was applied since heterogeneity was found between admixed/European populations. A1: Effect allele; A2: Non-effect allele; CI: Confidence Interval.