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Flexible In-Ga-Zn-O Thin-Film Transistors with sub-300 nm Channel Lengths Defined by Two-Photon Direct Laser Writing

L. Petti, E. Greco, G. Cantarella, N. Münzenrieder, Member, IEEE, C. Vogt, Student Member, IEEE and G. Tröster, Senior Member, IEEE

Abstract—In this work, the low-temperature (≤ 150 °C) fabrication and characterization of flexible Indium-Gallium-Zinc-Oxide (IGZO) top-gate thin-film transistors (TFTs) with channel lengths down to 280 nm is presented. Such extremely short channel lengths in flexible IGZO TFTs were realized with a novel manufacturing process combining two-photon direct laser writing (DLW) photolithography with Ti/Au/Ti source/drain e-beam evaporation and lift-off. The resulting flexible IGZO TFTs exhibit a saturation field-effect mobility of 1.1 cm²/V·s and a threshold voltage of 3 V. Thanks to the short channel lengths (280 nm) and the small gate to source/drain overlap (5.2 µm), the TFTs yield a transit frequency of 80 MHz (at 8.5 V gate-source voltage) extracted from the measured S-parameters. Furthermore, the devices are fully functional when wrapped around a cylindrical rod with 6 mm radius, corresponding to 0.4 % tensile strain in the TFT channel. These results demonstrate a new methodology to realize entirely flexible nano-structures, and prove its suitability for the fabrication of short-channel transistors on polymer substrates for future wearable communication electronics.

Index Terms—Flexible electronics, indium–gallium–zinc oxide (IGZO), thin-film transistor, transit frequency, mechanical strain.

I. INTRODUCTION

The advances flexible and stretchable devices have made over the last decades are enabling a wide range of novel applications, such as wearable and textile integrated devices [1, 2], seamlessly embedded patch-like systems [3], artificial and soft robotic skins [4], as well as imperceptible and transient medical implants [5]. This fuels the quest for thin-film transistors (TFTs) combining excellent electrical performance with extreme mechanical bendability, as well as low temperature processability. Among state-of-the-art semiconducting materials, Indium-Gallium-Zinc-Oxide (IGZO) is currently one of the most promising option, owing to the carrier mobility typically above 10 cm²/V·s even when processed at room-temperature [6], as well as the mechanical bendability down to radii as small as 20 µm [7]. While electrical DC performance and mechanical properties of flexible IGZO TFTs have been extensively explored [8], the AC characteristic of flexible IGZO devices has been significantly less investigated. This is mainly due to the challenges involved in the realization of short-channel and high-frequency TFTs on flexible substrates [8]. To date, flexible IGZO TFTs with channel lengths below 1 µm have been realized either using self-alignment [9] or vertical [10] and quasi-vertical [11] device structures. In particular, self-alignment of the source/drain contacts to the gate allowed fabricating bottom-gate (BG) IGZO TFTs with channel lengths of 500 nm yielding a field-effect mobility of 7.5 cm²/V·s and a transit frequency of 135 MHz. Shorter channels lengths of 300 nm have only been achieved using a quasi-vertical TFT (QVTFT) architecture [11]. However, process-induced source/drain contact contamination in the IGZO QVTFT structure resulted in large contact resistance of 45 Ωcm, low field-effect mobility of 0.2 cm²/V·s, and thereby low transit frequency of 1.5 MHz. The reduced fT illustrates how for short-channel TFTs, the channel resistance becomes comparable and eventually smaller than the contact resistance, resulting in a consequent μTE drop [12]. In general, this limits the AC performance of both planar and vertical IGZO TFTs. Nevertheless, the effective mobility degradation encountered in flexible planar IGZO TFTs is not as pronounced as in vertical devices [8]. Additionally, in vertical TFTs the optimization of the parasitic overlap between gate and source/drain contacts (which is another key parameter impacting the AC performance [12]) is further complicated by the device structure. This is why there is a strong need for alternative fabrication processes enabling short channel lengths (< 500 nm) in flexible planar IGZO TFTs. While electron beam (e-beam) lithography is especially suitable for the miniaturization of electronic devices on traditional semiconductor wafers, to
the best of our knowledge its use on flexible plastic substrates has never been proven. In fact, the non-perfectly flat nature of plastic foils requires precise adjustments of the focal point as close as possible to the exposure area. Such adjustments are not possible in e-beam lithographic systems, due to the resulting un-intended illumination of the photo-resist. Furthermore, the typically non-conductive properties of flexible plastic substrates lead to charging effects which further challenge the process of focalization. Deposition of thin conductive layers on the insulating substrates is possible, at a cost of reduced overall thickness and increased process complexity. To this regard, two-photon direct laser writing (DLW) [13] has been proposed as an attractive tool for the realization of two-dimensional (2D) and three-dimensional (3D) micro- and nano-structures for a wide range of applications, from optical interconnects [14], photonics [15], micro-fluidics [16], to cell scaffolds and bio-mimetics [17]. Recently, also conductive 3D Au-based micro-structures have been fabricated by two-photon DLW [18]. However, to the best of our knowledge, two-photon DLW has never been utilized for the 2D miniaturization of TFT channels on flexible substrates. Main advantage of two-photon DLW as compared to e-beam lithography for 2D nano-structuring on free-standing flexible plastic substrates is the possibility to precisely adjust the focal point in the exposure area without un-intentional illumination of the photo-resist.

In this paper, we report the use of two-photon DLW for the fabrication of planar IGZO TFTs with channel lengths down to 280 nm on a flexible polyimide substrate. The presented TFTs exhibit a contact resistance $r_c$ of 22 $\Omega$ cm and a saturation field effect mobility $\mu_{\text{SAT}}$ of 1.1 cm²/V·s. The short IGZO channel length in combination with the small gate to source/drain overlap length ($L_{\text{OV}} = 5.2 \mu$m) results in a transit frequency of 80 MHz (at 8.5 V gate-source voltage). Furthermore, flexible IGZO TFTs with channels defined by two-photon DLW are fully operational when bent to 6 mm radii, corresponding to 0.4% tensile strain applied parallel to the device channel. Part of the work presented here is based on preliminary results reported in the doctoral thesis of the main author [19].

II. FABRICATION PROCESS

Flexible IGZO TFTs with DLW-defined channels were fabricated on 50 µm-thick Kapton E polyimide (PI) foil (surface area: 2.5 × 2.5 cm²). The devices are based on a top-gate bottom-contact (TG-BC) TFT structure, as shown in Fig. 1. The TFT manufacturing process uses a maximum process temperature of 150 °C and is schematically illustrated in Fig. 2.

The fabrication process starts with the plasma enhanced chemical vapor deposition of 50 nm SiN$_x$ on the PI foil, acting as adhesion layer. Next, to allow a reliable structuring of the TFT channels in the photoresist by DLW photolithography, the flexible PI substrate was attached to a glass carrier using double-sided tape (Fig. 2 (a)) and subsequently coated with a drop-casted IP-Dip photoresist. IP-Dip is a negative photoresist (from Nanoscribe GmbH) that behaves very similar to SU-8 and other acrylate-based negative resists [20]. The main feature of using IP-Dip in combination with two-photon DLW photolithography [21] is that minimum line widths down to 150 nm can be structured in the photoresist [20]. For the DLW photolithography, the photonic professional system from NanoScribe GmbH was utilized. This is a system based on two-photon polymerization with ultra-short laser pulses [22], where 2D and 3D micro- and nano-structures are written following laser paths connected from the beginning to the end of the writing process [20]. This is why prior to the DLW photolithographic process, an optimized computer-aided design (CAD) of the laser paths required to structure the desired TFT channels (Fig. 2(b)) was generated. The channel structures displayed in Fig. 2(b) were patterned into the drop-casted IP-Dip photoresist by directly exposing the photoresist – using the so-called dip-in-lithography (DILL) configuration [21] – utilizing an optimized laser power of 4.6 $\mu$W. In
particular, the exposure of the entire source and drain pattern shown in Fig. 2(b) was split in three main structures, to allow a precise focal point adjustment at the beginning of each structure, as well as an exposure height reduction in the thin and long channel area (to increase mechanical stability). The subsequent IP-Dip development resulted in channel stripes 280 nm wide and 17 µm long patterned in the negative photoresist (Fig. 2(b)).

Following the two-photon DLW process, a layer of Ti/Au/Ti (10 nm/60 nm/10 nm) was e-beam evaporated (Fig. 2(c)). The subsequent lift-off process removed the IP-Dip photoresist and defined the channel areas, as well as the source and drain contacts (Fig. 2(d)). Then, a 15 nm-thick IGZO film was RF magnetron sputtered (at room temperature) from a ceramic InGaZnO₄ target and structured into small semiconductor islands (19 × 20 µm²) by wet etching (Fig. 2(e)). Afterwards, an 80 nm-thick Al₂O₃ film (dielectric constant: 9.5) was grown by atomic layer deposition (ALD) at 150 °C and wet etched to open source/drain contact holes (Fig. 2(f)). In this case, the 80 nm-thick Al₂O₃ film represents a trade-off between high field effect mobility and low-voltage TFT operation [8]. Due to the TG-BC device structure, the Al₂O₃ film acts as both gate dielectric and channel passivation layer. Subsequently, 100 nm of Cu were e-beam evaporated and patterned in gate contacts using wet chemistry (Fig. 2(g)). Finally, the PI foil was detached from the glass carrier leading to free-standing flexible IGZO TFTs with channel lengths as small as 280 nm.

Fig. 3(a) displays an optical micrograph of one device, which uses a ground-signal-ground (GSG) layout to allow AC characterization. SEM enlargements of the TFT channel in Fig. 3(b) and (c) show the TFT dimensions with a channel length L = 280 nm, a channel width W = 17 µm and a gate to source/drain overlap length L_{OV} = 5.2 µm. A minor slanting of the profile of the inner edges of the source and drain contacts as compared to outer edges (Fig. 3(c)) is caused by the still limited mechanical stability of the 280 nm wide, 17 µm long and 1.7 µm thick IP-Dip channel stripes during the photoresist development and subsequent Ti/Au/Ti evaporation and lift-off. The non-perfectly defined inner edges of the source/drain contacts (Figure 3(c)) are due to the small-sized pattern, as well as due to small particles on the Polyimide/SiNx/IP-Dip surface and/or on the Polyimide/SiNx/IP-Dip/Ti/Au/Ti surface originating during the fabrication process. Furthermore, non-perfectly defined edges of the surrounding source metal (Fig. 3(a)) can be explained by the photoresist DLW exposure process divided in three sequences with different thicknesses of the IP-Dip exposed areas and different focal point adjustments.

On each 2.5 × 2.5 cm² PI foil, up to 9 GSG devices with different channel lengths and widths can be realized with the presented manufacturing process (Fig. 2). A larger number of TFTs is currently limited by the DLW laser defining the entire GSG source/drain structure for each TFT. However, in the future the DLW laser could be used to structure the TFT channels only, whereas the remaining source/drain patterns could be realized using an UV photolithographic and wet etching step after the lift-off process of the short channels (Fig. 2(d)). This additional step combined with the use of Cr/Au/Cr source/drain contacts [23] would also allow realizing standard IGZO TG-BC TFTs with longer channels (L > 1 µm) [23] on the same substrate. This would be especially relevant for high-frequency analog circuits, where only a few amplifying TFTs require short channels, whereas all other components are typically designed with much longer channels [24].

### III. Characterization

#### A. DC Characterization

The devices were characterized under ambient conditions using an Agilent technologies B1500A parameter analyzer. The corresponding TFT performance parameters (Table 1) were extracted using standard transistor equations [8].

Fig. 4 shows the I_D-I_{VG} transfer (a) and I_D-V_{DS} output (b) characteristic of a flexible IGZO TFT with channel length and width of 280 nm and 17 µm, respectively. Based on the transfer characteristic measurements (Fig. 4(a)), the following DC performance parameters (see Table 1) were extracted: a saturation field-effect mobility μ_{SAT} of 1.1 cm²V⁻¹s⁻¹ (at V_{DS} = 5.1 V), a threshold voltage V_{TH} of 3 V, an on/off current ratio I_{ON}/I_{OFF} of 7 × 10⁴ (at V_{DS} = 5.1 V), a sub-threshold swing SS of 2 V/dec, and a maximum transconductance g_{m} (see Figure...
5(a)) of 250 µS (at $V_{GS} = 9$ V and $V_{DS} = 5.1$ V). Flexible short-channel IGZO TFTs with DLW-defined channels exhibit significantly larger $\mu_{SAT}$ if compared to flexible IGZO QVTFTs with similarly short channel lengths [11]. This is mainly due to approximately halved contact resistance ($r_C = 22$ Ωcm) that planar TG IGZO TFTs ($L = 280$ nm) yield compared to IGZO QVTFTs ($L = 300$ nm) [11]. The extracted $r_C$ of 22 Ωcm is only slightly higher than state-of-the-art values of 12.5 Ωcm obtained for flexible self-aligned IGZO BG TFTs ($L = 500$ nm) [9, 12]. This slight increase is mainly attributed to the more contaminated interface between IGZO and Ti/Au/Ti source/drain contacts in the TG-BC device structure. A 1.8× larger contact resistance, combined with a 1.8× shorter channel length explains why TG IGZO TFTs with DLW-defined channels yield a 6.8× lower saturation mobility compared to self-aligned BG IGZO devices with 500 nm long channels.

As shown in Fig. 4(a), the off current $I_{OFF}$ always exceeds 100 pA, and further increases with higher drain-source voltages up to $I_{OFF} = 1$ µA at $V_{DS} = 5.1$ V (Fig. 4(a)). Such large off current (leading to small $I_{ON}/I_{OFF}$) is mainly attributed to the poor electrostatic control of the 280 nm short channel. A reduction in the IGZO island size, as well as a better definition of the inner edges of the source/drain contacts (Figure 3(c)) is expected to improve the electrostatic control of the lateral S/D field and therefore the on/off ratio of our short-channel TFTs.

Sufficient saturation performance for larger $V_{DS}$ (Fig. 4(b)) is demonstrated also by the similar on current performance of the transfer curves (Fig. 4(a)) at $V_{GS} = 2.1$ V and 5.1 V.

From the output characteristic data (Fig. 4(b)), an output conductance $g_{ds}$ of 12 µS (at $V_{GS} = 7$ V and $V_{DS} = 5.1$ V) was extrapolated. In combination with the corresponding internal gain $g_m$ value (at $V_{GS} = 7$ V and $V_{DS} = 5.1$ V, see Fig. 5(a)), we derived an internal gain $g_m/g_{ds}$ of 2.9, which is well above the minimum gain typically required for analog circuits [12]. This proofs that our short-channel IGZO TFTs are suitable for analog flexible electronic applications.

From C-V measurements (Fig. 5(b)), a gate-to-source/drain overlap capacitance of 465 fF (measured at negative bias voltages $V_{GS} = -5$ V), and a total gate capacitance of 487 fF (measured in the on regime, at $V_{GS} = 9$ V) were extracted. Such low gate capacitance $C_G$ values have been obtained thanks to the 80 nm-thick Al$_2$O$_3$ dielectric, combined with the short channel length of 280 nm and the small total overlap between gate and source/drain $L_{OV}$ of 5.2 µm. The transconductance $g_m$ of 250 µS ($V_{GS} = 9$ V and $V_{DS} = 5.1$ V) and the total gate capacitance $C_G = 487$ fF ($V_{GS} = 9$ V) enable the theoretical calculation of the TFT transit frequency $f_T$ [8] (at 9 V gate-source voltage) of 81 MHz.

B. AC Characterization

Scattering parameters (S-parameters) of the flexible IGZO TFTs with DLW-defined channels were measured using a two-port HP 8753E network analyzer. For the measurements, port one and port two of the network analyzer were respectively connected to the gate and the drain contact of the TFTs, whereas the source was grounded. Before all measurements, the system was calibrated by a full two-port calibration. An AC
peak-to-peak voltage amplitude of 100 mV was used. Fig. 6(a) shows the flexible IGZO TFTs prepared for AC characterization using the two-port network analyzer. A micrograph of a single short-channel IGZO TFT contacted by 2 GSG probe tips is shown in the optical micrograph in (b).

Fig. 6. (a) Photograph of a polyimide substrate with IGZO TFTs with channels defined via two-photon DLW, prepared for AC characterization with a two-port network analyzer. A single TFT contacted by 2 GSG probe tips is shown in the optical micrograph in (b).

Fig. 7. Absolute value of current gain $h_{21}$ extracted from Scattering parameters (S-parameter) measurements [25] of a flexible IGZO TFT with channel defined via two-photon DLW (channel L = 0.28 µm and W = 17 µm). Current gain values have been averaged to reduce measurement noise. The extrapolated transit frequency $f_T$ is 80 MHz (at $V_{GS} = 8.5$ V).

Fig. 8. Photographs of flexible polyimide substrate with IGZO TFTs with channels defined via two-photon DLW: (a) free-standing substrate cut for mechanical bendability tests and (b) substrate measured while bent to a tensile radius of 6 mm parallel to the TFT channel.

Fig. 9. Flexible IGZO TFTs with channel defined via two-photon DLW: (a) normalized saturation field-effect mobility and threshold voltage shift and (b) normalized on/off current ratio and subthreshold swing for different tensile strains (up to 0.4 %) applied parallel to the TFT channel.

IV. MECHANICAL STRAIN

To investigate and demonstrate the flexibility of IGZO TFTs with channels defined by two-photon DLW, mechanical bendability tests were performed. The free-standing TFTs (Figure 8(a)) were attached to double-sided tape and wound around cylindrical rods (Fig. 8(b)) in a way that tensile strain was applied parallel to the channel [8]. The radius of the smallest employed rod was 6 mm (Fig. 8(b)). As shown in Fig. 9, flexible IGZO TFTs with 280 nm channel lengths are fully operational while bent to tensile radii of 10 and 6 mm, corresponding to mechanical strains ε of ≈ 0.25 % and 0.4 % in the TFT channel [27]. In particular, bending to 0.4 % strain yields a 14 % reduction of the effective mobility, a negative threshold voltage shift of 440 mV, a 15 % reduced on/off ratio, and a 1.4× larger sub-threshold swing. These changes can be explained by the under tensile increased resistance of the metallic contacts, which becomes dominant for short-channel lengths [8]. Bending to smaller radii leads to the formation of cracks in the extended Ti/Au/Ti metallic surface, which causes
permanent parameter shifts at 5 mm bending radii and device failure at radii < 5 mm. The introduction of an additional UV photolithographic and wet etching step after the lift-off process of the channel structures would be beneficial not only from a process perspective, but also for mechanical bendability as it would reduce the extended metallic surface and thereby increase the strain resistance of the final devices.

V. CONCLUSION

In this work, flexible IGZO TFTs with channel lengths as small as 280 nm have been demonstrated. The, to the best of our knowledge, shortest channel length ever reported for flexible IGZO TFTs were realized using two-photon DLW photolithography. In particular, the sub-300 nm channel patterns were first defined in a specifically designed negative photoresist and subsequently transferred to the TFT source/drain contacts by lift-off of an e-beam evaporated Ti/Au/Ti film. The short channel combined with IGZO semiconductor and 80 nm Al2O3 gate dielectric, resulted in TFTs with a saturation field-effect mobility of 1.1 cm2/V-s, a threshold voltage of 3 V, and a sub-threshold swing of 25V/dec. The 280 nm long channel together with a 5.2 μm gate to source/drain overlap enabled a transit frequency of 80 MHz, extracted from two port S-parameter measurements. In addition, the performance of the flexible short-channel TFTs was also maintained while the devices bent down to 6 mm tensile radii, corresponding to 0.4% strain parallel to the TFT channels. The presented results confirm the suitability of IGZO TFTs [8] for high-frequency flexible electronic applications. At the same, future optimization of the manufacturing process is expected to enable even shorter channel and overlap lengths and therefore higher transit frequencies, highlighting the advantages of the newly proposed fabrication process in the field of wearable communication electronics.

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Luisa Petti (S’12-M’16) received the M.Sc. degree in electronic engineering from Politecnico di Milano in 2011, and the Ph.D. degree in electrical engineering and information technology from ETH Zurich in 2016. Her current research interests include the fabrication and characterization of flexible metal oxide thin-film devices and circuits.

Emanuel Greco received the M.Sc. degree in electronic engineering from Swiss Federal Institute of Technology (ETH) Zurich in 2015. He is currently employed as hardware developer for TEM AG, Chur, Switzerland.

Giuseppe Cantarella received the M.Sc. degree in electronic engineering from Politecnico of Turin, Turin, Italy, Grenoble INP, Grenoble, France and École Polytechnique Fédérale de Lausanne, Lausanne, Switzerland in 2013. Since 2013, he has been pursuing the Ph.D. degree with ETH Zurich, Switzerland. His current research interests include flexible and stretchable electronics.

Niko Münzenrieder (S’11-M’14) received the Diploma degree in physics from the Technical University Munich, Munich, Germany, in 2008, and the Ph.D. in electrical engineering from ETH Zurich, Zurich, Switzerland, in 2013. He is currently a Lecturer with the University of Sussex, Brighton, U.K., where he is involved in flexible and stretchable thin-film electronics.

Christian Vogt (S’14) received the M.Sc. degree in electrical engineering and information technology from the Swiss Federal Institute of Technology (ETH) Zurich in 2013, where he obtained his Ph.D degree in 2017. His current research interests include flexible electronics and applications for magnetic resonance imaging.

Gerhard Tröster (SM’93) has been a Full Professor of Electronics with the ETH Zurich, Zurich, since 1993. His current research interest include signal processing, wireless sensor networks, wearable computing, smart textiles applying flexible, and organic electronics.