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ALMA SPECTROSCOPIC SURVEY IN THE HUBBLE ULTRA DEEP FIELD: CO LUMINOSITY FUNCTIONS
AND THE EVOLUTION OF THE COSMIC DENSITY OF MOLECULAR GAS

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ABSTRACT

In this paper we use ASPECS, the ALMA Spectroscopic Survey in the Hubble Ultra Deep Field (UDF) in band 3 and band 6, to place blind constraints on the CO luminosity function and the evolution of the cosmic molecular gas density as a function of redshift up to \( z \sim 4.5 \). This study is based on galaxies that have been solely selected through their CO emission and not through any other property. In all of the redshift bins the ASPECS measurements reach the predicted ‘knee’ of the CO luminosity function (around \( 5 \times 10^9 \) K km s\(^{-1}\) pc\(^2\)). We find clear evidence of an evolution in the CO luminosity function with respect to \( z \sim 0 \), with more CO luminous galaxies present at \( z \sim 2 \). The observed galaxies at \( z \sim 2 \) also appear more gas–rich than predicted by recent semi-analytical models. The comoving cosmic molecular gas density within galaxies as a function of redshift shows a factor 3–10 drop from \( z \sim 2 \) to \( z \sim 0 \) (with significant error bars), and possibly a decline at \( z > 3 \). This trend is similar to the observed evolution of the cosmic star formation rate density. The latter therefore appears to be at least partly driven by the increased availability of molecular gas reservoirs at the peak of cosmic star formation (\( z \sim 2 \)).

**Keywords:** galaxies: evolution — galaxies: ISM — galaxies: star formation — galaxies: statistics — submillimeter: galaxies — instrumentation: interferometers

1. INTRODUCTION

The cosmic star-formation history (SFH) describes the evolution of star formation in galaxies across cosmic time. It is well summarized by the so-called “Lilly-Madau” plot (Lilly et al. 1995; Madau et al. 1996), which shows the redshift evolution of the star-formation rate (SFR) density, i.e., the total SFR in galaxies in a comoving volume of the universe. The SFR density increases from an early epoch \( (z > 8) \) up to a peak \( (z \sim 2) \) and then declines by a factor \( \sim 20 \) down to present day (see Madau & Dickinson 2014, for a recent review).

Three key quantities are likely to drive this evolution: the growth rate of dark matter halos, the gas content of galaxies (i.e., the availability of fuel for star formation), and the efficiency at which gas is transformed into stars. Around \( z=2 \), the mass of halos can grow by a factor of \( > 2 \) in a Gyr; by \( z \approx 0 \), the mass growth rate has dropped by an order of magnitude (e.g., Griffin et al. 2016). How does the halo growth rate affect the gas resupply of galaxies? Do galaxies at \( z \sim 2 \) harbor larger reservoirs of gas? Are they more effective at high redshift in forming stars from their gas reservoirs, possibly as a consequence of different properties of the interstellar medium, or do they typically have more disturbed gas kinematics due to gravitational interactions?

To address some of these questions, we need a census of the dense gas stored in galaxies and available to form new stars as a function of cosmic time, i.e., the total mass of gas in galaxies per comoving volume \( [\rho(\text{gas})] \). The statistics of Ly\( \alpha \) absorbers (associated with atomic hydrogen, H\( i \)) along the line of sight toward bright background sources provide us with a measure of \( \rho(\text{H} i) \). This appears to be consistent with being constant (within a \( \sim 30\% \) fluctuation) from redshift \( z = 0.3 \) to \( z \sim 5 \) (see, e.g., Crighton et al. 2015), possibly as a result of the balance between gas inflows and outflows in low-mass galaxies (Lagos et al. 2014) and of the on-going gas resupply from the intergalactic medium (Lagos et al. 2011). However, beyond the local universe, little information currently exists on the amount of molecular gas that is stored in galaxies, \( \rho(\text{H}_2) \), which is the immediate fuel for star formation (e.g., see review by Carilli & Walter 2013).

Attempts have been made to infer the mass of molecular gas in distant targeted galaxies indirectly from the measurement of their dust emission, via dust–to–gas scaling relations (Magdis et al. 2011, 2012; Scoville et al. 2014, 2015; Groves et al. 2015). But a more direct route is to derive it from the observations of rotational transitions of \( ^{12}\text{CO} \) (hereafter, CO), the second most abundant molecule in the universe (after H\( _2 \)). As the second approach is most demanding in terms of telescope time, it has been traditionally applied only with extreme, infrared (IR) luminous sources (e.g., Bothwell et al. 2013; these however account for only 10-20% of the total SFR budget in the universe; see, Rodighiero et al. 2011; Magnelli et al. 2013; Gruppioni et al. 2013; Casey et al. 2014), or on samples of galaxies pre-selected based on their stellar mass and/or SFR (e.g., Daddi et al. 2010a,b, 2015; Tacconi et al. 2010, 2013; Genzel et al. 2010, 2015; Bolatto et al. 2015). These observations have been instrumental in shaping our understanding of the molecular gas properties in high-z galaxies. Through the observation of multiple CO transitions for single galaxies, the CO excitation has been constrained in a variety of systems (Weiβ et al. 2007; Riechers et al. 2011; Bothwell et al. 2013; Spilker et al. 2014; Daddi et al. 2015). Most remarkably, various studies showed that \( \mathcal{M}_\ast \) and SFR-selected galaxies at \( z > 0 \) tend to host much larger molecular gas reservoirs than
typically observed in local galaxies for a given stellar mass \((M_*)\) suggesting that an evolution in the gas fraction \(f_{\text{gas}} = M_{\text{H}_2}/(M_* + M_{\text{H}_2})\) occurs through cosmic time (Daddi et al. 2010a; Riechers et al. 2010; Tacconi et al. 2010, 2013; Genzel et al. 2010, 2015; Geach et al. 2011; Magdis et al. 2012; Magnelli et al. 2012).

For molecular gas observations to constrain \(\rho(H_2)\) as a function of cosmic time, we need to sample the CO luminosity function in various redshift bins. CO is the second most abundant molecule in the universe (after \(H_2\)) and therefore is an excellent tracer of the molecular phase of the gas. The CO\((1-0)\) ground transition has an excitation temperature of only \(T_{\text{ex}} = 5.5\, \text{K}\), i.e., the molecule is excited in virtually any galactic environment. Other low-J CO lines may be of practical interest, as these levels remain significantly excited in star-forming galaxies; and thus, the associated lines [CO\((2-1)\), CO\((3-2)\), CO\((4-3)\)] are typically brighter and easier to detect than the ground state transition CO\((1-0)\). There have been various predictions of the CO luminosity functions both for the \(J=1\rightarrow0\) transition and for intermediate and high-J lines, using either theoretical models (e.g., Obreschkow et al. 2009a,b; Lagos et al. 2011, 2012, 2014; Popping et al. 2014a,b, 2016) or empirical relations (e.g., Sargent et al. 2012, 2014; da Cunha et al. 2013; Vallini et al. 2016).

Theoretical models typically rely on semi-analytical estimates of the budget of gas in galaxies (e.g., converting HI into \(H_2\) assuming a pressure-based argument, as in Blitz & Rosolowsky 2006; via metallicity-based arguments, as in Gnedin & Kravtsov 2010, 2011; or based on the intensity of the radiation field and the gas properties, as in Krumholz et al. 2008, 2009), and inferring the CO luminosity and excitation via radiative transfer models. These models broadly agree on the dependence of \(\rho(H_2)\) on \(z\), at least up to \(z \sim 2\), but widely differ in the predicted CO luminosity functions, in particular for intermediate and high J transitions, where details on the treatment of the CO excitation become critical. For example, the models by Lagos et al. (2012) predict that the knee of the CO\((4-3)\) luminosity function lies at \(L' \approx 5 \times 10^8 \, \text{K} \, \text{km} \, \text{s}^{-1} \, \text{pc}^2\) at \(z \sim 3.8\), while the models by Popping et al. (2016) place the knee at a luminosity about 10 times brighter. Such a spread in the predictions highlight the lack of observational constraints to guide the theoretical assumptions.

This study aims at providing observational constraints on the CO luminosity functions and cosmic density of molecular gas via the ‘molecular deep field’ approach. We perform a scan over a large range of frequency (\(\Delta \nu/\nu \approx 25 - 30\%\)) in a region of the sky, and “blindly” search for molecular gas tracers at any position and redshift. By focusing on a blank field, we avoid the biases due to pre-selection of sources. This method naturally provides us with a well-defined cosmic volume where to search for CO emitters, thus leading to direct constraints on the CO luminosity functions. Our first pilot experiment with the IRAM Plateau de Bure Interferometer (PdBI; see Decarli et al. 2014) led to the first, weak constraints on the CO luminosity functions at \(z > 0\) (Walter et al. 2014). The modest sensitivity (compared with the expected knee of the CO luminosity functions) resulted in large Poissonian uncertainties. These can be reduced now, thanks to the Atacama Large Millimeter/Sub-millimeter Array (ALMA).

We obtained ALMA Cycle 2 observations to perform two spatially coincident molecular deep fields, at 3mm and 1mm respectively, in a region of the Hubble Ultra Deep Field (UDF, Beckwith et al. 2006). The data set of our ALMA Spectroscopic Survey (ASPECS) is described in detail in Paper I of this series (Walter et al. 2016). Compared with the aforementioned PdBI effort, we now reach a factor of 3–4 better sensitivity, which allows us to sample the expected knee of the CO luminosity functions over a large range of transitions. Furthermore, the combination of band 3 and 6 offers us direct constraints on the CO excitation of the observed sources, thus allowing us to infer the corresponding CO\((1-0)\) emission, and therefore \(\rho(H_2)\). The collapsed cube of the 1mm observations also yields one of the deepest dust continuum observations ever obtained (Paper II of this series, Aravena et al. 2016a), which we can use to compare the \(\rho(H_2)\) estimates based on CO and the \(\rho(\text{gas})\) estimates based on the dust emission.

This paper is organized as follows: In Sec. 2 we summarize the observations and the properties of the data set. In Sec. 3 we describe how we derive our constraints on the CO luminosity functions and on \(\rho(H_2)\) and \(\rho(\text{gas})\). In Sec. 4 we discuss our results. Throughout the paper we assume a standard \(\Lambda\text{CDM}\) cosmology with \(H_0 = 70\, \text{km} \, \text{s}^{-1} \, \text{Mpc}^{-1}\), \(\Omega_m = 0.3\) and \(\Omega_\Lambda = 0.7\) (broadly consistent with the measurements by the Planck Collaboration 2015).

2. OBSERVATIONS

The data set used in this study consists of two frequency scans at 3mm (band 3) and 1mm (band 6) obtained with ALMA in the UDF centered at RA = 03:32:37.900, Dec = −27:46:25.00 (J2000.0). Details on the observations and data reduction are presented in Paper I, but the relevant information is briefly summarized here. The 3mm scan covers the 84-115 GHz range with a single spatial pointing. The primary beam of the 12m ALMA antennas is \(\sim 75''\) at 84 GHz and \(\sim 54''\) at 115 GHz. The typical RMS noise is 0.15 mJy beam\(^{-1}\) per 20 MHz channel. The 1mm scan encompasses the frequency window 212-272 GHz. In order to sample a similar area as in the 3mm scan, given the smaller primary beam (\(\sim 26''\)), we performed a 7 point mosaic. The typ-
from galaxies with a known redshift. This provides us in Paper I (Tab. 2), and then include the information concentrated on the “blind” CO detections presented redshifts where we can search for CO emission. We first defined, volume-limited sample of galaxies at various pre-selection on the targeted sources, we have a well- as for [C\text{\textit{ii}}] as a function of redshift for different CO transitions as in the case of thermalized emission) is roughly constant over the beam, which is constant for all CO transitions

\[ \sim 5 \times 10^9 \text{K km s}^{-1} \text{pc}^2 \].

\[ \sim 0.5 \text{mJy beam}^{-1} \text{ per 30 MHz channel. The synthesized beams are } \sim 3.5'' \times 2.0'' \text{ at 3mm and } \sim 1.5'' \times 1.0'' \text{ at 1mm.} \]

Fig. 1 shows the redshift ranges and associated luminosity limits reached for various transitions in the two bands. The combination of band 3 and band 6 provides virtually-complete CO redshift coverage. The luminosity limits are computed assuming 5-\( \sigma \) significance, a line width of 200 km s\(^{-1} \), and unresolved emission at the angular resolution of our data. At \( z \gtrsim 1.5 \), the luminosity limit (expressed as a velocity-integrated temperature over the beam, which is constant for all CO transitions in the case of thermalized emission) is roughly constant as a function of redshift for different CO transitions as well as for [C\text{\textit{ii}}]: \( \sim 2 \times 10^9 \text{K km s}^{-1} \text{pc}^2 \).

3. ANALYSIS

Given the blank field approach of ASPECS, with no pre-selection on the targeted sources, we have a well-defined, volume-limited sample of galaxies at various redshifts where we can search for CO emission. We first concentrated on the “blind” CO detections presented in Paper I (Tab. 2), and then include the information from galaxies with a known redshift. This provides us with direct constraints on the CO luminosity function in various redshift bins. We then use these constraints to infer the CO(1-0) luminosity functions in various redshift bins, and therefore the \( \text{H}_2 \) mass (\( M_{\text{H}_2} \)) budget in galaxies throughout cosmic time.

3.1. CO detections

3.1.1. Blind detections

In Paper I, we describe our “blind search” of CO emission purely based on the ALMA data (i.e., with no support from ancillary data at other wavelengths\(^1 \)). In brief, we perform a floating average of consecutive frequency channels in bins of ~50–300 km s\(^{-1} \) in the imaged cubes. For each averaged image, we compute the map rms and select peaks based on their S/N. A search for negative (= noise) peaks allows us to quantify the fidelity of our line candidates based on their S/N, and the injection of mock lines allows us to assess the level of completeness of our search as a function of various line parameters, including the line luminosity. The final catalogue consists of 10 line candidates from the 3mm cube, and 11 from the 1mm cube. We use a Gaussian fit of the candidate spectra to estimate the line flux, width, and frequency (see Tab. 2 of Paper I), and we investigate the available optical/near-IR images to search for possible counterparts.

The line identification (and therefore, the redshift association) requires a number of stpng, similar to our earlier study of the HDF–N (Decarli et al. 2014), which are as follows:

\[ i \text{- We inspect the cubes at the position of each line candidate, and search for multiple lines. If multiple lines are found, the redshift should be uniquely defined. Since } \nu_{\text{CO}(J-1)} \approx J \nu_{\text{CO}(1-0)} \text{, some ambiguity may still be in place [e.g., two lines with a frequency ratio of 2 could be CO(2-1) and CO(4-3), or CO(3-2) and CO(6-5)]. In these cases, the following stpng allow us to break the degeneracy.} \]

\[ ii \text{- The absence of multiple lines can then be used to exclude some redshift identification. E.g., lines with similar J should show similar fluxes, under reasonable excitation conditions. If we identify a bright line as, e.g., CO(5-4), we expect to see a similarly-luminous CO(4-3) line (if this falls within the coverage of our data set). If that is not the case, we can exclude this line identification.} \]

\[ iii \text{- The exquisite depth of the available multi-wavelength data allows us to detect the starlight} \]

\[ ^{1} \text{ The code for the blind search of line candidates is publicly available at http://www.mpiia.de/homes/decarli/ASPECS/findclumps.cl.} \]
Table 1. Catalogue of the line candidates discovered with the blind line search. (1) Line ID. (2-3) Right ascension and declination (J2000). (4) Fidelity level at the S/N of the line candidate. (5) Completeness at the luminosity of the line candidate. (6) Is there an optical/near-IR counterpart? (7) Notes on line identification: i- Multiple lines detected in the ASPECS cubes; ii- Absence of optical/near-IR counterpart suggests high z; iv- Supported by (a) spectroscopic, (b) grism, or (c) photometric redshift. (8) Possible line identification. A cardinal number indicates the upper J level of a CO transition. (9) CO redshift corresponding to the adopted line identification. (10) Line luminosity, assuming the line identification in col.(8). The uncertainties are propagated from the uncertainties in the line flux measurement. (11) Molecular gas mass $M_{\text{H}_2}$ as derived from the observed CO luminosity (see eq. 4), only for J<5 CO lines.

<table>
<thead>
<tr>
<th>ASPECS ID</th>
<th>RA</th>
<th>Dec</th>
<th>Fid.</th>
<th>C</th>
<th>C.part?</th>
<th>Notes</th>
<th>Line ident.</th>
<th>$z_{\text{CO}}$</th>
<th>$L'$ [$10^8 \text{K km s}^{-1} \text{pc}^2$]</th>
<th>$M_{\text{H}<em>2}$ [$10^8 \text{M}</em>\odot$]</th>
</tr>
</thead>
<tbody>
<tr>
<td>3mm.1</td>
<td>03:32:38.52</td>
<td>-27:46:34.5</td>
<td>1.00</td>
<td>1.00</td>
<td>Y</td>
<td>ii (b)</td>
<td>3</td>
<td>2.5442</td>
<td>240.4 ± 1.0</td>
<td>2061 ± 9</td>
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<tr>
<td>3mm.2</td>
<td>03:32:39.81</td>
<td>-27:46:11.6</td>
<td>1.00</td>
<td>1.00</td>
<td>Y</td>
<td>ii (a)</td>
<td>2</td>
<td>1.5490</td>
<td>136.7 ± 2.1</td>
<td>648 ± 10</td>
</tr>
<tr>
<td>3mm.3</td>
<td>03:32:35.55</td>
<td>-27:46:25.7</td>
<td>1.00</td>
<td>0.85</td>
<td>Y</td>
<td>iv (a)</td>
<td>2</td>
<td>1.3823</td>
<td>33.7 ± 0.7</td>
<td>160 ± 3</td>
</tr>
<tr>
<td>3mm.4</td>
<td>03:32:40.64</td>
<td>-27:46:02.5</td>
<td>1.00</td>
<td>0.85</td>
<td>N</td>
<td>ii</td>
<td>3</td>
<td>2.5733</td>
<td>45.8 ± 1.0</td>
<td>393 ± 9</td>
</tr>
<tr>
<td>3mm.5</td>
<td>03:32:35.48</td>
<td>-27:46:26.5</td>
<td>0.87</td>
<td>0.85</td>
<td>Y</td>
<td>iv (a)</td>
<td>2</td>
<td>1.0876</td>
<td>28.3 ± 0.9</td>
<td>134 ± 4</td>
</tr>
<tr>
<td>3mm.6</td>
<td>03:32:35.64</td>
<td>-27:45:57.6</td>
<td>0.86</td>
<td>0.85</td>
<td>N</td>
<td>ii, iii</td>
<td>3</td>
<td>2.4836</td>
<td>72.8 ± 1.0</td>
<td>624 ± 9</td>
</tr>
<tr>
<td>3mm.7</td>
<td>03:32:39.26</td>
<td>-27:45:58.8</td>
<td>0.86</td>
<td>0.85</td>
<td>N</td>
<td>ii, iii</td>
<td>3</td>
<td>2.4340</td>
<td>25.9 ± 1.0</td>
<td>222 ± 9</td>
</tr>
<tr>
<td>3mm.8</td>
<td>03:32:40.68</td>
<td>-27:46:12.1</td>
<td>0.76</td>
<td>0.85</td>
<td>N</td>
<td>ii, iii</td>
<td>3</td>
<td>2.4193</td>
<td>58.6 ± 0.9</td>
<td>502 ± 8</td>
</tr>
<tr>
<td>3mm.9</td>
<td>03:32:36.01</td>
<td>-27:46:47.9</td>
<td>0.74</td>
<td>0.85</td>
<td>N</td>
<td>ii, iii</td>
<td>3</td>
<td>2.5256</td>
<td>30.5 ± 1.0</td>
<td>261 ± 9</td>
</tr>
<tr>
<td>3mm.10</td>
<td>03:32:35.66</td>
<td>-27:45:56.8</td>
<td>0.61</td>
<td>0.85</td>
<td>Y</td>
<td>ii, iv (b)</td>
<td>3</td>
<td>2.3708</td>
<td>70.4 ± 0.9</td>
<td>603 ± 8</td>
</tr>
</tbody>
</table>

| 1mm.1*    | 03:32:38.54 | -27:46:34.5 | 1.00 | 1.00 | Y | i, iv (b) | 7      | 2.5439 | 48.02 ± 0.37 | — |
| 1mm.2*    | 03:32:38.54 | -27:46:34.5 | 1.00 | 1.00 | Y | i, iv (a) | 8      | 2.5450 | 51.42 ± 0.23 | — |
| 1mm.3     | 03:32:38.54 | -27:46:31.3 | 0.93 | 0.85 | Y | iv (b) | 3      | 0.5356 | 3.66 ± 0.08 | 31 ± 1 |
| 1mm.4     | 03:32:37.36 | -27:46:10.0 | 0.85 | 0.65 | N | i | [C II] | 6.3570 | 12.49 ± 0.23 | — |
| 1mm.5     | 03:32:38.59 | -27:46:55.0 | 0.79 | 0.75 | N | ii | [C II] | 0.7377 | 12.95 ± 0.09 | 150 ± 1 |
| 1mm.6     | 03:32:36.58 | -27:46:50.1 | 0.78 | 0.75 | Y | iv (c) | 4      | 1.0716 | 21.45 ± 0.15 | 249 ± 2 |
| 1mm.7     | 03:32:37.91 | -27:46:57.0 | 0.77 | 1.00 | N | ii, iii | 4      | 0.7936 | 37.53 ± 0.10 | 436 ± 1 |
| 1mm.8     | 03:32:37.68 | -27:46:52.6 | 0.71 | 0.72 | N | ii, iii | [C II] | 6.3939 | 84.01 ± 0.23 | — |
| 1mm.9     | 03:32:36.14 | -27:46:37.0 | 0.63 | 0.75 | N | ii, iii | [C II] | 7.5524 | 23.22 ± 0.24 | — |
| 1mm.10    | 03:32:37.08 | -27:46:19.9 | 0.62 | 0.75 | N | ii, iii | [C II] | 8.5090 | 8.21 ± 0.12 | 95 ± 1 |
| 1mm.11    | 03:32:37.71 | -27:46:41.0 | 0.61 | 0.85 | N | ii, iii | [C II] | 9.9442 | 14.74 ± 0.18 | 171 ± 2 |

* Not used for deriving the H$_2$ mass for this source, as a lower-J line is available.
emission of galaxies with stellar mass $M_\star \sim 10^8 M_\odot$ at almost all $z < 2$. In the absence of an optical / near-IR counterpart, we thus exclude redshift identification that would locate the source at $z < 2$.

iv- In the presence of an optical/near-IR counterpart, the line identification is guided by the availability of optical redshift estimates. Optical spectroscopy (e.g., see the compilations by Le Fèvre et al. 2005; Coe et al. 2006; Skelton et al. 2014; Morris et al. 2015) is considered secure (typical uncertainties are in the order of a few hundred km s$^{-1}$). When not available, we rely on HST grism data (Morris et al. 2015; Momcheva et al. 2016), or photometric redshifts (Coe et al. 2006; Skelton et al. 2014).

Ten out of 21 blindly-selected lines are uniquely identified in this way. A bootstrap analysis is then adopted to account for the remaining uncertainties in the line identification: To each source, we assign a redshift probability distribution which is proportional to the comoving volume in the redshift bins sampled with all the possible line identifications. We then run 1000 extractions of the redshift values picked from their probability distributions and compute the relevant quantities (line luminosities, inferred molecular masses, contribution to the cosmic density of molecular gas) in each case. The results are then averaged among all the realizations. The line identifications and associated redshifts are listed in Tab. 1.

To compute the contribution of each line candidate to the CO luminosity functions and to the cosmic budget of molecular gas mass in galaxies, we need to account for the fidelity (i.e., the reliability of a line candidate against false-positive detections) and completeness (i.e., the fraction of line candidates that we retrieve as a function of various line parameters) of our search. For the fidelity (i.e., the reliability of a line candidate), we infer the incidence of false-positive detections, make the impact of flux boosting negligible and completeness at the S/N and luminosity of the line candidates in our analysis is reported in Tab. 1. At low S/N, flux boosting might bias our results high, through effectively overestimating the impact of a few intrinsically bright sources against many fainter ones scattered above our detection threshold by the noise. However, the relatively high S/N (>5) of our line detections, and the statistical corrections for missed lines that are scattered below our detection threshold, and for spurious detections, make the impact of flux boosting negligible in our analysis.

3.1.2. CO line stack

We can improve the sensitivity of our CO search beyond our ‘blind’ CO detections by focusing on those galaxies where an accurate redshift is available via optical/near-IR spectroscopy. Slit spectroscopy typically leads to uncertainties of a few 100 km s$^{-1}$, while grism spectra from the 3D-HST (Momcheva et al. 2016) have typical uncertainties of $\sim 1000$ km s$^{-1}$ due to the coarser resolution and poorer S/N. By combining the available spectroscopy, we construct a list of 42 galaxies for which a slit or grism redshift information is available (Le Fèvre et al. 2005; Coe et al. 2006; Skelton et al. 2014; Morris et al. 2015; Momcheva et al. 2016) within 37.5″ from our pointing center (this corresponds to the area of the primary beam at the low-frequency end of the band 3 scan). Out of these, 36 galaxies have a redshift for which one or more J<5 CO transitions have been covered in our frequency scans. We extract the 3mm and 1mm spectra of all these sources, and we stack them with a weighted-average. As weights, we used the inverse of the variance of the spectral noise. This is the pixel rms of each channel map, corrected a posteriori for the primary beam attenuation at the source position. As Fig. 3 shows, no obvious line is detected above a S/N=3. If we integrate the signal in a 1000 km s$^{-1}$ wide bin centered on the rest-frame frequency of the lines, we retrieve a $\sim 2\sigma$ detection of the CO(2-1) and CO(4-3) lines (corresponding to average line fluxes of...
7

Figure 2. Luminosity limit reached in our 3mm and 1mm scans, for various CO transitions. The completeness is computed as the number of mock lines retrieved by our blind search analysis divided by the number of ingested mock lines, and here it is plotted as a function of the line luminosity. The 50% limits, marked as dashed vertical lines, are typically met at \( L' = (3 - 6) \times 10^9 \) K km s\(^{-1}\) pc\(^2\) at 3mm for any \( J>1 \), and at \( L' = (4 - 8) \times 10^8 \) K km s\(^{-1}\) pc\(^2\) at 1mm for any \( J>3 \). The \( J=1 \) and 3 cases in the 3mm and 1mm cubes show a broader distribution towards lower luminosity limits due to the wide spread of luminosity distance for these transitions within the frequency ranges of our observations.

\[ \sim 0.006 \text{ Jy km s}^{-1} \text{ and } \sim 0.010 \text{ Jy km s}^{-1} \text{ respectively}. \]

However, given that their low significance, and that they are drawn from a relatively sparse sample, we opt not to include them in the remainder of the analysis, until we are able to significantly expand the list of sources with secure optical/near-IR redshifts. This will be possible thanks to the advent of integral field spectroscopy units with large field of view, like MUSE, which will provide spectra (and therefore redshifts) for hundreds of galaxies in our pointing.

3.2. CO luminosity functions

The CO luminosity functions are constructed as follows:

\[
\Phi(\log L_i) = \frac{1}{V} \sum_{j=1}^{N_i} \frac{Fid_j}{C_j}
\]  

(2)

Here, \( N_i \) is the number of galaxies with a CO luminosity falling into the luminosity bin \( i \), defined as the luminosity range between \( \log L_i - 0.5 \) and \( \log L_i + 0.5 \), while \( V \) is the volume of the universe sampled in a given transition. Each entry \( j \) is down-weighted according to the fidelity \( (Fid_j) \) and up-scaled according to the completeness \( (C_j) \) of the \( j \)-th line. As described in Paper I, the fidelity at a given S/N is defined as \( (N_{pos} - N_{neg})/N_{pos} \), where \( N_{pos/neg} \) is the number of positive and negative lines with said S/N. This definition of the fidelity allows us to statistically subtract the false positive line can-

Figure 3. Stacked mm spectrum of the sources in our field with optical/near-IR redshifts. The adopted spectral bin is 70 km s\(^{-1}\) wide. The 1-\( \sigma \) uncertainties are shown as grey lines. We highlight the ±500 km s\(^{-1}\) range where the stacked flux is integrated. We also list the number of sources entering each stack. No clear detection is reported in any of the stacked transitions.
Sec. 3.1.1. Given that all our blind sources have S/N > 5 flux measurements in the bootstrap analysis described in associated with the line identification and the errors from the corresponding to 1-σ confidence functions in most cases (the only exception being the depth required to sample the expected knee of the luminosity functions in various redshift bins. The constraints from our ALMA UDF project are marked as red squares, with the vertical size of the box showing the Poissonian uncertainties. The results of the HDF study by Walter et al. (2014) are shown as cyan boxes, with error bars marking the Poissonian uncertainties. Semianalytical models by Lagos et al. (2012) and Popping et al. (2016) as well as the empirical predictions by Vallini et al. (2016) are shown for comparison. Our ALMA observations reach the knee of the luminosity functions in almost all redshift bins. The only exception is the CO(4-3) transition in the (z) = 3.80 bin, for which the models by Lagos et al. (2012) place the knee approximately one order of magnitude below that predicted by Popping et al. (2016), thus highlighting the large uncertainties in the state-of-the-art predictions of gas content and CO excitation, especially at high redshift. In particular, these two approaches differ in the treatment of the radiative transfer and CO excitation in a number of ways: 1) Lagos et al. (2012) adopt a single gas density value for each galaxy, whereas Popping et al. (2016) construct a density distribution for each galaxy, and assume a log-normal density distribution for the gas within clouds; 2) Lagos et al. (2012) include heating from both UV and X-rays (although the latter might be less critical for the purposes of this paper), while Popping et al. (2016) only consider the UV contribution to the heating; 3) the CO chemistry in Lagos et al. (2012) is based on a fit to results from the Wolfire et al. (2010) photo-dissociation region code; 4) the CO excitation in Lagos et al. (2012) is also based on the UCL_PDR code, while Popping et al. (2016) adopt a customized escape probability code for the level population; 5) the typical αCO in the Lagos et al. (2012) models is higher than in Popping et al. (2016), although the exact value of αCO in both models changes from galaxy to galaxy [i.e., the CO(1-0) luminosity functions do not translate into H2 mass functions with a simple scaling].

Our observations shown in Fig. 4 indicate that an excess of CO-bright sources with respect to semi-analytical models might be in place. This is apparent in the 1mm data. However, the same excess is not observed in the 3mm band. In particular, in the (z) = 1.43 bin, the lack of bright CO(5-4) lines [compared to the brighter CO(2-1) emission reported here] suggests that the CO excitation is typically modest.

Such apparent low CO excitation is supported by the detailed analysis of a few CO-bright sources presented in a companion paper (Paper IV of this series, Decarli et al. 2016). These findings guide our choice of a low-excitation template to convert the observed J>1 luminosities into CO(1-0). In the next stpng of our analysis, we refer to the template of CO excitation of main-sequence galaxies by Daddi et al. (2015): If rJ1 is the temper...
Figure 5. CO(1-0) luminosity functions in various redshift bins. The constraints from ASPECS are marked as red squares, with the vertical size of each box showing the uncertainties. The results from the 3mm scan with PdBI by Walter et al. (2014) are shown as cyan boxes, with error bars marking the Poissonian uncertainties. The observed CO(1-0) luminosity functions of local galaxies by Keres et al. (2003) and Boselli et al. (2014) are shown as red circles and orange diamonds in the first panel, respectively, and as grey points for comparison in all the other panels. The intensity mapping constraints from Keating et al. (2016) are shown as a shaded yellow area. Semi-analytical models by Lagos et al. (2012) and Popping et al. (2016) as well as the empirical predictions by Vallini et al. (2016) are shown for comparison. The mass function scale shown in the top assumes a fixed $\alpha_{CO} = 3.6 \pm 0.1$. Our results agree with the predictions at $z < 1$, and suggest that an excess of bright sources with respect to both the empirical predictions by Vallini et al. (2016) and the models by Lagos et al. (2012) appears at $z > 1$.

Temperature ratio between the CO(J-[J-1]) and the CO(1-0) transitions, we adopt $r_{J1} = 0.76 \pm 0.09, 0.42 \pm 0.07, 0.23 \pm 0.04$ for $J=2,3,5$. In the case of CO(4-3) (which is not part of the template), we interpolate the models shown in the left-hand panel of Fig. 10 in Daddi et al. (2015), yielding $r_{J4} = 0.31 \pm 0.06$, where we conservatively assume a 20% uncertainty. Each line luminosity is then converted into CO(1-0) as:

$$\log L'_{CO(1-0)} = \log L_{CO(J-[J-1])} - \log r_{J1}$$

The uncertainties in the excitation correction are included in the bootstrap analysis described in Sec. 3.1.1. Based on these measurements, we derive CO(1-0) luminosity functions following eq. 2. The results are shown in Fig. 5. Compared to Fig. 4, we have removed the $\langle z \rangle = 1.43$ bin from the 1mm data as the CO(2-1) line at 3mm is observed in practically the same redshift range and is subject to smaller uncertainties related to CO excitation corrections. Our observations succeed in sampling the predicted knee of the CO(1-0) luminosity functions at least up to $z \sim 3$. Our measurements reveal that the knee of the CO(1-0) luminosity function shifts toward higher luminosities as we move from $z \approx 0$ (Keres et al. 2003; Boselli et al. 2014) to $z \sim 2$. Our results agree with the model predictions at $z < 1$. However, at $z > 1$ they suggest an excess of CO-luminous sources, compared to the current models. This result is robust against CO excitation uncertainties: For example, it is already apparent in the $\langle z \rangle = 1.43$ bin, where we covered the CO(2-1) line in our 3mm cube; this line is typically close to be thermalized in star forming galaxies, so excitation corrections are small. Our result is also broadly consistent with the findings by Keating et al. (2016), based on a CO(1-0) intensity mapping study at $z = 2 - 3$, that is unaffected by CO excitation.

3.3. Cosmic H$_2$ mass density

To derive H$_2$ masses, and the evolution of the cosmic H$_2$ mass density, we now convert the CO(1-0) luminosities into molecular gas masses $M_{H_2}$:

$$M_{H_2} = \alpha_{CO} L'_{CO(1-0)}$$

The conversion factor $\alpha_{CO}$ implicitly assumes that CO is optically thick. The value of $\alpha_{CO}$ critically depends on the metallicity of the interstellar medium (see Bolatto et al. 2013, for a review). A galactic value $\alpha_{CO} = 3 - 6 M_\odot (K \text{ km s}^{-1} \text{ pc}^2)^{-1}$ is expected for most of non-starbursting galaxies with metallicities $Z \gtrsim 0.5 Z_\odot$ (Wolfire et al. 2010; Glover et al. 2011; Feldmann et al. 2012). At $z \sim 0.1$, this is the case for the majority of main-sequence galaxies with $M_* > 10^9 M_\odot$ (Tremonti et al. 2004). This seems to hold even at $z \sim 3$. However, at $z > 1$...
if one takes into account the SFR dependence of the mass-metallicity relation (Mannucci et al.
2010). Following Daddi et al. (2010a), we thus assume $\alpha_{\text{CO}} = 3.6$
M$_\odot$ (K km s$^{-1}$ pc$^2$)$^{-1}$ for all the sources in our sample. In section 4 we discuss how our results would be affected
by relaxing this assumption.

Next, we compute the cosmic density of molecular gas in galaxies, $\rho(H_2)$:

$$\rho(H_2) = \frac{1}{V} \sum_i N_i \sum_j \frac{M_{i,j} P_j}{C_j}$$

where $M_{i,j}$ is a compact notation for $M_{H_2}$ of the $j$-th galaxy in the mass bin $i$, and the index $i$ cycles over all the mass bins. As for $\Phi$, the uncertainties on $\rho(H_2)$ are dominated by the Poissonian errors. Our findings are shown in Fig. 6 and are summarized in Tab. 2. We note that the measurements presented here are only based on the observed part of the luminosity function. Therefore, we do not attempt to correct for undetected galaxies in lower luminosity bins given the large uncertainties in the individual luminosity bins and the unknown intrinsic shape of the CO luminosity function.

From Fig. 6, it is clear that there is an evolution in the molecular gas content of galaxies with redshift, in particular compared with the $z = 0$ measurements by Keres et al. (2003) [$\rho(H_2) = (2.2 \pm 0.8) \times 10^7$ M$_\odot$ Mpc$^{-3}$] and Boselli et al. (2014) [$\rho(H_2) = (1.2 \pm 0.2) \times 10^7$ M$_\odot$ Mpc$^{-3}$]. The global amount of molecular gas stored in galaxies at the peak epoch of galaxy assembly is 3–10 times larger than at the present day. This evolution can be followed up to $z \sim 4.5$, i.e., 90% of the age of the universe. This trend agrees with the initial findings using PdBI (Walter et al. 2014). Our results are consistent with the constraints on $\rho(H_2)$ at $z \sim 2.6$ based on the CO(1-0) intensity mapping experiment by Keating et al. (2016)\(^3\).

By assuming a linear relation between the CO luminosity of galaxies and their dark matter halo mass, they interpret their CO power spectrum constraint in terms of $\rho(H_2) < 2.6 \times 10^8$ M$_\odot$ Mpc$^{-1}$ (at 1-$\sigma$). They further tighten the constraint on $\rho(H_2)$ by assuming that the $L_{CO}$–dark matter halo mass relation has a scatter of 0.37 dex (a factor $\approx 2.3$), which translates into $\rho(H_2) = 1.1^{+0.7}_{-0.4} \times 10^8$ M$_\odot$ Mpc$^{-1}$, in excellent agreement with our measurement. Our findings are also consistent with the global increase of the gas fraction as a function of redshift found in targeted observations (e.g., Daddi et al. 2010a; Riechers et al. 2010, 2013; Genzel et al. 2010, 2015; Geach et al. 2011; Magdis et al. 2012; Magnelli et al. 2012), although we find a large variety in the gas fraction in individual sources (see Decarli et al. 2016). Our results are also in general agreement with the expectations from semi-analytical models (Obreschkow et al. 2009a, b; Lagos et al. 2011, 2012; Popping et al. 2014a, b) and from empirical predictions (Sargent et al. 2012, 2014). From the present data, there is an indication for a decrease of $\rho(H_2)$ at $z > 3$, as suggested by some models\(^4\). A larger sample of $z > 3$ CO emitters with spectroscopically-confirmed redshifts, and covering more cosmic volume, is required in order to explore this redshift range.

### 3.4. Estimates from dust continuum emission

In Fig. 7 we compare the constraints on $\rho(H_2)$ inferred from CO with those on $\rho(\text{ISM})$ derived from the dust continuum in our observations of the UDF. These are derived following Scoville et al. (2014). In brief, for each 1mm continuum source (see the companion paper Aravena et al. 2016a), the ISM mass is computed as:

$$\frac{M_{\text{ISM}}}{10^{10} M_\odot} = \frac{1.78}{(1+z)^{4.8}} S_\nu \frac{\nu}{350 \text{ GHz}}^{-3.8} \frac{\Gamma_0}{\Gamma_{\text{RJ}}} \left(\frac{D_L}{\text{Gpc}}\right)^2$$

where $S_\nu$ is the observed continuum flux density, $\nu$ is the observing frequency (here, we adopt $\nu = 242$ GHz as the central frequency of the continuum image), $\Gamma_{\text{RJ}}$ is a unitless correction factor that accounts for the deviation from the $\nu^2$ scaling of the Rayleigh-Jeans tail, $\Gamma_0 = 0.71$ is the tuning value obtained at low-$z$, and $D_L$ is the luminosity distance (see eq. 12 in Scoville et al. 2014). The dust temperature (implicit in the definition of $\Gamma_{\text{RJ}}$), is set to 25 K. The ISM masses obtained via eq. 6 for each galaxy detected in the continuum (see Aravena et al. 2016a) are then split in the same redshift bins used for the CO-based estimates, and summed. We include here all the sources detected down to $S/N = 3$ in the 1mm continuum. Poissonian uncertainties are found again to dominate the estimates of $\rho$ (if model uncertainties are neglected). The values of $\rho(\text{ISM})$ obtained in this way are reported in Tab. 2. We find that the ISM mass density estimates are roughly consistent (within the admittedly large uncertainties) with the CO-based estimates in the lower redshift bins ($z \sim 0.5, 0.95,$ and 1.4), while discrepancies are found at $z > 2$, where $\rho(H_2)$ estimates based on CO tend to be larger than $\rho(\text{ISM})$ estimates based on dust. Scoville et al. (2015) present a different calibration of the recipe that would shift the dust-based mass estimates up by a fac-

\(^3\) For a CO intensity mapping experiment based on the ASPECS data, see Carilli et al. (2016).

\(^4\) The $\rho(H_2)$ value at $z > 3$ in the models by Popping et al. (2016) is lower than in the predictions in Lagos et al. (2011). This might be surprising as the CO(1-0) luminosity function in the former exceeds the one of the latter, especially at high redshift (see Fig. 5). This discrepancy is explained with the non-trivial galaxy–to–galaxy variations of $\alpha_{\text{CO}}$ in the two models.
ASPECS: CO luminosity functions and cosmic density of molecular gas

Figure 6. Comoving cosmic mass density of molecular gas in galaxies \( \rho(H_2) \) as a function of redshift, based on our molecular survey in the UDF. Our ASPECS constraints are displayed as red boxes. The vertical size indicates our uncertainties (see text for details). Our measurements are not extrapolated to account for the faint end of the molecular gas mass function. Since our observations sample the expected knee of the CO luminosity functions in the redshift bins of interest, the correction is expected to be small \(< 2 \times\). Semi-analytical model predictions by Obreschkow et al. (2009a,b), Lagos et al. (2012) and Popping et al. (2014a,b) are shown as lines; the empirical predictions by Sargent et al. (2014) are plotted as a grey area; the constraints by Keating et al. (2016) are displayed with triangles; the PdBI constraints (Walter et al. 2014) are represented by cyan boxes. Our ALMA observations show an evolution in the cosmic density of molecular gas up to \( z \approx 4.5 \).

The global molecular content of galaxies at the peak of galaxy formation appears 3–10× higher than in galaxies in the local universe, although large uncertainties remain due to the limited area that is covered.

However, even applying the more recent calibration would not be sufficient to significantly mitigate the discrepancy between CO-based and dust-based estimates of the gas mass at high redshift. In Aravena et al. (2016a) we show that all of our 1mm continuum sources detected at >3.5-\( \sigma \) (except one) are at \( z < 2 \). On the other hand, the redshift distribution of CO-detected galaxies in our sample extends well beyond \( z = 2 \), thus leading to the discrepancy in the \( \rho \) estimates at high redshift. Possible explanations for this difference might be related to the dust temperature and opacity, and to the adopted \( \alpha_{\text{CO}} \). A higher dust temperature in high-\( z \) galaxies (> 40 K) would shift the dust emission towards higher frequencies, thus explaining the comparatively lower dust emission observed at 1mm (at a fixed IR luminosity). Moreover, at \( z = 4 \) our 1mm continuum observations sample the rest-frame \( \sim 250 \mu m \) range, where dust might turn optically thick (thus leading to under-estimates of the dust emission). Finally, we might be over-estimating molecular gas masses at high \( z \) if the \( \alpha_{\text{CO}} \) factor is typically closer to the ULIRG/starburst value \( \alpha_{\text{CO}} \approx 0.8 \, M_\odot (K \text{ km s}^{-1} \text{ pc}^2)^{-1} \), see Daddi et al.
Table 2. Redshift ranges covered in the molecular line scans, the corresponding comoving volume, the number of galaxies in each bin (accounting for different line identifications), and our constraints on the molecular gas content in galaxies $\rho(H_2)$ and $\rho(\text{ISM})$.

<table>
<thead>
<tr>
<th>Transition</th>
<th>$\nu_0$</th>
<th>$z_{\text{min}}$</th>
<th>$z_{\text{max}}$</th>
<th>$\langle z \rangle$</th>
<th>Volume</th>
<th>$N(H_2)$</th>
<th>$\log \rho_{\text{min}}(H_2)$</th>
<th>$\log \rho_{\text{max}}(H_2)$</th>
<th>N(ISM)</th>
<th>$\log \rho_{\text{min}}(\text{ISM})$</th>
<th>$\log \rho_{\text{max}}(\text{ISM})$</th>
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<tr>
<td></td>
<td>[GHz]</td>
<td></td>
<td></td>
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<td>(10)</td>
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<td>(12)</td>
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<tr>
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<td>0.2713</td>
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<td>0.4858</td>
<td>314</td>
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<tr>
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<td>0.6950</td>
<td>1.1744</td>
<td>0.9543</td>
<td>1028</td>
<td>0–5</td>
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<tr>
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<td>1.7387</td>
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<td>1920</td>
<td>3</td>
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<td>CO(3-2)</td>
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<td>4149</td>
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<td>5.53</td>
<td>7.58</td>
<td>0</td>
<td>–</td>
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</tr>
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Figure 7. Comparison between the CO-derived estimates of $\rho(H_2)$ and the 1mm dust continuum-based estimates of $\rho(\text{ISM})$. The galaxies are binned in the same redshift bins as presented in Fig. 6, as indicated by the color of the symbols. The one-to-one case is shown as a dashed line. The dust-based estimates agree with the CO-based estimates at $z < 2$, but they seem to fall below line of unity case at higher redshifts.

In this paper we use our ALMA molecular scans of the Hubble UDF in band 3 and band 6 to place blind constraints on the CO luminosity function up to $z \sim 4.5$. We provide constraints on the evolution of the cosmic molecular gas density as a function of redshift. This study is based on galaxies that have been blindly selected through their CO emission, and not through any other multi-wavelength property. The CO number counts have been corrected for by two parameters, fidelity and completeness, which take into account the number of false positive detections due to noise peaks and the fraction of lines that our algorithm successfully recovers in our data cubes from a parent population of known (artificial) lines.

We start by constructing CO luminosity functions for the respective rotational transitions of CO for both the 3 mm and 1 mm observations. We compare these measurements to models that also predict CO luminosities in various rotational transitions, i.e. no assumptions were made in comparing our measurements to the models. This comparison shows that our derived CO luminosity functions lie above the predictions in the 3 mm band. On the other hand, in the 1 mm band our measurements are comparable to the models. Together this implies that the observed galaxies are more gas-rich than currently attributed for in the models, but with lower excitation.

 Accounting for a CO excitation characteristic of main-sequence galaxies at $z \sim 1–2$, we derive the CO luminosity function of the ground-transition of CO ($J=1–0$) from our observations. We do so only up the $J=4$ transition of CO, to ensure that our results are not too strongly affected by the excitation corrections that would dominate the analysis at higher J. We find an evolution in the CO(1-0) luminosity function compared with observations in the local universe, with an excess of CO-emitting sources at the bright end of the lumi-

4. SUMMARY AND DISCUSSION
nosity functions. This is in general agreement with first constraints on the CO intensity mapping from the literature. This evolution exceeds what is predicted by the current models. This discrepancy appear to be a common trait of models of galaxy formation: galaxies with $M_*>10^{10}M_{\odot}$ at $z=2-3$ are predicted to be 2-3 times less star forming than observed (see, e.g., the recent review by Somerville & Davé 2015), and similarly less gas–rich (see the analysis in Popping et al. 2015a,b).

The sensitivity of the ALMA observations reaches below the knee of the predicted CO luminosity functions (around $5\times10^9K\, km\, s^{-1}\, pc^2$) at all redshifts. We convert our luminosity measurements into molecular gas masses via a ‘Galactic’ conversion factor. By summing the molecular gas masses obtained at each redshift, we obtain an estimate of the cosmic density of molecular gas in galaxies, $\rho(H_2)$. Given the admittedly large uncertainties (mainly due to Poisson errors), and the unknown shape of the intrinsic CO luminosity functions, we do not extrapolate our measurements outside the range of CO luminosities (i.e., $H_2$ masses) covered in our survey.

We find an increase (factor of 3–10) of the cosmic density of molecular gas from $z \sim 0$ to $z \sim 2–3$, albeit with large uncertainties given the limited statistics. This is consistent with previous findings that the gas mass fraction increases with redshift (see, e.g., Tacconi et al. 2010, 2013; Magdis et al. 2012). However our measurements have been derived in a completely different fashion, by simply counting the molecular gas that is present in a given cosmic volume, without any prior knowledge of the general galaxy population in the field. In this respect, our constraints on $\rho(H_2)$ are actually lower limits, in the sense that they do not recover the full extent of the luminosity function. However, a) we do sample the predicted knee of the luminosity function in most of the redshift bins, suggesting that we recover a large part (>50%) of the total CO luminosity per comoving volume; b) the fraction of the CO luminosity function missed because of our sensitivity cut is likely larger at higher redshift, i.e., correcting for the contribution of the faint end would make the evolution in $\rho(H_2)$ even steeper.

We have also derived the molecular gas densities using the dust emission as a tracer for the molecular gas, following Scoville et al. (2014, 2015). The molecular gas densities derived from dust emission are generally smaller than but broadly consistent with those measured from CO at $z<2$, but that they might fall short at reproducing the predicted gas mass content of galaxies at $z>2$.

Our analysis demonstrates that CO-based gas mass estimates result in 3–10 times higher gas masses in galaxies at $z \sim 2$ than in the local universe. The history of cosmic SFR (Madau & Dickinson 2014) appears to at least partially follow the evolution in molecular gas supply in galaxies. The remaining difference between the evolution of the SFR density (a factor of 20) and the one of molecular gas (a factor of 3–10) may due to the shortened depletion time scales. A further contribution to this difference may be ascribed to cosmic variance. The UDF in general (and therefore also the region studied here) is found to be underdense at $z > 3$ (e.g., Fig. 14 in Beckwith et al. 2006) and in IR-bright sources (Weiß et al. 2009). The impact of cosmic variance can be estimated empirically from the comparison with the number counts of sources detected in the dust continuum (Aravena et al. 2016a), or analytically from the variance in the dark matter structures, coupled with the clustering bias of a given galaxy population (see, e.g., Somerville et al. 2004). Trenti & Stiavelli (2008) provide estimates of the cosmic variance as a function of field size, halo occupation fraction, survey completeness, and number of sources in a sample. For a $\Delta z = 1$ bin centered at $z = 2.5$, a 100% halo occupation fraction and 5 sources detected over 1 arcmin$^2$ (i.e., roughly mimicking the $z \sim 2.5$ bin in our analysis), the fractional uncertainty in the number counts due to cosmic variance is $\sim 20\%$ ($\sim 60\%$ if we include Poissonian fluctuations). Already a factor 5 increase in target area (resulting in a field that is approximately the size of the Hubble eXtremely Deep Field, Illingworth et al. 2013), at similar depth, would beat down the uncertainties significantly ($\lesssim 30\%$, including Poissonian fluctuations). With ALMA now being fully operational, such an increase in areal coverage appears to be within reach.

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