

## The people with Asperger Syndrome and anxiety disorders (PAsSA) trial: a pilot multi-centre single blind randomised trial of group cognitive behavioural therapy

Article (Accepted Version)

Fowler, David, Langdon, Peter E, Murphy, Glynis H, Shepstone, Lee, Wilson, Edward E, Heavens, David, Malovic, Aida, Russell, Alexandra, Rose, Alice and Mullineaux, Louise (2016) The people with Asperger Syndrome and anxiety disorders (PAsSA) trial: a pilot multi-centre single blind randomised trial of group cognitive behavioural therapy. *British Journal of Psychiatry*, 2 (2). pp. 179-186. ISSN 0007-1250

This version is available from Sussex Research Online: <http://sro.sussex.ac.uk/id/eprint/60142/>

This document is made available in accordance with publisher policies and may differ from the published version or from the version of record. If you wish to cite this item you are advised to consult the publisher's version. Please see the URL above for details on accessing the published version.

### **Copyright and reuse:**

Sussex Research Online is a digital repository of the research output of the University.

Copyright and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable, the material made available in SRO has been checked for eligibility before being made available.

Copies of full text items generally can be reproduced, displayed or performed and given to third parties in any format or medium for personal research or study, educational, or not-for-profit purposes without prior permission or charge, provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.

The people with Asperger Syndrome and anxiety disorders (PAsSA) Trial: A pilot multi-centre single blind randomised trial of group cognitive behavioural therapy

Peter E Langdon, DClInPsy, PhD  
University of Kent & Hertfordshire Partnership University NHS Foundation Trust in Norfolk

Glynis H Murphy, PhD  
University of Kent & Oxleas NHS Foundation Trust

Lee Shepstone, PhD  
University of East Anglia

Edward CF Wilson, PhD  
University of Cambridge

David Fowler, MSc  
University of Sussex

David Heavens, ClinPsyD  
University of East Anglia & Norfolk and Suffolk NHS Foundation Trust

Aida Malovic, MSc  
University of Kent

Alexandra Russell, BSc (Hons)  
University of East Anglia & Hertfordshire Partnership University NHS Foundation Trust in Norfolk

Alice Rose, BSc (Hons)  
University of East Anglia and Norfolk and Suffolk NHS Foundation Trust

Louise Mullineaux, BSc (Hons)  
University of East Anglia and Hertfordshire Partnership University NHS Foundation Trust in Norfolk

Peter E Langdon, Tizard Centre, University of Kent & Broadland Clinic, Hertfordshire Partnership University NHS Foundation Trust in Norfolk; Glynis H Murphy, Tizard Centre, University of Kent, and Oxleas NHS Foundation Trust; Edward CF Wilson, School of Clinical Medicine, University of Cambridge; Lee Shepstone, Department of Population Health and Primary Care, Norwich Medical School, University of East Anglia; David Fowler, School of Psychology, University of Sussex; David Heavens, Department of Clinical Psychology, Norwich Medical School, University of East Anglia & Norfolk and Suffolk NHS Foundation Trust; Aida Malovic, Tizard Centre, University of Kent; Alexandra Russell, Department of Clinical Psychology, Norwich Medical School, University of East Anglia & Norfolk and Suffolk NHS Foundation Trust; Alice Rose, Department of Clinical Psychology, Norwich Medical School & Norfolk and Suffolk NHS Foundation Trust; Louise Mullineaux, Department of Clinical Psychology, Norwich Medical School, University of East Anglia and Hertfordshire Partnership University NHS Foundation Trust in Norfolk. This research was supported by a grant from the National Institute for Health Research, Research for Patient Benefit funding stream (Grant Reference: PB-PG-1208-18024). Peter E Langdon is supported by a National Institute for Health Research Postdoctoral Fellowship (Grant Reference: NIHR-PDF-2011-04-040). This article presents independent research funded by the National Institute for Health Research (NIHR). The views expressed are those of the author(s) and not necessarily those of the National Health Service, the National Institute for Health Research or the Department of Health. Correspondence concerning this article should be addressed to Dr Peter Langdon, Tizard Centre, University of Kent, Canterbury, CT2 7LR. Email: [P.E.Langdon@kent.ac.uk](mailto:P.E.Langdon@kent.ac.uk)

## Abstract

**Background:** There is a growing interest in using cognitive behavioural therapy (CBT) with people who have Asperger Syndrome (AS) and comorbid mental health problems.

**Aims:** To examine whether modified group CBT for clinically significant anxiety in an AS population is feasible and likely to be efficacious.

**Method:** Using a randomised assessor-blind trial, 52 individuals with AS were randomised into a treatment arm or a waiting-list control arm. After 24 weeks, those in the waiting-list control arm received treatment, while those initially randomised to treatment were followed-up for 24 weeks.

**Results:** The conversion rate for this trial was high (1.6:1), while attrition was 13%. After 24 weeks, there was no significant difference between those randomised to the treatment arm compared to those randomised to the waiting-list control arm on the primary outcome measure, the Hamilton Rating Scale for Anxiety.

**Conclusions:** Trials of psychological therapies with this population are feasible. Larger definitive trials are now needed.

**Declaration of Interest:** None

**Trial Registration:** ISRCTN 30265294 (DOI: 10.1186/ISRCTN30265294), UKCRN 8370

*Keywords:* Anxiety, Asperger Syndrome (AS), High Functioning Autism (HFA), autism, Cognitive Behavioural Therapy (CBT), Randomised control trial (RCT)

## **Introduction**

Anxiety disorders and related symptomatology are commonly found amongst those with autistic spectrum disorders (ASDs), including Asperger syndrome (AS) (1-7). A meta-analysis examining the effectiveness of cognitive-behavioural therapy (CBT) for anxiety disorders in children with ASDs reported that treatment had an effect size of  $d = 1.19$  for clinician-rated outcome measures,  $d = 1.21$  for parent-rated outcome measures, and  $d = .68$  for child self-report outcome measures (8). The literature about the treatment of mental health problems for adults with ASDs using CBT remains relatively sparse; there have been some case studies (9, 10), and some small trials (11-14). The aim of this trial was to collect data sufficient to inform the design of a definitive large scale trial. The specific objectives included (a) assessing whether a CBT intervention is likely to be efficacious within a pilot, assessor-blind RCT with adults who have AS experiencing problems with anxiety, and (b) to gain participant views about taking part in therapy.

## **Method**

### **Participants**

Fifty-two individuals,  $M_{age} = 35.9$ ,  $SD = 14.5$ , 48% women, were recruited and enrolled within the trial from Kent, South East London and Norfolk within the United Kingdom. Recruitment took place within the community from AS/autism teams, AS user groups, such as Asperger East Anglia, the Kent Autistic Trust, Bridging the Gap, the Disability and Dyslexia Support Services at the University of Kent, learning disability teams, adult mental health teams, and through public advertisements. Participant flow throughout the trial is found in Figure 1, and further demographic information can be found in Table 1.

Insert Figure 1 about here

All participants were initially screened by research workers to determine eligibility to take part in the trial. The inclusion criteria were: a) participants fulfilled diagnostic criteria for AS, high functioning autism (HFA) or pervasive developmental disorder – not specified (PDD-NS); diagnosis was confirmed by inspection of previous records, or the study team used the Autism Diagnostic Observation Schedule to confirm the diagnosis, b) participants had clinically significant difficulties with anxiety as confirmed through the use the Hamilton Rating Scale for Anxiety; score of >14 qualified inclusion, c) participants were between 16-65 years of age, d) Full Scale IQ >70 on the Wechsler Abbreviated Scale of Intelligence (15). The exclusion criteria were: a) participants with post-traumatic stress disorder, or anxiety related to substance misuse, b) co-morbid severe psychiatric disorders that impair capacity to consent to take part (e.g. florid symptoms of psychosis), and c) current substance abuse such as alcohol or drugs.

Insert Table 1 about here

### **Design and Randomisation**

This study was an assessor-blind randomised trial. Our full protocol has been published elsewhere (16). Masked researchers enrolled participants and carried out the assessments. Even pairs of participants were allocated to the treatment arm (group CBT + Treatment as Usual (TAU) for 24 weeks) or the waiting-list control arm (TAU for 24 weeks) using blocked randomisation with random even blocks, stratified by study site. The therapists at each research site contacted participants to inform them of their group allocation. All data were stored independently by the Norwich Clinical Trials Unit based at the University of East Anglia, who also carried out randomisation. Once randomisation had been completed, therapists were informed, who then informed participants.

After the initial 24 weeks of treatment, those within the waiting-list control group received 24 weeks of group CBT, while those who had already taken part in group CBT continued to receive TAU for a further 24 weeks. Both groups were assessed again following this further 24-week period. This allowed for 50% of the participants, those who received treatment first, to be followed up for a six month period. Following the completion of all study outcome measures, participants were interviewed and invited to give their opinions on the intervention and asked to make suggestions about what they would like changed. Participants completed the outcome measures on three occasions, a) Baseline, b) Follow-up 1, and c) Follow-up 2.

### **Ethical considerations**

A favourable ethical opinion was obtained from the Cambridgeshire 4 NHS Research Ethics Committee (Reference: 10/H0305/42). Informed consent was sought from participants and their carers, who completed the Social/Emotional Functioning Interview – Informant Version. Participants were afforded time to consider whether they wanted to participate and were given the opportunity to ask any questions. Information about the study was provided in an “easier to read” format for participants who may have had reading difficulties. Participants were told that they could withdraw from the trial at any stage without giving a reason, and this would not affect access to other treatments or services. Adverse events relating to the trial were monitored throughout and none were detected.

### **Intervention**

The intervention used within this study comprised 24 weekly sessions, each lasting approximately one hour. Participants received 3 initial sessions of 1:1 CBT, followed by 21 group CBT sessions. All sessions took place within community-based settings. The initial three sessions of therapy aimed to help socialise each participant into CBT and to address any concerns they may have about joining the group. At least two therapists were present for

each group session. In order to ensure adherence to treatment, a treatment manual (17) was developed with specific aims for each session, and all sessions were delivered by a registered clinical psychologist or a qualified cognitive-behavioural therapist. The treatment manual included the following topics, (a) psychoeducation about ASDs and anxiety, (b) cognitive restructuring, (c) anxiety management techniques, (d) systematic desensitization, (e) exposure to feared social situations, and (f) social skills training. These skills were practiced *in vivo*. In addition to the intervention, participants in both arms before and after cross-over received TAU. The description of our intervention is intentionally brief within this paper as the complete treatment manual, which includes all of the session-by-session content can be downloaded from [http://www.kent.ac.uk/tizard/staff/acadstaff/pete\\_langdon.html](http://www.kent.ac.uk/tizard/staff/acadstaff/pete_langdon.html).

### **Outcome Measures and Analysis**

Our primary outcome measure was the Hamilton Rating Scale for Anxiety. Data were collected at participants' homes, the university, or in community-based clinical settings.

**Primary outcome measure. *Hamilton Rating Scale for Anxiety (18)*.** This is a structured clinician rated scale incorporating 14 factors which are considered valid indicators of anxiety. Each factor reflects a symptom of anxiety; physical as well as mental symptoms are represented. The factors are scored on a 5-point scale as part of a structured interview.

**Secondary outcome measures. *Social Phobia Inventory (19)*.** This is a 17-item self-report measure of behavioural, physiological and cognitive symptoms associated with social phobia. Participants rate the frequency with which they experience each symptom over the last week, using a five-point Likert-type scale (0-4). ***Liebowitz Social Anxiety Scale (20)***. This instrument is a self-report scale that assesses fear and avoidance throughout 24 listed situations, which are likely to elicit social anxiety. ***Social and Emotional Functioning Interview (Informant and Subject Versions) (21)***. This is a semi-structured clinician rated

assessment of everyday social and psychiatric functioning that was designed to assess independence, leisure, interpersonal problems, employment, and social relationships. Some items are shared with the Autistic Diagnostic Observation Schedule. This measure was completed with each participant, and a nominated informant. ***Social Interaction Anxiety Scale*** (22, 23). A self-report 20- item measure of anxiety as experienced in social situations associated with social anxiety and social phobia in accordance to the *DSM-IV* criteria. Experiences are rated on a 5-point scale from 0 (not at all characteristic of me) to 4 (extremely characteristic of me). ***Fear Questionnaire*** (24). A self-report questionnaire regarding the individual perception of fears and phobias; respondents are asked how likely they are to avoid each of the listed situations, due to anxiety/fear or any other unpleasant feelings. In addition to the 15 pre-existing items the individual is asked to document and score any individual phobias they would wish treated. ***Hamilton Rating Scale for Depression*** (25). This structured clinician rated interview is considered a valid indicator of depression and the ratings are based on the interviewer's objective and subjective perceptions during the assessment. Eight items are scored on a 0 (not present) to 4 (severe) point scale, and nine items are scored from 0-2 (levels of severity). ***Views about therapy***. Following the completion of the trial, participants were interviewed, and asked to rate nine questions on a five point Likert Scale about their experiences of receiving therapy. Participants were also asked the five following questions, with supplementary questions used for clarification, 1) "What were you hoping for by taking part in this research study?", 2) "What was best about the group?", 3) "What was worst about the group?", 4) "What advice would you give for the next group?", and 5) "Were there any difficulties you feel that the group did not address?". ***Health Economics***. Generic health related quality of life (EuroQol EQ-5D) and health service contact data were also collected. These will be reported separately (manuscript in preparation) and includes some description of TAU.



**Analysis.** Data were analysed using SAS Version 9.4 by a subgroup blind statistician (LS), controlling for baseline scores, making use of the Intent-to-Treat principle; the analysis was completed using the originally assigned groups. The initial group allocation for participants did not change throughout the trial. Data about participants' views of taking part in therapy were subjected to both a frequency and descriptive thematic analysis. A supplementary analysis was completed using participants who attended at least 50% of the treatment sessions at Follow-up 1 in order to examine whether outcomes were different for those who attended a greater number of sessions.

## Results

Considering recruitment, 83 participants were approached and 52 were enrolled; this is a conversion rate of 1.6 participants to 1 participant. Seven participants were lost throughout the trial, representing an attrition rate of 13%. One participant told us that they withdrew because they found travelling to the group too difficult. Another three participants said that they no longer wanted to attend the group because it was either too difficult for them, or something they found unhelpful. The other participants did not respond to our attempts to contact them.

The two treatment arms were well matched on IQ, age, sex and the primary outcome measure (Table 1 & 2). Both groups scored in the “mild to moderate” or “moderate to severe” ranges on the Hamilton Rating Scale for Anxiety (HAM-A). Participants were predominately white British, single and without children (Table 1). The two groups did not differ significantly in terms of the number of treatment sessions they attended,  $t(50) = < 1, p = .774$  (Table 2).

Turning to consider the primary outcome measure, HAM-A mean scores significantly improved over Time, regardless of arm, and regardless of Baseline scores,  $F(2, 84) = 43.67$ ,  $p < .001$ . Controlling for Baseline scores, there was no significant difference between the Treatment and Wait List arms at either Follow-up 1 or 2 on the HAM-A (Table 2).

Considering the secondary outcome measures, there was a significant improvement over Time, regardless of arm, and Baseline scores, on the Hamilton Rating Scale for Depression (HAM-D),  $F(2, 84) = 7.84$ ,  $p = .008$ , Fear Questionnaire Total Phobia Score,  $F(2, 84) = 6.00$ ,  $p = .019$ , Liebowitz Avoidance,  $F(2, 84) = 10.52$ ,  $p = .003$ , Liebowitz Fear/Anxiety,  $F(2, 84) = 10.90$ ,  $p < .002$ , Social Interaction Anxiety Scale,  $F(2, 84) = 16.75$ ,  $p < .001$ , Social Phobia Inventory,  $F(2, 84) = 8.15$ ,  $p = .007$ , and the Social/Emotional Functioning Interview – Informant,  $F(2, 84) = 30.87$ ,  $p < .001$ , and Subject Versions,  $F(2, 84) = 17.37$ ,  $p < .001$  (Table 3). Controlling for Baseline scores, there was no significant difference between the Treatment and Wait List arms on any of the secondary outcomes at Follow-up 1 or 2 (Table 3).

Just over half (53%) of the participants agreed or strongly agreed that the individual sessions that were initially offered helped prepare them for the group sessions. It was also the case that over half (59%) of the participants agreed or strongly agreed they now knew how to reduce their feelings of anxiety following treatment. However, 38% of participants thought there was insufficient time during sessions and 41% thought there were too few sessions. Seventy-nine percent of participants agreed or strongly agreed that they found listening to the problems of others helpful, while near 80% agreed or strongly agreed that they felt supported by other group members. Just over half (56%) agreed or strongly agreed that therapy reduced their anxiety, while 44% responded as either neutral, disagreed, or

strongly disagreed about this. Seventy-three percent of participants agreed or strongly agreed that they would recommend therapy to others, and 73% agreed or strongly agreed that therapy was helpful (Table 4).

Turning to consider the open ended questions that participants were asked at the end of the trial about their experience of taking part in therapy, five clear themes emerged which are largely framed around the questions asked. The first was labelled, “Motivation to Take Part”. Participants described taking part in the trial in order to access help for their mental health problems, while others had hoped that they might form new relationships with other people with ASDs. Many told us that they wanted to “change their life for the better” and recognised that anxiety was having a detrimental effect upon their wellbeing and ability to manage their lives.

The second theme was labelled, “Positive Experiences”. Participants described that they enjoyed “interacting with the others; meant a lot because we could share and listen to each other”. Some commented on the inherent value of learning that they are “not alone and others have the same problems”. Several talked about how being in the group helped them to “open up more”. Participants also told us that they “enjoyed learning new skills” which helped them to cope better with difficulties. There was evidence from some participants that they derived benefit from the group; one person said, “I was pleased to come away with coping strategies”, while another said, “I used to go out seven times in 22 years and now I can go wherever”. Another said that they found the group, “enjoyable and fulfilling”, and some participants talked about seeking further access to psychological therapies elsewhere because the trial had finished.

The third theme was labelled, “Negative Experiences”. Many participants were clear that they wanted to have had longer sessions. One commented, “by the time we open up and talked about what bothered us...the group stopped”. Some suggested longer sessions of 90 to 120 minutes. Others spoke about issues around the dynamics of being in a group, with one participant stating, “the group could be easily hijacked”. Participants considered that sometimes their problems were not addressed because other group members talked more. Others felt that some group members spoke about “irrelevant issues” and felt that the therapists should have re-focused the group more frequently. Several spoke about needing more continuity and greater focus on making sure the sessions flowed more effectively, while there were a few participants who commented that they found taking part in a group very difficult and thought the whole experience was negative. However, several commented that they could not think of anything negative about the groups, and several said that the most negative aspect was “ending” and they “missed the group”.

The fourth theme was labelled, “Further adaptations”. Participants described a variety of changes that they would like in order to improve therapy for the future. This included, “more preparatory work” for those who found groups difficult, and several suggested that more individual sessions might motivate some people to change. One person talked about wanting to alternate between blocks of group sessions and individual sessions. Many participants recommended that they would like to see longer sessions in the future which would allow them to consider their problems in “more depth, like depression” and “greater work on social skills and friendships”. While several said they really enjoyed homework tasks, some commented that they would like “multi-media options, like DVDs, pictures and audio” for homework and during the sessions.

The final theme to emerge was titled, “Pragmatic Issues”. Participants told us that there were sometimes issues with public transport, travelling, the timings of the group, heating in the rooms, and difficulties with parking, all of which they did not like.

### **Supplementary Analysis**

In order to consider whether there may have been a relationship between the number of sessions attended and outcome, those who had received treatment were split into two subgroups at Follow-up; those who had attended <50% of the treatment sessions and those who had attended  $\geq 50\%$  of the treatment sessions. Considering only those participants who had attended  $\geq 50\%$  of the treatment sessions, increased the magnitude of difference between the treatment and waiting list control arm on the primary outcome measure at Follow-up 1 greater than that reported using our per-protocol analysis, although the difference was not statistically significant (Table 5).

### **Discussion**

The conversion rate within this trial was high, and the attrition rate was much lower than that reported within other clinical trials of psychological therapies for anxiety disorders (26), suggesting that trials in this area are feasible. Nevertheless, the results indicated that over time, regardless of arm, anxiety symptoms improved significantly. There are likely to be several reasons for this finding; the most likely is that as this is a pilot trial, the probability of making a Type II error had been elevated because of the sample size. Second, it may have been the case that enrolment within the trial led to “spontaneous recovery” amongst those randomised to the waiting-list arm. While we did not include a placebo or attention-control condition within this trial, there is evidence that the placebo response has a greater effect within smaller trials (27). All of the participants in our trial were told to expect treatment, and for one-half of them, they were told that this treatment would be delayed by six-months.

Over this six-month period, by instilling a sense of hope and expectation, a placebo response could have occurred, resulting in a reduction in symptoms. Interestingly, Wampold *et al.* (28) reanalysed the data used in a previous meta-analysis investigating placebo effects within trials (27). They reported no differences between the effect size associated with the treatment and placebo arms within trials when a disorder was, 1) likely to be affected by psychological factors, and 2) investigated using a robust methodology. Third, it is important to consider that we did not stop any ongoing or existing treatments for those participants randomised to the waiting-list arm. It may have been the case that TAU led to a significant reduction in symptoms for those participants randomised to the waiting-list arm. Fourth, participants on average attended 13.6 treatment sessions. It may be the case that participants did not receive a sufficient dose of the intervention, and combined with the sample size, significant treatment effects were therefore not observed. Further, it could also be the case that treatment was not effective. However, all of this must be balanced against the fact that this was a pilot trial, as opposed to a definitive trial, and conclusions regarding treatment effectiveness are therefore premature. Our supplementary analysis suggested that there may be a relationship between the number of treatment sessions attended and outcome, although once again, such a conclusion is highly tentative considering the nature of this pilot trial. It is possible that those who attended <50% of the treatment sessions had greater difficulties with anxiety and found the group intervention more challenging.

The interviews with participants led to a wealth of information about the intervention that is useful for future trials. First and foremost, while a majority of participants reported that they found the intervention useful, and enjoyed attending the groups, they also told us that the sessions were too short. When providing psychological interventions for people who have ASDs, it is important to ensure that participants have sufficient time to engage

meaningfully within the intervention, considering their information processing difficulties. Within the context of group-based interventions, therapists need to make sure that they manage and balance the needs of the group, and the needs of individual members, sufficiently. Based on our findings, we would recommend group sessions last at least 2 hours. Second, participants made several suggestions for adapting psychological therapies further, which again should be considered by both researchers and clinicians working in this area. Participants indicated they may benefit from more individual sessions, and the suggestion to alternate between blocks of both group and individual sessions improve treatment efficacy. Such a strategy would allow for greater focus on formulation-driven interventions for clients individually, while at the same time, allowing for any additional therapeutic benefits that may be derived from being part of a group. This would also help to ensure that clients are afforded sufficient time to address their difficulties, something which may take longer for some people with ASDs. Third, participants asked for more innovative homework options, using technology. This may have a positive impact upon engagement. Finally, there were some participants who found taking part in group-based psychological therapy difficult, which appeared to be associated with difficulties with social communication, coupled with marked anxiety problems. It would be important to consider within any future trial whether group-based interventions are appropriate for all participants, and while the aforementioned strategy of alternating between individual and group-based session may be helpful, it may be the case that for some people with ASDs, group-based interventions are unlikely to be helpful, considering their difficulties, and such individuals should be offered individual sessions exclusively.

It is important to mention some of the strengths and weaknesses associated with this trial. First, dealing with strengths, the design and methodology were very robust: all of the

assessors were masked, and the intervention was standardised. Randomisation and the data were handled independently, while the analysis was undertaken by a subgroup blind statistician using the Intent-to-Treat principle. Participants were drawn from a range of sources and all had a confirmed diagnosis of an ASD along with comorbid problems with anxiety. It was also helpful to have interviewed participants about their views of therapy, providing information to inform a definitive trial. Turning to weaknesses, the current design ensured that all participants received treatment within the context of the research study. Such a design though, as mentioned above, may have led to “spontaneous recovery” within this study. A parallel design, incorporating an attention-control condition may have been more appropriate and should be considered for definitive trials.

Finally, recently published NICE Guidelines (29) called for greater support and service planning for those with ASDs, and despite the high prevalence of affective disorders in this population, there are no known definitive trials investigating the efficacy of psychological interventions for this population. The research recommendations made as part of the NICE Guidelines (29) suggested that trials of CBT for people with ASDs needed to consider the delivery method and duration of the intervention, and should test novel treatments in a series of pilot studies, leading to the development of definitive trials. The current study has addressed some of these recommendations, and a large scale definitive trial, incorporating the changes to treatment as outlined, is now needed to determine whether treatment is effective, which we are currently planning.

### **Authors' Contributions**

PEL is the Chief Investigator and responsible for the design and conduct of this trial. He co-authored this manuscript, the treatment manual, and acted as a therapist. GHM also contributed to the design and conduct of this trial, co-authored this manuscript, the treatment manual, and acted as a therapist. Both PEL and GHM jointly conceived the study. LS is



responsible for the statistical analysis of this trial and helped construct the design and co-authored this manuscript. EW is responsible for the design and analysis of the health economics aspects of this trial. He also co-authored this manuscript. DF helped to construct the design of this trial, and provided advice on the intervention, and co-authored this manuscript. DH, AM, AR, ARo and LM were employed as researchers on the trial, contributed to the design of the semi-structured interviews, and co-authored this manuscript. DH co-authored the intervention with PEL and GHM. All authors read, contributed and approved the final manuscript.

### **Declaration of Interest**

None.

### **Acknowledgements**

We wish to express our marked gratitude to all those participants who took part in this trial, including all those on the Steering Group. We also extend warm thanks to Asperger East Anglia ([www.asperger.org.uk](http://www.asperger.org.uk)), the Kent Autistic Trust ([www.kentautistic.com](http://www.kentautistic.com)), Bridging the Gap support group, and the Disability and Dyslexia Support Services at the University of Kent ([www.kent.ac.uk/ddss](http://www.kent.ac.uk/ddss)). Further warm thanks are extended to Robyn Steward ([www.robynsteward.com](http://www.robynsteward.com)) and Dr Liz Lund who were members of the Steering Group and provided expert comments on some trial documentation. We also wish to thank Mr Anthony Dyer, Data Manager, Norwich Clinical Trials Unit who has been absolutely exceptional in giving support and advice. Finally, and with our utmost respect, we wish to thank our very hardworking therapists: Dr Markku Wood, Dr Lynne Roper, Dr Ruth Turner, Dr Nicky Wood, Ms Sue Charman and Mr John Harmond. Without you all, this would have never been possible.

### **References**

1. Rescorla LA. Preschool psychiatric disorders: diagnostic classification and symptom patterns. *Journal of the American Academy of Child & Adolescent Psychiatry*. 1986; 25: 162-9.
2. Russell E, Sofronoff K. Anxiety and social worries in children with Asperger syndrome. *Australian and New Zealand Journal of Psychiatry*. 2005; 39(7): 633-8.
3. Szatmari P, Archer L, Fisman S, Streiner D, Wilson F. Asperger syndrome and autism: differences in behavior, cognition and adaptive functioning. *Journal of the American Academy of Child & Adolescent Psychiatry*. 1995; 34: 1662-70.
4. Tantam D. Lifelong eccentricity and social isolation. Part 2: Asperger syndrome or schizoid personality disorder. *British Journal of Psychiatry*. 1988; 153: 783-91.
5. Kim JA, Szatmari P, Bryson SE, Streiner DL, Wilson FJ. The prevalence of anxiety and mood problems among children with autism and Asperger syndrome. *Autism*. 2000; 4: 117-32.
6. Green J, Gilchrist A, Burton D, Cox A. Social and psychiatric functioning in adolescents with Asperger syndrome compared with conduct disorder. *Journal of Autism and Developmental Disorders*. 2000; 30(4): 279-93.
7. Lugnégård T, Hallerbäck MU, Gillberg C. Psychiatric comorbidity in young adults with a clinical diagnosis of Asperger syndrome. *Research in Developmental Disabilities*. 2011; 32: 1910-7.

8. Sukhodolsky DG, Bloch MH, Panza KE, Reichow B. Cognitive-behavioral therapy for anxiety in children with high-functioning autism: a meta-analysis. *Pediatrics*. 2013; 132(5): e1341-e50.
9. Cardaciotto L, Herbert AD. Cognitive behavior therapy for social anxiety disorder in the context of Asperger's syndrome: A single-subject report. *Cognitive and Behavioral Practice*. 2004; 11(1): 75-81.
10. Weiss JA, Lunsy Y. Group cognitive behavioural therapy for adults with Asperger Syndrome and anxiety or mood disorders: a case series. *Clinical Psychology & Psychotherapy*. 2010; 17: 438-46.
11. Spek AA, van Ham NC, Nyklíček I. Mindfulness-based therapy in adults with an autism spectrum disorder: a randomized controlled trial. *Research in developmental disabilities*. 2013; 34(1): 246-53.
12. Russell AJ, Jassi A, Fullana MA, Mack H, Johnston K, Heyman I, et al. Cognitive behavior therapy for comorbid obsessive-compulsive disorder in high-functioning autism spectrum disorders: A randomized controlled trial. *Depression and Anxiety*. 2013; 30(8): 697-708.
13. McGillivray J, Evert H. Group Cognitive Behavioural Therapy Program Shows Potential in Reducing Symptoms of Depression and Stress Among Young People with ASD. *Journal of autism and developmental disorders*. 2014: 1-11.
14. Russell AJ, Mataix-Cols D, Anson M, Murphy D. Psychological treatment for obsessive-compulsive disorder in people with autism spectrum disorders—A pilot study. *Psychotherapy and psychosomatics*. 2009; 78(1): 59-61.
15. Wechsler D. Wechsler Abbreviated Scale of Intelligence. The Psychological Corporation, 1999.
16. Langdon PE, Murphy GH, Wilson E, Shepstone L, Fowler D, Heavens D, et al. Asperger syndrome and anxiety disorders (PAsSA) treatment trial: a study protocol of a pilot, multicentre, single-blind, randomised crossover trial of group cognitive behavioural therapy. *BMJ open*. 2013; 3(7).
17. Heavens D, Murphy GH, Langdon PE. People with Asperger syndrome and anxiety (PAsSA) trial treatment manual (Version 3.0). University of East Anglia, 2012.
18. Hamilton M. The assessment of anxiety states by rating. *British Medical Journal*. 1959; 32: 50-5.
19. Connor K, M., Davidson J, R. T. , Churchill E, L., Sherwood A, Foa E, Weisler R, H. Psychometric properties of the Social Phobia Inventory (SPIN). *British Journal of Psychiatry*. 2000; 176: 379-86.
20. Heimberg RG, Horner KJ, Juster HR, Safren SA, Brown EJ, Schneier FR, et al. Psychometric properties of the Liebowitz Social Anxiety Scale. *Psychological Medicine*. 1999; 29: 199-212.
21. Rutter M, LeCouteur A, Lord C, MacDonald H, Rios P, Folstein S. Diagnosis and sub-classification of autism: concepts and instrument development. In: *Diagnosis and assessment in autism* (eds E Schopler, GB Mesibov): 239-591988.
22. Heimberg RG, Mueller GP, Holt CS, Hope DA, Liebowitz MR. Assessment of anxiety in social interaction and being observed by others: the Social Interaction Anxiety Scale and the Social Phobia Scale. *Behavior Therapy*. 1992; 23: 53-73.
23. Brown EJ, Turovsky J, Heimberg RG, Juster HR, Brown TA, Barlow DH. Validation of the Social Interaction Anxiety Scale and the Social Phobia Scale across the anxiety disorders. *Psychological Assessment*. 1997; 9: 21-7.
24. Marks IM, Matthews AM. Brief standard self-rating scale for phobic patients. *Behaviour Research and Therapy*. 1979; 17: 263-7.
25. Hamilton M. A rating scale for depression. *Journal of Neurology, Neurosurgery and Psychiatry*. 1960; 23: 56-62.
26. Hofmann SG, Smits JA. Cognitive-behavioral therapy for adult anxiety disorders: a meta-analysis of randomized placebo-controlled trials. *The Journal of clinical psychiatry*. 2008; 69(4): 621.
27. Hróbjartsson A, Gøtzsche PC. Is the placebo powerless? An analysis of clinical trials comparing placebo with no treatment. *New England Journal of Medicine*. 2001; 344(21): 1594-602.

28. Wampold BE, Minami T, Tierney SC, Baskin TW, Bhati KS. The placebo is powerful: estimating placebo effects in medicine and psychotherapy from randomized clinical trials. *Journal of Clinical Psychology*. 2005; 61(7): 835-54.
29. National Institute for Health and Care Excellence. Autism: Recognition, referral, diagnosis and management of adults on the autism spectrum, NICE Clinical Guideline 142. British Psychological Society & The Royal College of Psychiatrists, 2012.

Figure 1: Consort diagram depicting participant flow throughout the trial.

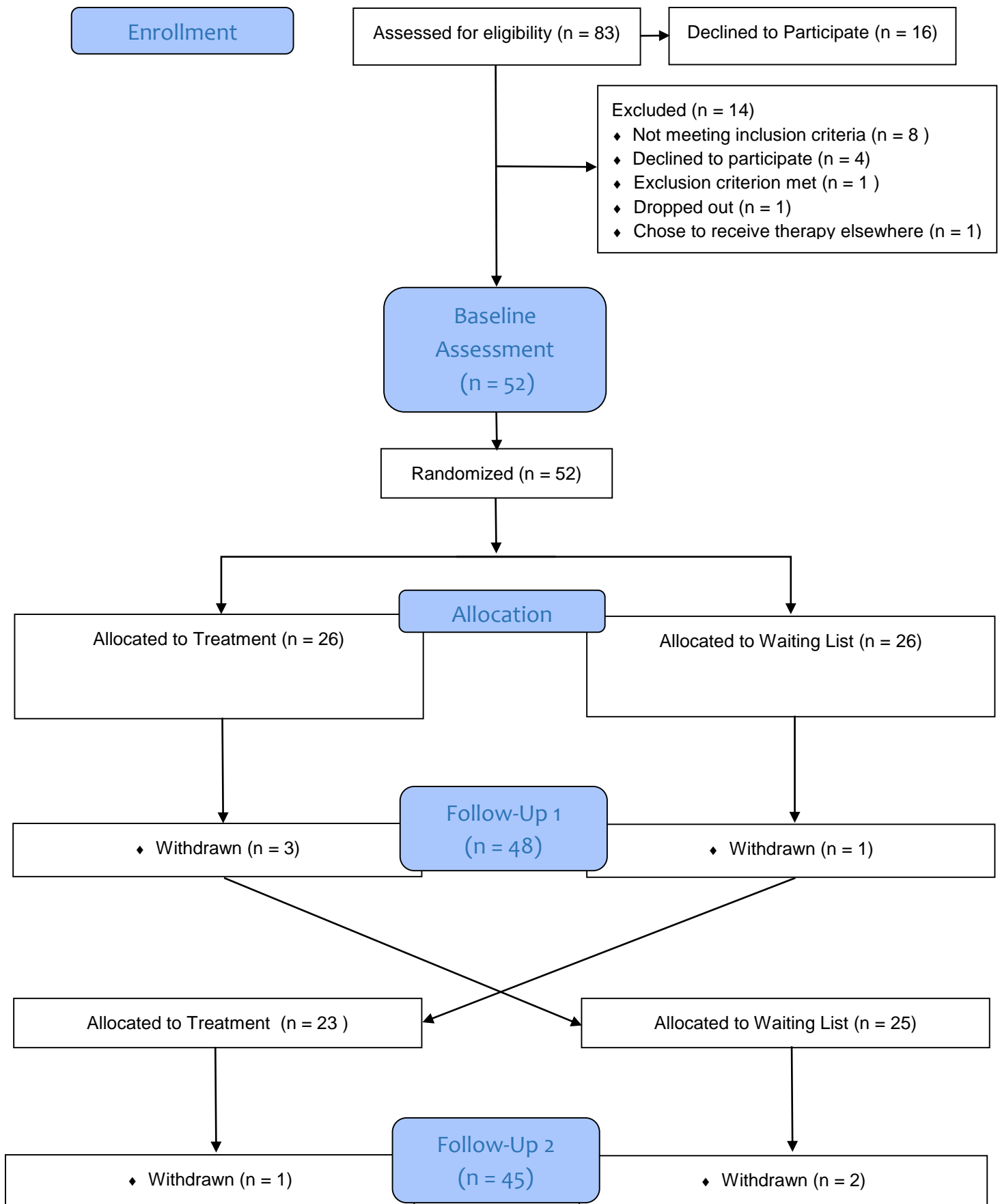


Table 1: Baseline Characteristics

|                |                   | Group                     |                          |                      |
|----------------|-------------------|---------------------------|--------------------------|----------------------|
|                |                   | Treatment Arm<br>(N = 26) | Waiting List<br>(N = 26) | Combined<br>(N = 52) |
| Age            | Mean              | 33.1                      | 38.7                     | 35.9                 |
|                | SD                | 14.6                      | 14.3                     | 14.6                 |
|                | Minimum           | 20                        | 17                       | 17                   |
|                | Maximum           | 64                        | 65                       | 65                   |
|                |                   |                           |                          |                      |
| Sex            | Male              | 12 (46%)                  | 15 (58%)                 | 27 (52%)             |
|                | Female            | 14 (54%)                  | 11 (42%)                 | 25 (48%)             |
| IQ             | Mean              | 106.18                    | 104.83                   | 105.51               |
|                | SD                | 17.14                     | 11.51                    | 14.33                |
|                | Minimum           | 71.00                     | 74.00                    | 71.00                |
|                | Maximum           | 135.00                    | 128.00                   | 135.00               |
| Ethnicity      | White British     | 25 (96%)                  | 26 (100%)                | 51 (98%)             |
|                | White Other       | 1 (4%)                    | 0                        | 1 (2%)               |
| Marital Status | Single            | 19 (73%)                  | 17 (65%)                 | 36 (69%)             |
|                | Co-habiting       | 0                         | 2 (8%)                   | 2 (4%)               |
|                | Married           | 4 (15%)                   | 5 (19%)                  | 9 (17%)              |
|                | Divorced          | 3 (12%)                   | 2 (8%)                   | 5 (10%)              |
| Children       | None              | 20 (77%)                  | 20 (77%)                 | 40 (77%)             |
|                | One               | 0                         | 2 (8%)                   | 2 (4%)               |
|                | Two               | 2 (8%)                    | 3 (12%)                  | 5 (10%)              |
|                | Three             | 4 (15%)                   | 0                        | 4 (8%)               |
|                | > Three           | 0                         | 1 (4%)                   | 1 (2%)               |
| Education      | Primary or less   | 1 (4%)                    | 0                        | 1 (2%)               |
|                | Secondary         | 7 (27%)                   | 9 (35%)                  | 16 (31%)             |
|                | Tertiary          | 8 (31%)                   | 9 (35%)                  | 17 (33%)             |
|                | University degree | 10 (38%)                  | 8 (31%)                  | 18 (35%)             |

Table 2: Mean Session Attendance and Primary Outcome Measure – Hamilton Rating Scale for Anxiety

|                                   | Group                          |                                | Mean Difference<br>95% C.I. , p-value | Adjusted Mean Difference <sup>a</sup><br>95% C.I. , p-value |
|-----------------------------------|--------------------------------|--------------------------------|---------------------------------------|-------------------------------------------------------------|
|                                   | Treatment<br>Arm (N=26)        | Waiting List<br>(N=26)         |                                       |                                                             |
| Session Attendance                | <i>M</i> = (SD)<br>13.3 (7.17) | <i>M</i> = (SD)<br>13.9 (7.27) | -0.58, [-3.4, 4.6], <i>p</i> = .774   | -                                                           |
| Hamilton Rating Scale for Anxiety | <i>M</i> = (SD)                | <i>M</i> = (SD)                |                                       |                                                             |
| Screening                         | 27.2 (11.23)<br>N=26           | 25.3 (13.92)<br>N=26           |                                       | -                                                           |
| Baseline                          | 25.7 (11.99)<br>N=26           | 22.8 (9.45)<br>N=26            |                                       | -                                                           |
| Follow-up 1                       | 15.5 (7.91)<br>N=23            | 16.3 (7.54)<br>N=25            | -0.84 [-5.3, 3.6], <i>p</i> = .708    | -2.46, [- 5.9, 1.0], <i>p</i> = .161                        |
| Follow-up 2                       | 13.3 (8.57)<br>N=22            | 13.6 (5.35)<br>N=23            | -0.29 [-4.6, 4.0], <i>p</i> = .892    | -0.91, [- 5.0, 3.2], <i>p</i> = .659                        |

<sup>a</sup>Resulting from an ANCOVA including baseline score

Table 3: Secondary Outcome Measures: Means (Standard Deviations)

|                                             |             | Group        |              | Adjusted Mean Difference <sup>a</sup><br>95% C.I. , p-value |
|---------------------------------------------|-------------|--------------|--------------|-------------------------------------------------------------|
|                                             |             | Treatment    | Waiting List |                                                             |
| Hamilton Rating Scale - Depression          | Baseline    | 20.5 (9.51)  | 17.5 ( 8.56) |                                                             |
|                                             | Follow-up 1 | 17.5 (8.08)  | 17.2 (6.61)  | -1.42, [- 4.7, 1.9], p = .396                               |
|                                             | Follow-up 2 | 16.5 (9.68)  | 13.6 (5.39)  | 2.09, [- 2.4, 6.6], p = .353                                |
| Fear Questionnaire - Total Phobia           | Baseline    | 50.9 (20.71) | 42.3 (17.48) |                                                             |
|                                             | Follow-up 1 | 43.2 (19.20) | 36.1 (20.73) | -.66, [-10.1, 8.8], p = .890                                |
|                                             | Follow-up 2 | 43.7 (22.87) | 33.4 (21.54) | 6.18, [-6.6, 18.9], p = .338                                |
| Fear Questionnaire - Avoidance              | Baseline    | 6.1 (2.40)   | 4.2 (3.16)   |                                                             |
|                                             | Follow-up 1 | 5.5 (2.79)   | 4.6 (2.90)   | 1.68, [-0.0, 3.4], p = .052                                 |
|                                             | Follow-up 2 | 5.1 (2.41)   | 3.5 (2.91)   | .13, [-1.7, 2.0], p = .890                                  |
| Fear Questionnaire - Anxiety/Depression     | Baseline    | 23.2 (10.03) | 20.7 (9.60)  |                                                             |
|                                             | Follow-up 1 | 21.4 (8.58)  | 19.1 (9.98)  | .06, [-4.0, 4.1], p = .977                                  |
|                                             | Follow-up 2 | 18.4 (9.75)  | 16.5 (9.25)  | 1.21, [- 4.2, 6.6], p = .657                                |
| Fear Questionnaire - Global Rating          | Baseline    | 5.2 (2.23)   | 4.9 (1.97)   |                                                             |
|                                             | Follow-up 1 | 3.0 (2.70)   | 3.8 (2.39)   | -1.11, [-2.4, 0.2], p = .094                                |
|                                             | Follow-up 2 | 3.5 (2.60)   | 3.2 (1.92)   | .02, [-1.2, 1.3], p = .980                                  |
| Liebowitz Avoidance                         | Baseline    | 42.2 (14.81) | 40.3 (13.94) |                                                             |
|                                             | Follow-up 1 | 39.2 (14.31) | 34.1 (15.77) | 1.47, [-5.0, 8.0], p = .652                                 |
|                                             | Follow-up 2 | 34.5 (17.61) | 28.8 (12.42) | 6.40, [-1.5, 14.3], p = .114                                |
| Liebowitz Fear/Anxiety                      | Baseline    | 43.4 (15.10) | 43.4 (13.99) |                                                             |
|                                             | Follow-up 1 | 42.2 (12.81) | 36.8 (15.66) | 3.09, [-2.2, 9.0], p = .299                                 |
|                                             | Follow-up 2 | 39.1 (16.33) | 31.6 (13.72) | 7.33, [-0.8, 15.5], p = .080                                |
| Social Interaction Anxiety Scale            | Baseline    | 43.9 (13.56) | 42.3 (13.53) |                                                             |
|                                             | Follow-up 1 | 41.5 (14.08) | 39.8 (16.59) | .02, [-5.0, 5.1], p = .994                                  |
|                                             | Follow-up 2 | 39.8 (14.65) | 35.6 (13.22) | 3.01, [-3.9, 9.9], p = .390                                 |
| Social Phobia Inventory                     | Baseline    | 34.3 (16.57) | 31.6 (16.94) |                                                             |
|                                             | Follow-up 1 | 33.0 (14.08) | 25.4 (15.84) | 4.69, [-1.7, 11.0], p = .147                                |
|                                             | Follow-up 2 | 27.2 (16.20) | 24.3 (14.74) | 1.99, [-5.9, 9.9], p = .616                                 |
| Social/Emotional Functioning                | Baseline    | 57.5 (17.30) | 53.8 (17.58) |                                                             |
| Interview – Informant Version – Total Score | Follow-up 1 | 52.5 (18.52) | 51.2 (15.97) | -3.22, [-83, 1.9], p = .210                                 |
|                                             | Follow-up 2 | 45.6 (16.16) | 39.8 (14.78) | 3.32, [-3.7, 10.3], p = .343                                |
| Social/Emotional Functioning                | Baseline    | 48.2 (21.22) | 47.1 (23.71) |                                                             |
| Interview – Subject Version – Total Score   | Follow-up 1 | 46.0 (18.94) | 42.7 (14.41) | 1.78, [-3.2, 6.8], p = .478                                 |
|                                             | Follow-up 2 | 38.1 (18.00) | 31.7 (12.28) | 5.96, [-2.9, 14.8], p = .183                                |

<sup>a</sup>Resulting from an ANCOVA including baseline score

Table 4: Participants Responses to the Questionnaire about Experiences of Receiving Therapy

| Question                                                                        | Strongly Agree | Agree | Neutral | Disagree | Strongly Disagree |
|---------------------------------------------------------------------------------|----------------|-------|---------|----------|-------------------|
| The individual therapy prepared me for the group therapy                        | 26.5%          | 26.5% | 35%     | 12%      | -                 |
| Since attending, I now know what I can do to help reduce my anxious feelings    | 21%            | 38%   | 23.5%   | 12%      | 6%                |
| There was sufficient time in sessions for my problems to be addressed           | 26.5%          | 21%   | 15%     | 38%      | -                 |
| There were enough sessions for my needs                                         | 18%            | 35%   | 6%      | 38%      | 3%                |
| Listening to other group members talking about their problems was useful to me. | 41%            | 38%   | 15%     | 6%       | -                 |
| I felt supported by the other group members during the sessions.                | 26.5%          | 53%   | 12%     | 9%       | -                 |
| I think the therapy has improved my anxiety                                     | 18%            | 38%   | 32%     | 6%       | 6%                |
| I would recommend the therapy to others                                         | 41%            | 32%   | 15%     | 9%       | 3%                |
| Overall, the therapy was helpful to me                                          | 29%            | 44%   | 15%     | 9%       | 3%                |



Table 5: Supplementary analysis using participants who attended < or ≥ 50% of the total treatment sessions prior to Follow-up 1 and 2.

|                                   | Group                            |                                  | Adjusted Mean Difference <sup>a</sup><br>95% C.I. , p-value |
|-----------------------------------|----------------------------------|----------------------------------|-------------------------------------------------------------|
|                                   | Treatment Arm                    | Waiting List                     |                                                             |
| Hamilton Rating Scale for Anxiety |                                  |                                  |                                                             |
|                                   | <i>M</i> = (SD)                  | <i>M</i> = (SD)                  |                                                             |
| Follow-up 1                       |                                  |                                  |                                                             |
| <50% Sessions                     | 18.2 (6.91), n = 3               | 16.3 <sup>b</sup> (7.74), n = 25 | -3.38 [-7.03, .27], p=.08                                   |
| ≥50% Sessions                     | 14.5 (8.21), n = 17              |                                  |                                                             |
| Follow-up 2                       |                                  |                                  |                                                             |
| <50% Sessions                     |                                  | 13.0 (3.46), n = 3               |                                                             |
| ≥50% Sessions                     | 13.3 <sup>b</sup> (8.57), n = 22 | 13.7 (5.64), n = 20              |                                                             |

<sup>a</sup>Resulting from an ANCOVA including baseline score; <sup>b</sup>Mean calculated using subgroup sample size not split by session attendance.

**APPENDIX 1: CONSORT 2010 checklist of information to include when reporting a randomised trial\***

| Section/Topic             | Item No | Checklist item                                                                                                                        | Reported on page No |
|---------------------------|---------|---------------------------------------------------------------------------------------------------------------------------------------|---------------------|
| <b>Title and abstract</b> |         |                                                                                                                                       |                     |
|                           | 1a      | Identification as a randomised trial in the title                                                                                     | 1                   |
|                           | 1b      | Structured summary of trial design, methods, results, and conclusions (for specific guidance see CONSORT for abstracts)               | 2                   |
| <b>Introduction</b>       |         |                                                                                                                                       |                     |
| Background and objectives | 2a      | Scientific background and explanation of rationale                                                                                    | 3                   |
|                           | 2b      | Specific objectives or hypotheses                                                                                                     | 3                   |
| <b>Methods</b>            |         |                                                                                                                                       |                     |
| Trial design              | 3a      | Description of trial design (such as parallel, factorial) including allocation ratio                                                  | 4                   |
|                           | 3b      | Important changes to methods after trial commencement (such as eligibility criteria), with reasons                                    | N/A                 |
| Participants              | 4a      | Eligibility criteria for participants                                                                                                 | 4                   |
|                           | 4b      | Settings and locations where the data were collected                                                                                  | 6                   |
| Interventions             | 5       | The interventions for each group with sufficient details to allow replication, including how and when they were actually administered | 5-6                 |

|                                  |     |                                                                                                                                                                                             |              |
|----------------------------------|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| Outcomes                         | 6a  | Completely defined pre-specified primary and secondary outcome measures, including how and when they were assessed                                                                          | 6-7          |
|                                  | 6b  | Any changes to trial outcomes after the trial commenced, with reasons                                                                                                                       | N/A          |
| Sample size                      | 7a  | How sample size was determined                                                                                                                                                              | 4 (Protocol) |
|                                  | 7b  | When applicable, explanation of any interim analyses and stopping guidelines                                                                                                                | N/A          |
| <b>Randomisation:</b>            |     |                                                                                                                                                                                             |              |
| Sequence generation              | 8a  | Method used to generate the random allocation sequence                                                                                                                                      | 4            |
|                                  | 8b  | Type of randomisation; details of any restriction (such as blocking and block size)                                                                                                         | 4            |
| Allocation concealment mechanism | 9   | Mechanism used to implement the random allocation sequence (such as sequentially numbered containers), describing any steps taken to conceal the sequence until interventions were assigned | 4            |
| Implementation                   | 10  | Who generated the random allocation sequence, who enrolled participants, and who assigned participants to interventions                                                                     | 4            |
| Blinding                         | 11a | If done, who was blinded after assignment to interventions (for example, participants, care providers, those assessing outcomes) and how                                                    | 4            |
|                                  | 11b | If relevant, description of the similarity of interventions                                                                                                                                 | N/A          |
| Statistical methods              | 12a | Statistical methods used to compare groups for primary and secondary outcomes                                                                                                               | 8            |
|                                  | 12b | Methods for additional analyses, such as subgroup analyses and adjusted analyses                                                                                                            | 8            |

**Results**

|                                                      |     |                                                                                                                                                   |                              |
|------------------------------------------------------|-----|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|
| Participant flow (a diagram is strongly recommended) | 13a | For each group, the numbers of participants who were randomly assigned, received intended treatment, and were analysed for the primary outcome    | 18 (Figure 1)                |
|                                                      | 13b | For each group, losses and exclusions after randomisation, together with reasons                                                                  | 18 (Figure 1)                |
| Recruitment                                          | 14a | Dates defining the periods of recruitment and follow-up                                                                                           | 5                            |
|                                                      | 14b | Why the trial ended or was stopped                                                                                                                | N/A                          |
| Baseline data                                        | 15  | A table showing baseline demographic and clinical characteristics for each group                                                                  | 19 (Table 1)                 |
| Numbers analysed                                     | 16  | For each group, number of participants (denominator) included in each analysis and whether the analysis was by original assigned groups           | 18 (Figure 1)                |
| Outcomes and estimation                              | 17a | For each primary and secondary outcome, results for each group, and the estimated effect size and its precision (such as 95% confidence interval) | 21 (Table 3)<br>22 (Table 4) |
|                                                      | 17b | For binary outcomes, presentation of both absolute and relative effect sizes is recommended                                                       | N/A                          |
| Ancillary analyses                                   | 18  | Results of any other analyses performed, including subgroup analyses and adjusted analyses, distinguishing pre-specified from exploratory         | 9-11                         |
| Harms                                                | 19  | All important harms or unintended effects in each group (for specific guidance see CONSORT for harms)                                             | 5                            |
| <b>Discussion</b>                                    |     |                                                                                                                                                   |                              |
| Limitations                                          | 20  | Trial limitations, addressing sources of potential bias, imprecision, and, if relevant, multiplicity of analyses                                  | 14                           |
| Generalisability                                     | 21  | Generalisability (external validity, applicability) of the trial findings                                                                         | 14                           |
| Interpretation                                       | 22  | Interpretation consistent with results, balancing benefits and harms, and considering other relevant evidence                                     | 12-15                        |

**Other information**

---

|              |    |                                                                                 |   |
|--------------|----|---------------------------------------------------------------------------------|---|
| Registration | 23 | Registration number and name of trial registry                                  | 2 |
| Protocol     | 24 | Where the full trial protocol can be accessed, if available                     | 4 |
| Funding      | 25 | Sources of funding and other support (such as supply of drugs), role of funders | 1 |

---

\*We strongly recommend reading this statement in conjunction with the CONSORT 2010 Explanation and Elaboration for important clarifications on all the items. If relevant, we also recommend reading CONSORT extensions for cluster randomised trials, non-inferiority and equivalence trials, non-pharmacological treatments, herbal interventions, and pragmatic trials. Additional extensions are forthcoming: for those and for up to date references relevant to this checklist, see [www.consort-statement.org](http://www.consort-statement.org).