
This version is available from Sussex Research Online: http://sro.sussex.ac.uk/id/eprint/57542/

This document is made available in accordance with publisher policies and may differ from the published version or from the version of record. If you wish to cite this item you are advised to consult the publisher’s version. Please see the URL above for details on accessing the published version.

Copyright and reuse:
Sussex Research Online is a digital repository of the research output of the University.

Copyright and all moral rights to the version of the paper presented here belong to the individual author(s) and/or other copyright owners. To the extent reasonable and practicable, the material made available in SRO has been checked for eligibility before being made available.

Copies of full text items generally can be reproduced, displayed or performed and given to third parties in any format or medium for personal research or study, educational, or not-for-profit purposes without prior permission or charge, provided that the authors, title and full bibliographic details are credited, a hyperlink and/or URL is given for the original metadata page and the content is not changed in any way.
High-redshift galaxies and low-mass stars

Stephen M. Wilkins,1* Elizabeth R. Stanway2 and Malcolm N. Bremer3

1Astronomy Centre, Department of Physics and Astronomy, University of Sussex, Brighton BN1 9QH, UK
2Department of Physics, University of Warwick, Coventry CV4 7AL, UK
3H H Wills Physics Laboratory, Tyndall Avenue, Bristol BS8 1TL, UK

ABSTRACT
The sensitivity available to near-infrared surveys has recently allowed us to probe the galaxy population at $z \approx 7$ and beyond. The existing Hubble Wide Field Camera 3 (WFC3) and Visible and Infrared Survey Telescope for Astronomy (VISTA) Infrared Camera (VIRCam) instruments allow deep surveys to be undertaken well beyond 1 $\mu$m – a capability that will be further extended with the launch and commissioning of the James Webb Space Telescope (JWST). As new regions of parameter space in both colour and depth are probed, new challenges for distant galaxy surveys are identified. In this paper, we present an analysis of the colours of L- and T-dwarf stars in widely used photometric systems. We also consider the implications of the newly identified Y-dwarf population – stars that are still cooler and less massive than T-dwarfs for both the photometric selection and spectroscopic follow-up of faint and distant galaxies. We highlight the dangers of working in the low-signal-to-noise regime, and the potential contamination of existing and future samples. We find that Hubble/WFC3 and VISTA/VIRCam Y-drop selections targeting galaxies at $z \sim 7.5$ are vulnerable to contamination from T- and Y-class stars. Future observations using JWST, targeting the $z \sim 7$ galaxy population, are also likely to prove difficult without deep medium-band observations. We demonstrate that single emission line detections in typical low-signal-to-noise spectroscopic observations may also be suspect, due to the unusual spectral characteristics of the cool dwarf star population.

Key words: brown dwarfs – galaxies: evolution – galaxies: formation – galaxies: high-redshift – galaxies: starburst – ultraviolet: galaxies.

1 INTRODUCTION

The Lyman break technique is one of the most widely used methods to identify distant galaxies in extragalactic astronomy, and also one of the most successful. The strong spectral break at 1216 Å in the rest frame of distant galaxies, caused by hydrogen absorption in the intergalactic medium, provides a clear and distinctive indication of their redshift (Guhathakurta, Tyson & Majewski 1990). The identification of this feature through photometric imaging has proven highly efficient when applied at $z \approx 3$ (Steidel et al. 1999, 1996). At this redshift, colours in photometric filters bracketing the Lyman $\alpha$ feature and Lyman limit can be used to select star-forming galaxies with negligible contamination from lower redshift systems (Steidel et al. 2003). Observations in filters shortwards of the break will show the Lyman break galaxy (LBG) in question to be faint or perhaps entirely absent, leading to the widely used term ‘dropout’ to describe high-redshift galaxies that drop below the observational limit in a particular filter and at a particular redshift.

However, as the method is extended to higher redshifts, difficulties can arise. Balmer break systems and strong emission line galaxies at intermediate redshifts can contaminate the so-called dropout samples, showing similar strong flux decrements in filters that lie either side of their primary spectral features.

Similarly, cool and low-mass dwarf stars can have extreme and unusual colours. Mid-to-late M-class stars are brighter at red wavelengths than in the blue, giving them colours that imitate the R- or V-dropout colour that is used to identify candidate $z \sim 4.5–5.5$ galaxies (Stanway et al. 2008; Pirzkal et al. 2009). Cooler L- and early T-class stars have proven a contaminant in L-band dropout samples targeted at selecting galaxies at $z \sim 6$ (Stanway et al. 2004; Caballero, Burgasser & Klement 2008).

Various methods can be used to identify and exclude these contaminants. Galaxies at $z \sim 4–6$ are usually resolved in deep Hubble Space Telescope (HST) optical data (Ferguson et al. 2004), allowing morphological separation for all but the faintest sources. From the ground, or for very faint galaxies, the task is more challenging. Where morphological information is not available, it is possible to identify M- and L-dwarf stars through their red colours in the near-infrared (see Stanway et al. 2008). For relatively bright

*E-mail: S.Wilkins@sussex.ac.uk
z ~ 5–6 galaxies, it may also be possible to obtain spectroscopy which reaches the continuum level (e.g. Lehnert & Bremer 2003). M- and L-stars show abrupt breaks in their spectra, similar to those in LBG, but the continuum longwards of the first detected break will be interrupted by deep molecular absorption lines and relatively red where not absorbed, allowing them to be distinguished from true high-redshift objects (e.g. Stanway et al. 2004; Douglas et al. 2010).

As Lyman break-based selection methods shift to identifying z- and Y-drop galaxies at z ~ 7 and 8 respectively (e.g. Bunker et al. 2010; Wilkins et al. 2010, 2011; Lorenzoni et al. 2011, 2013), the photometric bands used to identify target sources lie in the infrared. Current observations (e.g. the CANDELS survey; Koekemoer et al. 2011) are probing a region of parameter space never before studied, reaching unprecedented depths in near-infrared imaging. However, whenever new parameter space is explored, a problem arises: How can one assess the reliability of the resulting observations and the potential for new contaminant populations?

Near-infrared observations, even with HST, cannot reliably separate stars from compact galaxies by morphology without leading to incompleteness in the galaxy samples. Observations significantly longwards of the break are highly challenging if not impossible, requiring imaging at >3 μm at depths beyond the reach of the Spitzer Space Telescope for typical sources. Spectroscopy has also proved extremely challenging and expensive in observing time, with spectrographs on 8–10 m telescopes unable to reach the continuum level at a typical magnitude J_m ~ 27.5. Since deep extragalactic surveys probe magnitude limits not reached by previous observations, models of the expected number of T-dwarf stars (known to meet z > 7 selection criteria) to a given depth and in a given area are based on an understanding of Galactic structure, extrapolated well beyond the observed sources in the solar neighbourhood. To add to the complication, T-dwarfs themselves have been found to be a diverse and unpredictable population (Mace et al. 2013).

In addition, the sequence of known cool, low-mass stars – from M, to L and through to T – has recently been extended still further. A new, cooler class of brown dwarfs, Y-dwarfs, have been identified in near-infrared data (Cushing et al. 2011; Kirkpatrick et al. 2012). The list of known stars in this class is growing, but the faint absolute magnitudes of these sources still limits studied Y- and late-T-dwarfs to stars in the immediate solar neighbourhood (<20 pc; Kirkpatrick et al. 2013; Marsh et al. 2013).

Given the strong molecular absorption in the spectra of the cool atmospheres of these brown dwarfs, they are essentially undetectable in the optical, but potentially bright in infrared bands. This may well place them in the selection windows used to select z > 7 galaxies (e.g. Bouwens et al. 2010; Lorenzoni et al. 2011; Wilkins et al. 2011). Their precise colours, though, will depend on the strength and spectral location of the absorption features and thus on the composition and temperature of the stellar atmospheres (e.g. Morley et al. 2012). In this paper, we consider in detail the potential of the late-T and Y-dwarf stellar population to contaminate z > 7 candidate galaxy samples and the extent to which this can be mitigated in deep samples. In Section 2, we discuss the known and modelled properties of the brown dwarf population. In Section 3, we consider their photometric colours and potential impact on high-redshift photometric samples. In Section 4, we consider the potential of these sources to contaminate spectroscopic samples. Finally, in Section 5, we present our conclusions. Throughout, photometric magnitudes are given in the AB system.

2 BROWN DWARFS

2.1 Stellar types M, L and T

Brown dwarfs are sub-stellar objects distinguished from typical dwarfs by their inability to maintain stable fusion of hydrogen, leaving their cores supported by electron-degeneracy pressure. This arises from their low masses (<0.08 M⊙) and results in low surface temperatures (Luhman et al. 2012). They represent a continuation of the mass/luminosity-temperature sequence for low-mass stars beyond the end of the main sequence, extending the range of stellar types from mid-M to the later classes L, T and most recently Y.

Observationally, these sequences are defined by increasing prominence of molecular absorption features in the atmospheres of the star, and hence in the observed spectra. In particular molecular absorption in CaH, FeH, CrH and H2 are all possible at these low temperatures (e.g. Burgasser et al. 2003). As the temperature drops (i.e. moving from M to L to T classes), the stellar spectrum becomes redder, and molecular absorption features strengthen. This results both in distinctive variation of narrow-band indices, defined to map individual line strengths, and also of broad-band photometric colours (e.g. Burgasser et al. 2003; Burgasser 2007a; Bochanski et al. 2007).

In the context of high-redshift contamination, it is important to note that these low temperatures result in sources that are intrinsically faint, and spectra in which the continuum is interrupted and can appear to show large breaks across narrow-wavelength ranges, or in which the flux can peak up above the noise level in narrow regions (see Fig. 1).

The classification of a sequence of stellar sub-types is based on the observed evolution in the spectra of these stars with temperature, and thus is defined and calibrated using a set of relatively bright, nearby stars for which detailed properties can be measured. These are then used as spectral standards against which other, more distant stars can be calibrated (see e.g. Burgasser 2007a). The comprehensive SpeX Prism Spectral Library1 provides a repository of data on these standards and other brown dwarfs. Optical–near-IR spectroscopy, spanning roughly 0.65–2.55 μm and obtained with the SpeX spectrograph on the NASA Infrared Telescope Facility, are available from this source and show the effect of temperature on the stellar spectrum. The spectral energy distributions (SEDs) of L and T (L1 → T8) standards in this library are shown in Fig. 1, with Table 1 providing the original data references for each star.

As can be seen, the strength and sharpness of the spectral absorption breaks is increased towards later sub-types, with deep troughs and red continua potentially leading to dropout colours in the near-infrared.

2.2 Y-dwarf stars

While the T spectral class extends to very low effective temperatures and masses, it is not the end of the story. Just as the new class ‘L’ was defined in 1999 (Kirkpatrick et al. 1999), and ‘T’ shortly thereafter (Burgasser et al. 1999; Leggett et al. 2000), so the increasing availability of near-infrared data has recently allowed the classification scheme to be extended to the coolest, lowest mass brown dwarfs currently known: the Y-class dwarfs. The first of these were identified in near-infrared (3-10 μm) data from the Wide-field Infrared Survey Explorer (WISE) with WISEP J173835.52+273258.9 identified as a

1 http://pono.ucsd.edu/~adam/browndwarfs/spexprism/
Figure 1. Top – template (using the PEGASE.2 stellar population synthesis model) dust-free star-forming galaxy at $z = 7$. Middle and bottom – spectra of L- and T-dwarf spectral standards from the SpeX Prism Spectral Library. See Table 1 for references. The three grey bands show the approximate locations of the F140M, F162M and F182M JWST medium-band filters which are discussed in Section 3.2.

Table 1. Original source references for the L- and T-dwarf spectra from the SpeX Prism Spectral Library used in this work.

<table>
<thead>
<tr>
<th>Type</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1</td>
<td>Kirkpatrick et al. (2010)</td>
</tr>
<tr>
<td>L3</td>
<td>Burgasser (2007b)</td>
</tr>
<tr>
<td>L4</td>
<td>Kirkpatrick et al. (2010)</td>
</tr>
<tr>
<td>L4.5</td>
<td>Chiu et al. (2006)</td>
</tr>
<tr>
<td>L6</td>
<td>Cruz et al. (2004)</td>
</tr>
<tr>
<td>L8</td>
<td>Burgasser (2007b)</td>
</tr>
<tr>
<td>L9</td>
<td>Burgasser et al. (2006a)</td>
</tr>
<tr>
<td>T0</td>
<td>Looper, Kirkpatrick &amp; Burgasser (2007)</td>
</tr>
<tr>
<td>T1</td>
<td>Burgasser et al. (2004)</td>
</tr>
<tr>
<td>T2</td>
<td>Burgasser et al. (2004)</td>
</tr>
<tr>
<td>T3</td>
<td>Burgasser et al. (2004)</td>
</tr>
<tr>
<td>T4</td>
<td>Burgasser et al. (2004)</td>
</tr>
<tr>
<td>T5</td>
<td>Burgasser et al. (2004)</td>
</tr>
<tr>
<td>T6</td>
<td>Burgasser et al. (2006b)</td>
</tr>
<tr>
<td>T7</td>
<td>Burgasser et al. (2006b)</td>
</tr>
<tr>
<td>T8</td>
<td>Burgasser et al. (2004)</td>
</tr>
</tbody>
</table>

Y0 standard (Cushing et al. 2011; Kirkpatrick et al. 2012). They are characterized by the condensing out of various atomic and molecular species as the temperature of the stellar atmosphere drops, with inferred effective temperatures (based on spectral modelling) below ~600 K and masses below ~20 $M_{\text{Jup}}$ (Cushing et al. 2011).

Given the faint absolute magnitudes of these sources ($M_H > 20$), their red spectra and the difficulty of near-infrared spectroscopy, work on defining standards and obtaining empirical SEDs for these sources is continuing. As a result, estimation of their impact on an extragalactic survey must necessarily be based on modelled spectra, checked against observations, rather than directly on observational standards.

The brown dwarf atmosphere models of Morley et al. (2012) explore the impact of a variety of optically thin and thick condensates forming clouds in the atmospheres of T and Y dwarfs. They include opacities for Cr, MnS and Na$_2$S amongst other species and span an effective temperature range of 400–1300 K, based on a combination of empirical data and theoretical predictions for the properties of these very low mass stars. They also probe two possible surface gravities and the cases with no clouds and with a range of condensate sedimentation efficiencies, $f_{\text{sed}}$. Comparison with observed late T-dwarfs suggest that these models provide a better fit to observed spectra than earlier attempts, although uncertainties remain (Morley et al. 2012). In the following, we use these as templates and consider a range of models from this data set that are believed to accurately reproduce the spectra of Late-T and Y dwarfs. For completeness in the sections that follow, we show all values of $f_{\text{sed}}$ in the model grid, while noting that the presence or absence of clouds has a larger effect than the sedimentation efficiency for all but the coolest stars.

2.3 Surface densities

Most existing surveys of distant galaxies dismiss the possibility that their samples are heavily contaminated by brown dwarfs based on two criteria: the ability of HST to separate galaxies from stars based on their spatial light profiles, and the low inferred angular density of these sources on the sky.

As already noted, at faint magnitudes and as observations move into near-infrared bands, the ability to reliably separate stars from compact galaxies on morphological grounds becomes limited. Samples which reject all unresolved sources risk underestimating the
galaxy population, while those which include them or reach to magnitudes where the distinction is lost are liable to be contaminated.

So, assuming morphological information is unavailable, are the surface densities of these sources on the sky sufficiently high to cause concern? The honest answer, of course, is that it is hard to be certain. At faint magnitudes, we are probing brown dwarfs at greater distances than those accessible to existing studies, and hence in regions of the Galactic disc and halo that may differ significantly than that in the Sun’s immediate vicinity. The possibility of encountering regions overdense in brown dwarfs cannot be dismissed. Nonetheless, making the crude assumption that the local region is, in fact, typical of the disc population, we can gauge the scale of potential contamination from these sources.

T-dwarfs have absolute magnitudes in the near-infrared $M_H \sim 15$–20, while Y-dwarfs typically have $M_H > 20$. Thus, deep surveys reaching to an apparent magnitude $m_H = 30$ (e.g. the Hubble Extreme Deep Field, XDF; Illingworth et al. 2013) would be sensitive to late-T and early-Y dwarfs out to distances of $\sim 1.0$ kpc. Kirkpatrick et al. (2012) note that there are 33 known LTY dwarfs within 8 pc of the Sun (3 L, T $\geq 22$, Y $\geq 8$) suggesting a space density of $\geq 0.015$ pc$^{-3}$. This certainly presents a lower limit since sources within well this volume are still being found and are not included in this figure (e.g. Bihain et al. 2013; Luhman 2013).

However, taking the Kirkpatrick et al. sample as a basis, we would expect $\sim 2 \times 10^7$ sources out to a radius of 0.15 kpc ($m_H = 26$) or $\sim 5.2$ deg$^{-2}$ – consistent with the surface density of $z$-drop sources in UltraVISTA (Bowler et al. 2012). Extrapolating further, out to a radius of 1 kpc ($m_H = 30$), we would expect $\sim 1563$ deg$^{-2}$ or $\sim 0.4$ arcmin$^{-1}$. Hence, one might expect $\sim 3$–4 such sources in a single Wide Field Camera 3 (WFC3) pointing such as the XDF. Given the relatively small number of $z$- and $Y$-drop candidates in such a pointing, this can represent a substantial contamination fraction.

Of course there are many uncertainties on this number – as noted above, the catalogue of local LTY dwarfs used has already been shown to be incomplete, and may also be unrepresentative of the population along the sightline to any given deep field. Few fields on the sky are as free from galactic extinction and angled as far from the Galactic plane as the XDF. While the local measurements provide a density within the plane, we have no constraint whatsoever on the population of dwarf stars out of the Galactic plane or in the Galactic halo. Inevitably, as deep fields probe larger areas, they will also probe disc, or halo, sub-structure. Ultimately, the only way to be certain of the impact of stellar contamination is to directly observe it.

### 3 PHOTOMETRIC COLOURS AND POTENTIAL CONTAMINATION

In considering the potential for these sources to contaminate galaxy selections targeting the very distant ($z > 7$) Universe, it is necessary first to consider the disparate methods and data that are used to identify candidates. Key to this is the question of which filters are used for the photometry of a deep field. Much of this work to date has been based on HST observations, which benefit both from lower backgrounds and from higher angular resolution than is possible from the ground. However, an increasing number of large fields have ground-based photometric data reaching reasonable depths in the near-infrared – notably from the VISTA public surveys. While these do not reach the depth of the most sensitive HST surveys, they exceed the spatial coverage of such studies by orders of magnitude, and thus are likely to be increasingly used to select bright candidates for follow-up. In the future, this observational effort will expand to include surveys undertaken with the James Web Space Telescope (JWST). As a larger telescope than HST, this is likely to push still deeper, albeit still in relatively small fields.

Strong emission features in the Earth’s atmosphere render ground-based observations insensitive to some spectral regions, and photometric filters are naturally designed to exclude noise due to this background flux. Even in space, the bandwidth and spectral response functions of filters are modified by detector sensitivity and changes in design between instruments. As Fig. 2 illustrates, the selection of filters available from the ground (with VISTA) and with HST or JWST varies significantly. While this has little effect on the colours of smooth continuum sources, for those with interrupted or line-dominated spectra (like dwarf stars or distant galaxies) the

![Figure 2](https://mnras.oxfordjournals.org/) The various filters considered in this study.
In Section 3.1, we extend this analysis to include observations at curves including detector and instrumentation transmission effects. For Subaru/VISTA filters, we use the measured filter transmission using the three filter combinations given in Table 2. For $z > 7.5$ by moving to a higher $Y - J$ colour–colour cut, but doing so is likely to require extremely deep $Y$-band data, and so requires careful consideration at the survey design stage. Either way, ground-based selection of galaxies at $z > 7.5$ is likely to be either incomplete or contaminated, and this should be taken into account when properties of this population are considered.

Table 2. Key to the colours presented in Table 3.

<table>
<thead>
<tr>
<th>$Hubble$</th>
<th>$JWST$</th>
<th>Subaru + VISTA</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) $z_{F502W} - Y_{F510W}$</td>
<td>(1) $F090W - F115W$</td>
<td>(1) $z - Y$</td>
</tr>
<tr>
<td>(2) $Y_{F510W} - J_{F125W}$</td>
<td>(2) $F115W - F150W$</td>
<td>(2) $Y - J$</td>
</tr>
<tr>
<td>(3) $J_{F125W} - H_{F160W}$</td>
<td>(3) $F150W - F200W$</td>
<td>(3) $J - H$</td>
</tr>
</tbody>
</table>

Table 3. The near-infrared colours of L and T spectral standards computed using spectra from the SpeX Prism Spectral Library using the $HST$ filter set. The key for the various colours is shown in Table 2.

<table>
<thead>
<tr>
<th>Spectral type</th>
<th>$Hubble$</th>
<th>$JWST$</th>
<th>Subaru + VISTA</th>
</tr>
</thead>
<tbody>
<tr>
<td>L1</td>
<td>1.03</td>
<td>0.52</td>
<td>0.18</td>
</tr>
<tr>
<td>L3</td>
<td>1.14</td>
<td>0.65</td>
<td>0.29</td>
</tr>
<tr>
<td>L4</td>
<td>1.15</td>
<td>0.64</td>
<td>0.26</td>
</tr>
<tr>
<td>L45</td>
<td>1.15</td>
<td>0.63</td>
<td>0.39</td>
</tr>
<tr>
<td>L6</td>
<td>1.12</td>
<td>0.7</td>
<td>0.55</td>
</tr>
<tr>
<td>L8</td>
<td>1.1</td>
<td>0.63</td>
<td>0.51</td>
</tr>
<tr>
<td>L9</td>
<td>1.14</td>
<td>0.58</td>
<td>0.49</td>
</tr>
<tr>
<td>T0</td>
<td>1.13</td>
<td>0.56</td>
<td>0.31</td>
</tr>
<tr>
<td>T1</td>
<td>1.21</td>
<td>0.54</td>
<td>0.33</td>
</tr>
<tr>
<td>T2</td>
<td>1.38</td>
<td>0.59</td>
<td>0.23</td>
</tr>
<tr>
<td>T3</td>
<td>1.39</td>
<td>0.56</td>
<td>0.08</td>
</tr>
<tr>
<td>T4</td>
<td>1.57</td>
<td>0.66</td>
<td>0.07</td>
</tr>
<tr>
<td>T5</td>
<td>1.52</td>
<td>0.63</td>
<td>0.37</td>
</tr>
<tr>
<td>T6</td>
<td>1.52</td>
<td>0.71</td>
<td>0.43</td>
</tr>
<tr>
<td>T7</td>
<td>1.66</td>
<td>0.7</td>
<td>0.43</td>
</tr>
<tr>
<td>T8</td>
<td>1.62</td>
<td>0.74</td>
<td>0.32</td>
</tr>
</tbody>
</table>

choice of filter shape and central wavelength can have a substantial effect on the measured fluxes and colours.

In this analysis, we consider the potential that very high redshift ($z \approx 6.5–9.0$) galaxy selections are contaminated by cool dwarfs using the three filter combinations given in Table 2. For $Hubble$ and Subaru/VISTA filters, we use the measured filter transmission curves including detector and instrumentation transmission effects. For $JWST$, we simply consider the width and central wavelength of planned filters in the near-infrared imaging camera, NIRCam. In Section 3.1, we extend this analysis to include observations at $\lambda > 2 \mu m$.

We calculate the near-infrared colours expected for L and T spectral standards (described in Section 2) using these filter transmission profiles, and present these in Table 3. While all three filter combinations can be classified as defining a $z - Y - J - H$ colour–colour space, with comparable filter central wavelengths, the differences in colour can be several tenths of a magnitude – significantly higher than the expected photometric noise in deep surveys.

We illustrate this graphically for $Hubble$, Subaru/VISTA and $JWST$ filters, respectively, in Figs 3, 4 and 5. In Fig. 3, we also show two of the most commonly used photometric selection criteria used to identify distant galaxy candidates. The $HST$ criteria used to select $z$-drop ($z > 6.5$) galaxies (e.g. Bouwens et al. 2011) are relatively clean. While the L- and T-dwarf standards have colours within 0.05 mag of the colour–colour boundary for selection, this boundary itself lies significantly redwards of the expected galaxy colours. Dust reddening in distant galaxies will work to reduce this separation, but is unlikely to account for a 0.4 mag shift in colour across a relatively small rest-frame wavelength interval. It is clear that any low-signal-to-noise measurements, or very red candidates (in $Y_{F510W} - J_{F125W}$) need to be approached with caution, but for a ‘normal’ candidate, the ambiguity is likely to be small.

By contrast, the commonly applied criteria used to select $Y$-drop ($z \approx 7.5$) galaxies (again see Bouwens et al. 2011) is problematic. The colour-selection space is populated by a large range of L- through T-dwarf stars. Contamination by cool stars is likely to be limited by only two factors: the density of such sources on the sky at the depths under consideration, and the degree to which $z > 8$ galaxies can be reliably distinguished from point sources at these depths. For the brightest objects, it may also be possible to use the $z$-band as a discriminant, as cool stars are expected to have $z - Y$ colours in the range 1–2 ($Hubble$/WFC3) and 0.8–2.5, while galaxies at $z > 7.5$ are expected to be virtually undetected.

Studies using $JWST$ and Subaru/VISTA photometric filters are yet to be published, so no well-established colour selection criteria for distant galaxies exist in these filter sets – one motivation for this paper is to advise on such selections.

Comparison between Fig. 4 and Fig. 3 suggests that similar issues will affect the ground-based samples to those currently observed from space. Contamination in ground-based samples is more of a problem since stars cannot be reliably excluded on morphological grounds, even at relatively bright magnitudes. The locus occupied by $z$-drop galaxies at $z \approx 6.5$–7.0 is clearly distinct from that expected for L- and T-dwarf stars (separated by $\sim 0.9$ mag), while that for $Y$-drop ($z \approx 7.5$) sources is likely to be heavily contaminated. It may be possible to obtain a cleaner selection at $z > 7.5$ by moving to a higher $Y - J$ colour–colour cut, but doing so is likely to require extremely deep $Y$-band data, and so requires careful consideration at the survey design stage. Either way, ground-based selection of galaxies at $z \approx 7.0–7.5$ is likely to be either incomplete or contaminated, and this should be taken into account when properties of this population are considered.
High-redshift galaxies and low-mass stars

Figure 3. Hubble ACS/WFC3 colour–colour diagrams showing the location of L- and T-dwarf spectral standards compared to the Bouwens et al. (2011) selection windows and the expected redshift track of a high-redshift dust-free star-forming galaxy. Model Y-dwarfs are shown by connected tracks – see the upper panel for key.

As Fig. 5 demonstrates, the use of JWST NIRCam filters to select \( z \approx 7 \) galaxies is likely to be challenging due to contamination by mid-T dwarf stars. The equivalent of a \( z \)-drop selection will not be straightforwardly possible. By contrast, selection at \( z < 6.5 \) and \( z > 8.5 \) are likely to be relatively free of L- and T-dwarf contamination. It is interesting to note that cool stars also seem to form a well-defined sequence that diverges from that of galaxies in the \( F115W - F150W \) versus \( F150W - F200W \) colour–colour plane. Given sufficiently precise photometry it may be possible to exclude some of this locus with well-selected colour–colour cuts, allowing selection of \( z \approx 8.25 \) galaxies.

Figure 4. Subaru SuprimeCam and VISTA VIRCam colour–colour diagrams, with the location of low-mass stars, and the tracks of high-redshift galaxies as in Fig. 3.

However, as discussed in Section 2, the now well-defined L- and T-dwarf star populations do not tell the complete story here. Spectral standards for the new Y-dwarf population are still being established. The faint magnitudes of those already identified and limitations of instrumentation mean that high-signal-to-noise spectroscopy for these stars across a long wavelength baseline is not available in the same way as it is for L and T dwarfs.

In Tables 4–6 we calculate the predicted colours for these very cool sources using the spectral synthesis models of Morley et al. (2012), described in Section 2. In Figs 3–5 we present the calculated colours graphically, as for the L and T dwarfs above. Each set of models is shown as a connected track linking models with the same cloud composition assumptions, but with different temperatures.
In each case, the lowest temperature models (~400 K) and those models which neglect the presence of molecular clouds in the stellar atmosphere produce the most extreme colours. Uncertainties in our current limited understanding of Y dwarfs therefore makes design of colour selection criteria to exclude these sources challenging.

Nonetheless, it is clear that the Y-dwarf population is likely to occupy a similar colour–colour parameter space to L and T dwarfs – likely contaminating *HST* or Subaru/VISTA z-drop samples, and presenting a challenge for *JWST* NIRCam z-drop selections. Y dwarfs are likely to be redder in *Y* – *J* than T dwarfs by up to 0.3 mag for the coolest sources – perhaps challenging high-redshift identifications that would otherwise be considered ‘unambiguous’. It is worth noting too that while current Y-dwarf observations favour $f_{\text{red}} \sim 4$–5, they do not exclude cloudless models. If future observations favour these, the potential for contamination in *HST* or Subaru/VISTA z-drop samples also rises beyond that currently estimated, with the coolest dwarf stars entering the currently used colour-selection windows.

Alternate colour selection criteria for distant galaxy samples using the *HST*/WFC3 filter set have been suggested by Lorenzoni et al. (2011, 2013) and Wilkins et al. (2011). We note that the Wilkins et al. (2011) colour selection offers little advantage over that of Bouwens et al. (2011) for the exclusion of cool stars from z-drop samples and may indeed admit some T and Y dwarfs that lie clear of the Bouwens et al. (2011) selection, due to photometric scatter. The Lorenzoni et al. (2011) criteria for selection of Y-drops are significantly redder in Y – J than those of Bouwens et al. (2011). This does serve to reduce T-dwarf contamination, and will likely be effective against most Y dwarfs, although we note that some of the coolest stellar models still satisfy these criteria, even before photometric scatter is taken into account.

### 3.1 The utility of near-infrared observations at $\lambda > 2\,\mu m$

Given the difficulty in cleanly separating low-mass stars from high-redshift star-forming galaxies using observations at $\lambda < 2\,\mu m$ it is useful to investigate whether the addition of near-IR observations at $\lambda > 2\,\mu m$ is of benefit. Deep near-IR observations at $\lambda > 2\,\mu m$ are currently available from the *Spitzer Space Observatory* using the Infrared Array Camera (IRAC) and in the future from *JWST*. While the recent *WISE* provides imaging at 3.4, 4.6, 12 and 22 $\mu m$ this is not well matched, in terms of sensitivity, to either the deep *Hubble* or ground-based searches for very high redshift galaxies.

In Fig. 6, we show the $H-K_s$, $K_s-\[3.6\]$, $H_{160\mu m}-\[3.6\]$ and $\[3.6\]-\[4.5\]$ colours of high-redshift star-forming galaxies, the spectral synthesis models of Morley et al. (2012), and the observed L and T standards from the SpeX library. Unfortunately, near-IR spectroscopy at $> 2.5\,\mu m$ (i.e. covering the IRAC channels) is unavailable for the L and T standards in the SpeX library. However, for the $\[3.6\]-\[4.5\]$ colour we augment our analysis using the direct observations of Patton et al. (2006).

The $H-K_s$ colours of both the models and the L and T standards are similar to those expected for high-redshift galaxies. While it is not possible to determine the $H_{160\mu m}-\[3.6\]$ colour for the L and T standards the colours of the hottest Morley et al. (2012) models (which are similar to the observed late-T standards) overlap with the expected colours of very high redshift star-forming galaxies. A similar result is also obtained for the using the Visible and Infrared Survey Telescope for Astronomy (VISTA)/VISTA Infrared Camera (VIRCam) $K_s$-band observations. While the $\[3.6\]-\[4.5\]$ colours of the Morley et al. (2012) models remain consistently redder than those expected for high-redshift galaxies, the L and early-T standards are much bluer and overlap those of very high redshift sources. It is also worth noting that at very high redshift the IRAC $\[3.6\]$ and $\[4.5\]$ channels probe the rest-frame optical and are expected to be strongly affected by nebular emission (e.g. Wilkins et al. 2013) which can result in much redder expected colours for the high-redshift galaxies.

In the future, NIRCam on *JWST* will also be capable of obtaining observations beyond 2 $\mu m$ with sensitivities $^2$ comparable...
with those at $<2\mu m$. Fig. 7 shows the expected colours, using the JWST/NIRCam wide set of filters, of high-redshift star-forming galaxies, observed L and T stars, and the spectral synthesis models of Morley et al. (2012). Neither the F150W – F200W nor F200W – F277W colours offer the ability to discriminate between low-mass stars and high-redshift galaxies. For both the F277W – F356W and F356W – F-444W, the predicted colours of the Morley et al. (2012) models are somewhat redder than that expected for dust-free high-redshift star-forming galaxies. However, extrapolating the temperature trend, suggests the L and T classes will have colours closer to those expected for high-redshift galaxies. Further, dust and nebular emission will both redden the expected F277W – F356W and F356W – F-444W colours of high-redshift objects.

3.2 JWST medium-band observations

NIRCam on JWST will also be equipped with several medium-band filters (shown in Fig. 2). These can be used to exploit the near-IR $\lambda < 2\mu m$ SEDs of late-L and T-dwarfs which are punctuated by strong H$_2$O and CH$_4$ bands. As can be seen clearly in Fig. 1, the F140M and F182M filters coincide with deep molecular absorption bands, while the F162M filter probes a peak in the SED. This results in very red F140M – F162M and very blue F162M – F182M colours for the late-L and T-dwarfs, as can be seen in Fig. 8. At the same time, the F140M – F162M and F162M – F182M colours are expected to be close to zero for high-redshift star-forming galaxies. While the L-dwarf population has F140M – F162M and F162M – F182M colours similar to those expected for high-redshift galaxies these can be separated from those at $z \sim 7$ using the F115W – F150W colour as shown in Fig. 5. Hence, the addition of imaging in selected JWST medium band filters could greatly enhance the reliability and utility of high-redshift galaxy surveys in the future.

4 POTENTIAL FOR CONTAMINATION OF SPECTROSCOPY

Given that Y-class dwarf stars are liable to contaminate photometric samples – at a low but non-zero level – it is informative to
For consideration whether spectroscopy can reliably identify these sources. The vast majority of proposed $z > 7$ candidate dropout galaxies are too faint for spectroscopy, even with 8 m class telescopes. Nonetheless, a fraction of the brighter targets [for example, those from the CANDELS Great Observatories Origins Deep Survey - South (GOODS-S) field] have now been subject to deep spectroscopic follow-up and an even smaller fraction of these confirmed (Pentericci et al. 2011; Caruana et al. 2012, 2013; Ono et al. 2012).

Given the faint near-infrared photometry of these sources, even the deepest spectroscopy yet undertaken does not reach the continuum level. As a result, these confirmations are entirely dependent on the detection of a single emission line appearing above the noise level in the spectrum, and its identification as the Lyman $\alpha$ emission line of hydrogen. Not only is a single emission line vulnerable to redshift misinterpretation (for example, the close $[\text{O} \, \text{ii}]$ 3727 Å doublet, $H$ $\alpha$ 6563 Å and the $[\text{O} \, \text{iii}]$ 5007 Å line are all relatively isolated and could appear as single line detections at low signal-to-noise),
but it is also more prone to distortion by the noise characteristics of the data than a multiline detection.

The peril of working at the low signal-to-noise demanded by these faint targets is illustrated by the \( z \sim 8.6 \) identification proposed by Lehnert et al. (2010) for \( Y \)-drop UDFy-38135539, based on a single line observed in deep Spectrograph for INtegral Field Observations in the Near Infrared (SINFONI) data. Later investigation failed to verify the existence of this line, suggesting that it may have been a rare noise fluctuation (Bunker et al. 2013). A second detection of line emission – a \( z \)-drop initially observed by Fontana et al. (2010), has proved equally difficult to confirm in deeper observations (Caruana et al. 2012, 2013). This may equally be a result of noise in the original data, or potentially a noise fluctuation overlying a genuine emission line feature in the Fontana et al. (2010) observations and boosting it past a detection threshold (Caruana et al. 2013 find a \( 3\sigma \) blip in their deeper spectrum at the same wavelength). Certainly, working at the typical ‘detection’ signal-to-noise thresholds of \( \sim 5–10 \) can lead to substantial variation in the flux value measured, due to random noise.

While interpretation of any given line can be challenging, one assumption generally holds that the detection of line emission likely rules out identification as a cool dwarf star in our own galaxy. The peaky, interrupted spectra of the coolest dwarf stars make this a far from safe assumption. A simple question remains unanswered: Assuming that a photometrically selected \( z \sim 7–9 \) candidate source is followed up using a typical spectroscopic strategy, and that emission spatially coincident with it is detected, occupying a narrow wavelength range, to what degree can that ‘line emission’ rule out identification as a late-T or Y-class dwarf star?

In detail, of course, this is an unanswerable question. As discussed earlier (Section 2), the spectra of modelled low-mass stars are highly sensitive to the assumptions involved in the modelling. Chemical composition and the assumed physics of cloud formation in the molecular gas atmospheres of these dwarf objects can have substantial impact on the predicted spectroscopic characteristics. Observations of Y-dwarfs at deep-field depths are as challenging and immature as the high-redshift observations we seek to distinguish them from, but we can nonetheless explore their likely spectroscopic characteristics using a mixture of modelling and the relatively bright ‘template’ sources observed in the Sun’s local volume.

The near-infrared spectra of T-dwarf stars were illustrated in Fig. 1. At first inspection, the potential for misidentification as line emission appears small. The flux of a late-T or early-Y dwarf is peaky, depleted by deep molecular absorption lines. While these peaks become narrower and sharper with increasing spectral class (a trend which continues through to the early Y-dwarfs; Morley et al. 2012), the remaining peaks span \( \sim 1000 \) \( \AA \) and the strongest (and thus most likely to peak up above the noise for faint sources) lies at \( \lambda \sim 1.25 \) \( \mu \)m. Since this corresponds to a Lyman \( \alpha \) redshift of 9.3, it is unlikely to be taken at face value and may well conflict with the observed photometry.

However, in Figs 9 and 10, we illustrate the effects of a plausible observing strategy on two cool dwarf spectra – the class T8 near-infrared standard object, 2MASSI J0415195–093506, taken from the SpeX Prism Spectral Library\(^3\) and a 550 K, \( f_{\text{sed}} = 4 \), \( \log(g) = 5.0 \) sulphide cloud model found to match the observed photometry of Y-dwarfs (Morley et al. 2012). We model our simulation on the strategy employed by Caruana et al. (2012) on their source ‘ERS.YD2’, using the XShooter instrument on the ESO/Very Large Telescope (VLT). We normalize the source flux to \( J_{\text{AB}} = 27.5 \), a typical magnitude for detected \( z \sim 7 \) candidates in the CANDLES GOODS-N field (Elbert et al. 2013). This flux is distributed over a 0.8 arcsec seeing disc in the spatial direction, and we assume a spatial scale of 0.24 arcsec per pixel. In the bottom panel of each figure, we show the predicted flux of the star before observational uncertainties. The second panel perturbs this with a random noise distribution consistent with that measured between sky emission lines at this wavelength by Caruana et al. (2012) in a 4.9 h on-source observation using XShooter. In the top panel, we further add the Poisson-noise residuals of night sky emission OH lines, to demonstrate regions in which line identification is challenging or impossible. Wavelength increases towards the right, and the source is centred vertically in the virtual slit.

\(^3\)Available at \url{http://pono.ucsd.edu/~adam/browndwarfs/spexprism/index.html}.

Figure 9. The two-dimensional spectrum of the T8 near-infrared standard star 2MASSI J0415195–093506, between 0.9 and 1.4 \( \mu \)m, normalized to the expected flux for a \( J_{\text{AB}} = 27.5 \) source. We distribute the flux over a 0.8 arcsec seeing disc in the spatial direction and assume 0.24 arcsec pixels (as in, for example, XShooter). In the bottom panel, we show the predicted flux of the star before observational uncertainties. The second panel perturbs this with a random noise distribution consistent with that measured between sky emission lines at this wavelength by Caruana et al. (2012) in a 4.9 h on-source observation using XShooter. In the top panel, we further add the Poisson-noise residuals of night sky emission OH lines, to demonstrate regions in which line identification is challenging or impossible. Wavelength increases towards the right, and the source is centred vertically in the virtual slit.

Figure 10. As in Fig. 9 but for a 550 K, \( f_{\text{sed}} = 4 \), \( \log(g) = 5.0 \) sulphide cloud model found to match the observed photometry of Y-dwarfs (Morley et al. 2012).
the infrared at Cerro Pachon using Gemini-South, to demonstrate regions of the spectrum in which line identification is challenging or impossible.

As these figures (which span the first two flux peaks in the near-infrared) demonstrate, for a cool dwarf star at zero radiative velocity relative to the Sun the second flux peak, at \( \lambda \sim 1.25 \mu m \), is coincident with a strong complex of emission lines (and thus residuals in reduced data) arising from night sky emission. This throws into question whether flux detected from this peak in moderate resolution (\( R \) of a few thousand) spectroscopy would be recognized or whether it would be dismissed as likely sky subtraction residuals. If anything, the probability of the latter scenario is higher since the presence of underlying flux may well compromise the sky subtraction in this complex, leading to still larger noise residuals at these wavelengths. Certainly, it is clear that any line interpreted as Lyman \( \alpha \) at \( z \sim 9.3 \) must be investigated with extreme caution.

Given that the presence of the stronger peak may well be overlooked or ambiguous, we turn our attention instead to the first spectral peak in the infrared spectra of late-T/early-Y dwarfs – that occurring at \( \lambda \sim 1.08 \mu m \) – in Figs 11 and 12. At this resolution, it is possible to begin to see individual features appearing above the noise. To illustrate the expected signal, we inject a source with the properties of a formal 5 \( \sigma \) emission line detection with a velocity width of 200 km s\(^{-1}\) as might be expected for high-redshift Lyman \( \alpha \) emission. We mark the location of this false source towards the left-hand side of the upper panels, where the uppermost panel is slightly smoothed, as might be expected to improve the recovery of genuine signals during analysis.

The blue box in each figure indicates the presence of a spurious ‘detection’ at slightly longer wavelengths. Interestingly, these are not coincident with the very peak of stellar emission, but rather appear to be a result of the broad wings of the stellar flux peak boosting random noise fluctuations above the detection threshold. This may, in fact, be the dominant mode by which late-T and early-Y dwarfs contaminate spectroscopic samples. The broad peaks in their spectra boost the background flux over several thousand angstroms, but are themselves likely to lie below the continuum detection limit. As a result, their presence, and the subsequent effect on the probability of spurious detections can be extremely hard to interpret, particularly if the smooth flux distribution affects background subtraction.

As can be seen from Figs 13 and 14, this effect can be equally difficult to detect in a 1D extracted spectrum. Features spanning \( \sim 10 \) Å
and lying well above the noise level can be identified, even between night sky emission lines.

Calculating the probability of a situation such as this occurring depends on many variables, most of them unknown. Late-T and early-Y dwarfs will peak at different frequencies as the relative strengths of molecular absorption bands combine to move peaks in their residual continuum emission. These peak wavelengths may further be perturbed by any significant radial velocity relative to the Sun, making them impossible to predict accurately, even given precise and unambiguous stellar models (which do not yet exist).

Furthermore, the depth and resolution of the spectrograph in question will play an important role. In our simulations with the Y-dwarf model, 20.4 per cent of noise realizations produced a 5 σ spurious ‘detection’ not coincident with a strong sky line, and >99 per cent produced a 3 σ flux excess between 0.95 and 1.15 μm picked up in the simulated extraction window (again, modelled on the observations of Caruana et al. 2012, Caruana et al. 2013). Deeper or shallower observations, brighter or fainter target sources and different model parameters will all effect these fractions. Very low resolution spectroscopy (as obtained, for example, by space telescope-mounted grisms), is likely to be less prone to uncertainty, since the continuum flux of the stars will be integrated to a detectable level, and the vagaries of sky emission variability will not affect the data. Sufficiently deep ground-based spectroscopy (a factor of ∼3 deeper than used by Caruana et al. 2012) would likely reveal broad underlying emission, or perhaps improve the detectability of the longer wavelength flux peaks against the sky residual background. However, this effectively requires an increase by a factor of 10 in the already long and thus expensive integrations with the world’s largest near-infrared telescopes. For brighter targets, of course, identification of Y-dwarfs from spectroscopy may be easier to achieve. Unfortunately, bright targets are rare and require large areas to be surveyed for their identification. Given the observing expense involved, the z > 7 candidate samples are more likely to grow through improved depth than increased area. The upcoming Hubble Frontier Fields, for example, will probe significantly deeper than the CANDELS survey, and the majority of CANDELS targets are already extremely difficult to observe spectroscopically.

While many of these factors are impossible to quantify in any realistic way, the simulation presented here demonstrates the difficulty confronting observers. Detection of a single emission line, particu-

**REFERENCES**


**5 CONCLUSIONS**

It is now possible, using deep near-IR imaging, to identify and study both star-forming galaxies and AGN at very high (z > 6) redshift. Unfortunately, cool and low-mass stars can exhibit similar broad-band colours potentially contaminating high-redshift selections. Our main conclusions can be summarized as follows.

(i) It is possible to photometrically distinguish cool, low-mass stars from high-redshift galaxies at z < 7 using Hubble/WFC3 or VISTA/VIRCam. However, at z ∼ 7.5, where high-redshift galaxies are selected predominantly by their Y − J and J − H colours, this becomes extremely challenging for all but the brightest sources.

(ii) Using broad-band JWST/NIRCam observations the situation reverses somewhat. It becomes possible at z > 8 (using F115W, F150W and F200W observations) but challenging at z ∼ 7.

(iii) The problematic separation of star-forming galaxies at z ∼ 7 and low-mass stars can be overcome using a combination of JWST wide and medium-band (F140M, F162M) photometry by taking advantage of the deep H2O and CH4 absorption bands in the spectra of low-mass stars.

(iv) Single emission line detections, often interpreted to be Lyman α in low-signal-to-noise spectroscopic observations may also be suspect, due to the unusual characteristics of the cool dwarf star population.

**ACKNOWLEDGEMENTS**

This research has benefitted from the SpeX Prism Spectral Libraries, maintained by Adam Burgasser at http://pono.ucsd.edu/~adam/browndwarfs/spexprism.
This paper has been typeset from a TeX\LaTeX\ file prepared by the author.