

## Interactions between visceral afferent signaling and stimulus processing

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## Interactions between visceral afferent signaling and stimulus processing

Hugo D Critchley and Sarah N Garfinkel

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1           **Interactions between visceral afferent signaling and stimulus**  
2   **processing**

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6 **Authors:**     Hugo D Critchley<sup>1,2,3\*</sup>, Sarah N Garfinkel<sup>1,2</sup>

7 <sup>1</sup>Psychiatry, Division of Medicine, Brighton and Sussex Medical School, University of  
8 Sussex, Brighton, United Kingdom

9 <sup>2</sup>Sackler centre for Consciousness Science, University of Sussex, Brighton, United Kingdom

10 <sup>3</sup>Sussex Partnership NHS Foundation Trust

11  
12 **Correspondence:**

13 Prof Hugo D Critchley

14 Psychiatry, Division of Medicine

15 Clinical Imaging Sciences Centre

16 Brighton and Sussex Medical School,

17 University of Sussex

18 Brighton East Sussex BN1 9RR UK

19 [h.critchley@bsms.ac.uk](mailto:h.critchley@bsms.ac.uk)

20  
21 **Keywords:**

22 affect, arousal, autonomic, baroreceptor, cardiac cycle, emotion, neuroimaging, perception

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27 **Abstract**

28

29 Visceral afferent signals to the brain influence thoughts, feelings and behaviour. Here we  
30 highlight the findings of a set of empirical investigations in humans concerning body-mind  
31 interaction that focus on how feedback from states of autonomic arousal shapes cognition and  
32 emotion. There is a longstanding debate regarding the contribution of the body, to mental  
33 processes. Recent theoretical models broadly acknowledge the role of (autonomically-  
34 mediated) physiological arousal to emotional, social and motivational behaviours, yet the  
35 underlying mechanisms are only partially characterized. Neuroimaging is overcoming this  
36 shortfall; first, by demonstrating correlations between autonomic change and discrete patterns  
37 of evoked, and task-independent, neural activity; second, by mapping the central  
38 consequences of clinical perturbations in autonomic response and; third, by probing how  
39 dynamic fluctuations in peripheral autonomic state are integrated with perceptual, cognitive  
40 and emotional processes. Building on the notion that an important source of the brain's  
41 representation of physiological arousal is derived from afferent information from arterial  
42 baroreceptors, we have exploited the phasic nature of these signals to show their differential  
43 contribution to the processing of emotionally-salient stimuli. This recent work highlights the  
44 facilitation at neural and behavioral levels of fear and threat processing that contrasts with the  
45 more established observations of the inhibition of central pain processing during  
46 baroreceptors activation. The implications of this body-brain-mind axis are discussed.

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48

49 **Overview**

50

51 Neuroimaging, notably using nuclear magnetic resonance imaging (fMRI), has transformed  
52 human neuroscience over the last 20 years. Functional neuroimaging enables non-invasive *in*  
53 *vivo* evaluation of brain regions and networks supporting perceptions thoughts, feelings and  
54 continues to provide profound insight into normative brain mechanisms and functions.

55 Neuroimaging findings have great translational potential, but so far clinical imaging  
56 biomarkers are largely limited to neurodegenerative conditions. Within autonomic  
57 neuroscience, the full impact of neuroimaging has yet to be realized. Arguably, the field has  
58 been restrained by technical challenges, for example in combining functional magnetic  
59 resonance imaging (fMRI) with detailed autonomic recording or associated experimental  
60 manipulations. However, such difficulties can and have been overcome (Gray et al. 2009a).  
61 Perhaps as relevant is a historical cultural stance that has rather underplayed the integration,  
62 across the neuraxis, of dynamic autonomic control and its contribution to perceptual,  
63 cognitive, motivational and volitional processes. This stance is increasingly challenged by  
64 neuroscientific findings and the pragmatics of therapeutic interventions. Here we review an  
65 area of autonomic neuroscience that combines human behavioural studies and neuroimaging  
66 to characterize the interaction between visceral physiology, perception and affect.

67

68 **Historical background**

69

70 The contribution of bodily arousal to thoughts and feelings has been debated for many  
71 centuries. The classical Greek physician Hippocrates and his followers, seemingly arguing  
72 against an existing doctrine, proposed that the

73

74 *“..source of our pleasure, merriment, laughter and amusement, as of our grief, pain,*  
75 *anxiety and tears, is none other than the brain....(it) enables us to think, see and hear,*  
76 *and to distinguish the ugly and the beautiful, the bad and the good, pleasant and*  
77 *unpleasant...diaphragm nor heart.. neither take part in mental operations”*  
78 (Hippocrates 400 BCE)

79

80 Relatively soon afterwards a distinct, extreme alternative is attributed to Aristotle:

81

82 *“..the brain is not responsible for any of the sensations.. the correct view [is] that the*  
83 *seat and source of sensation is the region of the heart....the motions of pleasure and*  
84 *pain, and generally all sensation plainly have their source in the heart..”(Aristotle*  
85 350 BCE)

86

87 Despite Aristotelian dominance over Western European (church-led) thought, the brain re-  
88 emerged as in the distinction between ‘hot’ passion and ‘cold’ reason. With Descartes, the  
89 body (including the brain) was further distanced from cognitions, and seemingly it was not  
90 until Darwin systematically highlighted the commonalities across species in the physical,  
91 physiological and behavioural expression of emotions that the link between bodily states and  
92 emotional feelings was established once again in psychological thinking (Darwin 1872). At  
93 the turn of the 19<sup>th</sup> century, William James and Carl Lange both argued, with some  
94 differences, that emotional feeling states originated in physiological responses in the body:

95

96 *“..that the bodily changes follow directly the perception of the exciting fact, and that*  
97 *our feeling of the same changes as they occur is the emotion.”* (James 1884)

98

99 Lange in particular attributed both positive and negative emotions to visceral vasomotor  
100 reactions (Lange 1885/1912). Over the course of the 20<sup>th</sup> century, there followed a series of  
101 evaluations and critiques of physiological accounts of emotion, including, for example,  
102 quantifying emotional effects of parenteral adrenaline administration, from Maranon and  
103 Cantril and Hunt, to Schachter and Singer (Cantril and Hunt 1932; Maranon 1924; Schachter  
104 and Singer 1962). Schachter and Singer's two stage model of emotion, followed Walter  
105 Cannon's dismissal of the contribution of peripheral physiology to emotional experience as  
106 epiphenomenological (Cannon 1927; Cannon 1931). Schachter and Singer's model  
107 represented a compromise that acknowledged a primary, yet non-specific contribution of  
108 physiological arousal to emotions, shaped into and labeled as particular emotion types by  
109 cognitive and social expectations (Schachter and Singer 1962). Over subsequent years,  
110 physiology has featured in both labelled line (dedicated function-specific) (e.g. Ekman et al.  
111 1983; LeDoux 2000) and constructivist models of emotion (e.g. Barrett 2006; Damasio  
112 1999).

113

114 Our own laboratory has attempted to combine cognitive neuroscience with clinical autonomic  
115 research, initially to test ideas put forward by Damasio and colleagues (Bechara and Damasio  
116 2005; Bechara et al. 1997; Damasio et al. 1991) concerning the influence of peripheral  
117 physiological states on decisions, thoughts and feelings. These studies combined functional  
118 neuroimaging (first using positron emission tomography, PET, then magnetic resonance  
119 imaging) with monitoring of autonomic responses evoked by performance of cognitive  
120 emotional or effortful tasks (Critchley et al. 2000a; Critchley et al. 2000b; Critchley et al.  
121 2005a; Critchley et al. 2005b). Across experiments, a characteristic pattern of activity was  
122 associated with states of psycho-physiological arousal, generally irrespective of whether the  
123 participants were processing salient emotional information or performing demanding  
124 cognitive or effortful motor tasks. Such challenges commonly evoke an enhancement of  
125 activity within dorsal anterior cingulate cortex (extending caudally to mid cingulate)  
126 accompanied by bilateral mid to anterior insular cortex activity. Activity in these areas  
127 typically correlate with autonomic change; a shifts in sympathovagal balance (toward  
128 sympathetic arousal and parasympathetic withdrawal) whether measured by electrodermal  
129 activity, changes in pupil size, heart rate acceleration or heart rate variability (Critchley et al.  
130 2002; Critchley et al. 2003; Critchley et al. 2005a; Critchley et al. 2005b). This  
131 correspondence with peripheral autonomic response provides an integrative account of why  
132 regions such as 'cognitive' anterior cingulate physiological activate when both mental and  
133 physiological resources are diverted to meet behavioural challenges. Observed attenuation of  
134 sympathetic arousal in patients with lesions affecting dorsal anterior/mid cingulate (Tranel  
135 2000) during motor and cognitive effort further supports the notion that rostromedial cortex  
136 hosts a visceromotor centre that drives action-ready autonomic states of during psychological  
137 arousal. Interestingly, the ventromedial prefrontal cortex and subgenual cingulate region  
138 appears, across a number of experiments, to be 'antisympathetic' and/or parasympathetic: For  
139 example, the tonic level of sympathetic electrodermal arousal is negatively correlated with  
140 activity within this region (Nagai et al. 2004) (Figure 1), and correspondingly, correlations  
141 between high frequency heart rate variability (an index of parasympathetic cardiac control)  
142 and ventromedial prefrontal cortical activity are also observed. In an elegant illustration,  
143 Wager and colleagues showed that increases in heart rate induced by the stress of 'social  
144 evaluation' are independently predicted by increased activity within dorsal anterior cingulate  
145 and decreased activity within ventromedial prefrontal cortex (Wager et al. 2009). In contrast  
146 to anterior cingulate cortex, across different studies evidence points to a role of insular cortex  
147 in representing (mapping) states of autonomic arousal and visceral change (Critchley et al.  
148 2004; Pollatos et al. 2007).

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Figure 1 about here

A core aspect to stress-induced physiological arousal is the suppression of the normal baroreflex response, to allow heart rate and blood pressure to rise together. During experimental induction of psychological stress, activity within dorsal cingulate, bilateral insula, amygdala and dorsal brainstem (midbrain periaqueductal grey matter) predicted the magnitude of beat-by-beat baroreflex suppression (Gianaros et al. 2012). It is noteworthy that each of these regions is implicated, indicating that dynamic interaction between afferent and efferent axes of autonomic control extend beyond brainstem to forebrain (both subcortical and cortical) centres. The contribution of afferent autonomic feedback to the expression of physiological arousal in emotion is consistent with the observed neural correlates of mental stress. Both insular cortex and amygdala are sensitive to the presence of threat-induced autonomic responses: In a fear conditioning study that compared patients with autonomic failure to healthy controls, the absence of an autonomic response to threat stimuli was associated with attenuated activity within amygdala and mid insula. Insular cortical responses, in both mid and right anterior regions, were observed to reflect the further integration of physiological feedback with the conscious processing of the threat stimuli, achieved experimentally by comparing responses to supraliminal and subliminal conditioned threat stimuli between autonomic failure and control participants (Critchley et al. 2002).

The general observation that, in health, subliminal threat stimuli can evoke physiological arousal responses provides support for the automatic primacy of bodily responses to emotional experience. Such evidence is very relevant to peripheral theories of emotion, where the feedback of bodily response is proposed to be the basis for emotional feelings (Lange and James 1967), in contrast to a common process generating feelings and physiological change. The information fed back from the automatic bodily response can then guide perceptions and decisions as ‘somatic markers’ (Bechara and Damasio 2005; Bechara et al. 1997; Damasio et al. 1991). Within this theme, Katkin and colleagues conducted a study where participants were subliminally presented (using backward masking) two stimuli, one of which predicted the occurrence of a later shock. At each trial, during a delay following the stimulus presentation, the participant was asked to judge whether they thought they would receive a shock. Without conscious awareness to enable discrimination between the stimuli, participants should have, in theory, performed at chance on this ‘trace conditioning’ task. However, it was observed that some individuals, preselected as being ‘interoceptively aware’ based on their accurate performance of a heartbeat detection task, were able to estimate well above chance whether a subliminal stimulus was paired with a later shock (Katkin et al. 2001). The interpretation was that those individuals most sensitive to their bodily responses, i.e. able to access the arousal responses generated automatically by the subliminal threat, could effectively use that information in their decision-making.

### **Interoceptive ability**

The measurement of individual differences in interoceptive sensitivity/accuracy has over the years gravitated toward heartbeat detections tasks. Correlations with other axes of interoception (e.g. gastric filling) have reassured people that the ability to perceive individual heartbeats at rest can lead to inferences about an individual’s more general sensitivity to internal bodily responses and arguably, by extension, their impact on emotional processes. Heartbeat detection tasks include the ‘Schandry task’: counting heartbeats at rest over a fixed interval (comparing reported to actual number of heartbeats measured using

199 electrocardiography) (Schandry 1981) and the Whitehead/Katkin task where people judge the  
200 timing (synchronous or delayed) of external auditory or visual stimuli relative to the heart  
201 beats that triggered the stimuli (Katkin et al. 1983; Whitehead et al. 1977; Wiens and Palmer  
202 2001). Neuroimaging studies (Critchley et al. 2004; Pollatos et al. 2007) associate  
203 performance on heartbeat detection tasks with engagement of right insular cortex in particular  
204 as a part of a wider network of regions including anterior cingulate cortex (Medford and  
205 Critchley 2010). These studies confirm a role for insula engagement in interoceptive  
206 processing, providing further insight into how emotional feeling states especially of anxiety,  
207 are supported within interoceptive representations (Critchley et al. 2004; Paulus and Stein  
208 2006). Interestingly, the response of part of right anterior insula differed during a Whitehead  
209 Katkin task, both according to whether participants' attention is focused 'interoceptively' on  
210 their heartbeats or exteroceptively only on the quality of the auditory stimuli, and according  
211 to the timing of the external stimulus (presence or absence of a delay relative to heartbeat)  
212 (Critchley et al. 2004) (see Figure 2). This interaction suggests, at least for right anterior  
213 insular cortex, that there is a fine-grained integration of external sensory information with  
214 representation of individual heartbeats. Anterior insular cortex therefore responded to  
215 mismatched timing between internal and external signals.

216  
217 Figure 2 about here  
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## 220 **Insula and detection of interoceptive mismatch**

221  
222 Peripheral theories of emotion superficially appear to predict that interoceptive sensitivity  
223 would be associated with enhanced emotional responsivity and correspondingly increased  
224 vulnerability to emotional disorders, including clinical anxiety. While 'good heartbeat  
225 detectors' maybe over represented among anxiety patients, interoceptive accuracy does not  
226 account sufficiently. Attention to, appraisal and expectation of physiological arousal states  
227 interact with awareness to fuel symptoms such as anxiety (Clark 1986; Garfinkel et al. 2015;  
228 Paulus and Stein 2010; Wild et al. 2008). Contrasting the effects of false and true  
229 physiological (heartbeat) feedback during an MRI study, Gray and colleagues (Gray et al.  
230 2007) showed that processing and subjective rating of neutral faces was enhanced in the  
231 presence of false physiological arousal, consistent with the notion that; 'unattributed arousal'  
232 was assigned to an ambiguous cause: the presence of neutral facial expressions.  
233 Correspondingly, intrinsically arousing emotional faces did not show the same enhancement  
234 effect. This behavioral effect supports the idea that unexpected physiological arousal  
235 contributes to states of anxiety or feelings of threat by donating salience to coincidental  
236 potential causes. In this false feedback study, neuroimaging identified right anterior insular  
237 cortex and amygdala activity as mediating processing of interoceptive/ exteroceptive error  
238 evoked by the false feedback to predict the enhancement of perceived intensity of neutral  
239 faces.

240  
241 These data point to the coupling of emotional states autonomic activity and feedback via  
242 insular regions. The processing of threat is attenuated in different in people with absent  
243 autonomic responses who show less engagement of insula and amygdala in response to  
244 threatening stimuli, moreover mid and anterior region of right insula link the representation  
245 of autonomic arousal to conscious awareness of the likely cause of the autonomic arousal in a  
246 manner consistent with the two stage model of emotion proposed by Schachter and Singer  
247 (Schachter and Singer 1962), wherein emotions are constructed from the interpretation of  
248 physiological change in the concurrent cognitive context. Individual differences in



249 interoceptive awareness (heartbeat detection) influences emotional reactivity, and again the  
250 insular cortex is the dominant regions substrate for consciously accessible interoceptive  
251 representation. A major role of insula in processing physiological change lies in the  
252 processing of the mismatch between perceived and inferred / expected bodily state in an  
253 attention- dependent manner. Here unattributed arousal can alter emotional appraisal of face  
254 stimuli (via insula & amygdala) in a manner that appears to enhance the salience and  
255 potentially threatening nature of ‘ambiguous’ neutral stimuli (Gray et al. 2007).

256

### 257 **Baroreceptor signaling and cardiac timing**

258

259 In discussing the contributions of bodily physiology the processing of stimuli and the  
260 generation emotional feelings, there appears at least in our view to be a primacy or  
261 dominance of feedback of cardiovascular influences. While some autonomic indices such as  
262 electrodermal responses or pupil size may be sensitive indicators of emotional / affective  
263 reactions, states of cardiovascular arousal, particularly strong fast heartbeats, are felt as  
264 potent influences on subjective emotion. What is noteworthy about the feedback from the  
265 heart and great vessels is that the signal is phasic, pulsing with each heartbeat. The cardiac  
266 cycle describes the phases of atrial and ventricular filling and discharge of blood from the  
267 heart into the wider circulation. With each systole, blood leaves the left ventricle into the  
268 aorta and carotids where stretch receptor in the vessel walls (baroreceptors) are activated.  
269 These signals are conveyed centrally to the brainstem via the vagus and glossopharyngeal  
270 cranial nerves. Within the medulla, these phasic signals are processed to inform the  
271 baroreflex, whereby blood pressure is controlled by the slowing of the heart (parasympathetic  
272 vagus) after strong heart beats and inhibition of muscle sympathetic nerve activity to  
273 attenuate vasoconstriction of vascular beds. Strong baroreceptor discharges are responded to  
274 by adaptive adjustments to maintain perfusion pressure. The same channel of afferent  
275 information flow from aortic / carotid sinus baroreceptors to the brain is presumed to be the  
276 basis of heartbeat detection and the feeling states of physiological arousal accompanying  
277 motor and emotional behaviours. We can explore experimentally the effects of this  
278 viscerosensory pathway on other perceptual and mental processes without necessarily  
279 changing the overall state of cardiovascular arousal, since baroreceptor signals occur in bursts  
280 and are quiet between heartbeats: A brief stimulus presented at systole is processed  
281 concurrently with aortic/ carotid baroreceptors signaling, while this is not the case for a  
282 stimulus presented at diastole (Lacey and Lacey 1970; Rau and Elbert 2001).

283

### 284 **Heartbeat timing experiments**

285

286 There is an established body of literature that has pursued this type of experiment, and  
287 associated theory. Broadly, the majority of these studies indicate that stimuli presented  
288 concurrently with baroreceptor activation (natural activation at systole) or augmented with  
289 external neck suction) appear to be inhibited. This is particularly the case of painful stimuli  
290 (e.g. brief electrocutaneous shock) where there is attenuation of pain evoked potentials,  
291 nociceptive motor and autonomic reflexes and the perception of pain presented at the time of  
292 baroreceptor activations relative to quiescent periods (Dworkin et al. 1994; Edwards et al.  
293 2002; McIntyre et al. 2006; McIntyre et al. 2008; Rau and Elbert 2001). Baroreceptor  
294 stimulation is reported to engender similar blunting on stimulus processing. The observations  
295 of Lacey and Lacey (Lacey and Lacey 1978; Lacey and Lacey 1970) with respect to these  
296 and related cardiac cycle effects (including cardiac deceleration in anticipation and  
297 orientation, and acceleration for action and response) led to the formulation of a general  
298 principle that heartbeat / baroreceptor stimulation is generally inhibitory, perhaps

299 representing a distracting stream of information when one needs to survey one's  
300 surroundings, but which can help facilitate motor behaviors, including fight and flight  
301 responses, in part by devaluing external distraction.

302  
303 The conjunction of arterial baroreceptor activation and external sensory stimulation has  
304 revealed some interesting autonomic effects. For example, contrary to the notion that  
305 sympathetic activation is a general indicator of psychophysiological arousal, the sympathetic  
306 responses to an arousing electrocutaneous shock at systole are distinct between electrodermal  
307 measures and muscle sympathetic nerve activity: the shock evokes a sympathetic skin  
308 response (irrespective of timing relation to baroreceptor activation) but causes inhibition of  
309 the burst-firing of muscle sympathetic nerve bundles (Donadio et al. 2002; Wallin 2007).  
310 The predicted consequence is therefore a transient drop in blood pressure. This inhibition of  
311 muscle sympathetic nerves diminishes through habituation if the stimulus is repeated over  
312 ensuing systoles. Most interestingly, there is much less habituation in blood-phobic fainters  
313 (Donadio et al. 2007), suggesting a physiological signature underlying the propensity to  
314 faint, wherein the interaction of interoceptive signals from the heart with the processing of  
315 directly threatening stimuli is linked to a stereotyped emotional behavioural reaction.

### 316 317 **Neural correlates of heartbeat timing effects on sensory processing**

318  
319 Certainly such observations indicate the modulatory influence of signals from the heart and  
320 great vessels on external stimulus processing. Moreover, the habituation effect and link to  
321 blood phobia suggest that these mechanisms involved supratentorial regions supporting  
322 expectation, attentional and emotion. A neuroimaging study exploring the brain subregions  
323 supporting interactions between electrocutaneous shock and baroreceptor activation / heart  
324 timing combined a number of physiological indices (including noninvasive beat-to-beat  
325 blood pressure) with event-related functional magnetic resonance imaging (Gray et al.  
326 2009b). Compared to timing at diastole, electrocutaneous shocks delivered at systole were  
327 associated with a flattening of blood pressure response which was coupled to changes in the  
328 activity of mid pons, bilateral anterior insula, and right amygdala. Interestingly, while this  
329 activity within pons and insula was attenuated to stimuli presented at systole, the effect in  
330 amygdala went in the opposite direction: blood-pressure coupled activity in amygdala was  
331 greatest at systole (Fig 3). There was further evidence to link these effects to baroreflex  
332 control: individual differences in high-frequency heart rate variability (an index of  
333 parasympathetic cardiac control) particularly predicted the differential evoked activation of  
334 insula at systole compared to diastole. Trial-by-trial changes in heart rate variability measure  
335 were predicted by systole/diastole activity difference within periaqueductal gray matter and  
336 amygdala (Gray et al. 2009b). This study therefore provided evidence for integration across  
337 viscerosensory, affective and autonomic systems within the brain in response to a salience  
338 physical stimulus.

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Figure 3 about here

Using electroencephalography, to extend earlier knowledge regarding baroreceptor influences  
on pain processing, Gray and colleagues modulated participants' expectation of painful shock  
with visual cues. Early components of pain-evoked potentials (eg N2) were not significantly  
affected by expectation or systole/diastole timing. However the magnitude of a later  
component (P2, occurring around 400ms after shock delivery and distributed across central  
and right lateral scalp regions) differentiated between expected and unexpected shocks  
presented at diastole. Baroreceptor activation (i.e. the timing stimuli at systole) abolished the

349 difference between expected and unexpected shock on this P2 component. This observation  
350 was consistent with a simple model that suggests this later component of pain processing is  
351 gated by attention, but furthermore the operation of this attentional gate is conditional upon a  
352 baroreceptor gate: i.e. signals from the heart and great vessels exert their influence at an  
353 attention-dependent stage that follows initial sensory mapping to brain (Gray et al. 2010).  
354 Thus, at least for pain (and there is evidence for other sensory stimuli), natural baroreceptor  
355 afferent effects on sensory processing appear to occur at a secondary stage after initial  
356 sensory representation. Of relevant interest, however, are the findings of a recent  
357 magnetoencephalographic (MEG) study, which showed that the magnitude of heartbeat  
358 evoked potentials (an index of cortical representation of signals from the heart and great  
359 vessels) did in fact predict whether or not a fine grained visual stimulus (low resolution  
360 circular grating) entered conscious awareness (Park et al. 2014). Nevertheless, this MEG  
361 study did not show a direct effect of systole/diastole timing on stimulus detection.  
362

### 363 **Heartbeat timing effects on emotional processing**

364  
365 The core observation that the processing of emotive (pain) stimuli are modulated / gated by  
366 the cardiac cycle led to the question as to whether other types of salient emotional stimuli  
367 would be changed in value by these phasic cardiac afferent signals. Emotional facial  
368 expressions have been widely used in emotion research and affective neuroscience to probe  
369 and engage brain systems accommodating both labelled lines theories of specific discrete  
370 emotions (Ekman and Cordaro 2011; Ekman and Friesen 1971) and more dimensional  
371 constructionist view about emotional processing (Barrett 2006). Comparing the effects of  
372 presenting brief, but nevertheless overt, pictures of different emotional faces at systole and  
373 diastole demonstrated modest differences (c.1.5%) in subsequent heart rate for disgust and  
374 happy stimuli, both showing greater slowing of heart rate to these facial expressions when  
375 processes at systole compare to diastole. No significant effects were seen for sad and neutral  
376 faces (Gray et al. 2012). Moreover for disgust expressions there was also significant change  
377 in ratings of emotional intensity (measured rather insensitively on a 5 point Likert scale).  
378 This study was conducted during simultaneous brain imaging with fMRI, which revealed an  
379 area of periaqueductal gray matter whose activity mirrored the cardiac timing effect on  
380 stimulus processing and negatively emotional predicted ratings. Specifically for disgust,  
381 there was a similar convergent effect within left mid orbitofrontal cortex (Gray et al. 2012).  
382 Thus phasic baroreceptor signals contribute the processing of some emotions, but it is  
383 noteworthy that this study did not examine fear stimuli. This oversight was remedied in a  
384 further set of studies, motivated by the possibility that the heart timing effect may have the  
385 potential to differentiate and help people with anxiety disorders and fear-related conditions  
386 such as phobia.  
387

388 The observation of amygdala engagement in the pain study also contributed to the motivation  
389 for a study similar to that of Gray and colleagues (2012), but combining fMRI with the  
390 presentation of fearful and neutral facial expressions at different phases of the cardiac cycle  
391 (systole and late diastole). The presentation of fear face stimuli at systole compared to  
392 diastole evoked an enhancement of subjective perception of the emotion, with reported  
393 intensity ratings changing by around 6%. A trend in the opposite direction was noted for  
394 neutral faces, with intensity ratings enhanced slightly at diastole. This effect of heart timing  
395 on emotion perception was associated with bilateral engagement of amygdala, the magnitude  
396 of which, particularly for the right amygdala, correlated with individual differences in trait  
397 anxiety levels (Garfinkel et al. 2014).  
398

399 In the same set of investigations, a further effect was noted: baroreceptor activation, (as  
400 inferred from timing stimuli at systole) enhanced the detection of threat signals (fear faces)  
401 but not of other emotions (Garfinkel et al. 2014). In a rapid serial visual presentation (RSVP,  
402 attentional blink paradigm), emotional faces presented among distracting masks (at the  
403 border of conscious awareness) are more likely to break through' to conscious awareness.  
404 This 'emotional attentional blink' effect shows that salience of affect-laden stimuli grabs  
405 attention at an early stage (Anderson and Phelps 2001). In a behavioural study, timing  
406 emotional faces to phases of the cardiac cycle, produced a significant facilitation of this  
407 attentional grab for fear faces presented at systole compared to diastole, but not for disgust  
408 happy or neutral faces (though notably there was a trend for detection of neutral faces to be  
409 better at diastole). The magnitude of change was noteworthy with around a 9% average shift  
410 in detecting fear faces at systole compared to diastole. Interestingly, not all of the healthy  
411 participants of the study demonstrated this average effect: 3/19 people showed no differences  
412 between systole and diastole for fear faces detection and, 2/19 showed small effects in the  
413 opposite direction. At the other extreme, 3 people showed 25% or greater improvement in  
414 detection of fear faces at systole (Garfinkel et al. 2014). This study on fear detection clearly  
415 enriches the picture presented above regarding the impact of heart signals on early sensory  
416 processing (Gray et al. 2009b; Park et al. 2014). In summary, the cardiac timing effects  
417 markedly and selectively influence the detection and emotional appraisal for fear signals, at  
418 least for facial expressions. The direction of these effects on fear processing is the opposite  
419 of what had previously been the generally received wisdom that baroreceptor afferent inputs  
420 are inhibitory to stimulus processing, and the opposite of what is observed for the more direct  
421 challenge of brief painful (electrocutaneous) stimuli. The effects in the brain appear mediated  
422 through systems, notably the amygdala, known to be engaged in threat processing and its  
423 integration with afferent information regarding autonomic arousal.

424

## 425 **Conclusions and future research**

426

427 The notion that states of autonomic arousal contribute to emotional processing has a long  
428 history. Its relevance is particularly noted in appraisal models of emotion and incorporated  
429 into cognitive models of panic and anxiety. Inspirational work in the 1970's and 1980's  
430 highlighted a particular role of baroreceptor activation in conveying signals to the brain about  
431 the state of cardiovascular arousal, beyond its proximate role in the reflex control of blood  
432 pressure. Heart timing experiments (reinforced by studies employing external mechanical  
433 manipulation of baroreceptors) highlighted inhibitory effects on processing of stimuli,  
434 notably the processing of pain, as expressed in motor reflexes electrocortical responses and  
435 subjective judgments. Recent work, particularly from our own laboratory has highlighted  
436 opposite effects on the processing of fear signals of potential threat, notable facial  
437 expressions. Here baroreceptor activation facilitates the detection of fear and augments the  
438 attribution of emotional salience. Brain imaging of these effects has helped not only define  
439 the levels of visceroaffective integration within brain, but imaging has also identified  
440 objective markers and potential targets of intervention since these same areas are typically  
441 implicated in affective psychopathology, including the expression of anxiety disorders. It is  
442 therefore a goal of future research to explore and exploit these observations. Fundamentally  
443 using or manipulating the afferent signals from heart to enhance or diminish the detection and  
444 processing of affective stimuli has potential application for characterizing and stratifying  
445 patient groups for different anxiolytic treatment, but may provide a means of enhancing the  
446 interventions themselves, for example by integrating physiological signals with computerized  
447 cognitive behavioural therapies. More options may emerge as we learn more about the  
448 neurochemistry and functional architecture supporting these effects.



450 **Figure legends**

451

452 **Figure 1**

453 **Neural activity reflecting reducing tonic level of sympathetic electrodermal arousal.** The  
454 figure panels illustrate data presented from Nagai et al., 2004.

455 **A.** Decreases in skin conductance level were associated with increased activity in the vmPFC  
456 and OFC this effect was independent of task in that they occurred irrespective of whether  
457 participants performed a biofeedback arousal or a biofeedback relaxation task.

458 **B.** Across all participants, activity within the subgenual cingulate region was associated with  
459 decreases in tonic skin conductance level.

460

461 **Figure 2**

462 **Neural correlates for interoceptive processing.** The figure panels illustrate data presented  
463 in Critchley et al., 2004.

464 **A.** Activity in the insula is enhanced during interoceptive attention relative to an  
465 exteroceptive control condition (i.e. trials where attention is directed to the heart vs. trials  
466 where attention is directed to the notes alone).

467 **B.** Neural activity reflecting the interaction between interoceptive/exteroceptive attention  
468 (heart vs. notes) and feedback delay (tones synchronous or delayed with respect to the  
469 heartbeat). For delayed stimuli, activity in right insula is enhanced during interoceptive focus  
470 and reduced during exteroceptive focus.

471

472 **Figure 3**

473 **Neural activity reflecting interaction between cardiac afferent information within**  
474 **cardiac cycle and electrocutaneous shock processing.** The figure panels illustrate data  
475 presented in Gray et al. 2009b. Electrocutaneous shocks administered at cardiac systole  
476 (around T wave of electrocardiogram; ECG) inhibit normal blood pressure response and are  
477 decrease blood pressure-related activity with insula and pons while increasing activity in  
478 amygdala (relative to diastole). **A.** Timing of electrocutaneous stimuli relative to ECG R-  
479 wave: aiming to trigger at systole around ECG T wave, and to trigger at diastole (immediate  
480 presystole period. In fact for practical purposes stimulus events were triggered in a predictive  
481 way from pulse oximetry data and the accuracy of relationship to concurrently recording  
482 ECG confirmed post hoc.

483 **B.** Group data illustrating the differential effect of shock timing in cardiac cycle on beat-to-  
484 beat mean arterial blood pressure responses across the group. Systole was observed to  
485 attenuate blood pressure increase to shock.

486 **C.- E.** Group BOLD activity tracking blood pressure following shock delivery was contrasted  
487 for events at systole versus diastole. Activity within **C.** bilateral insular cortex regions and

488 **D.** dorsal brainstem (mid pons) was greater at diastole compared to systole. **E.** Group

489 activity in right amygdala was greater at systole compared to diastole.

490

491

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493

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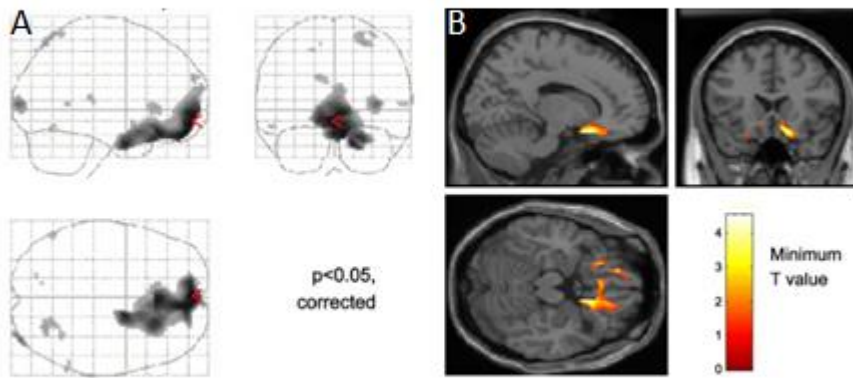
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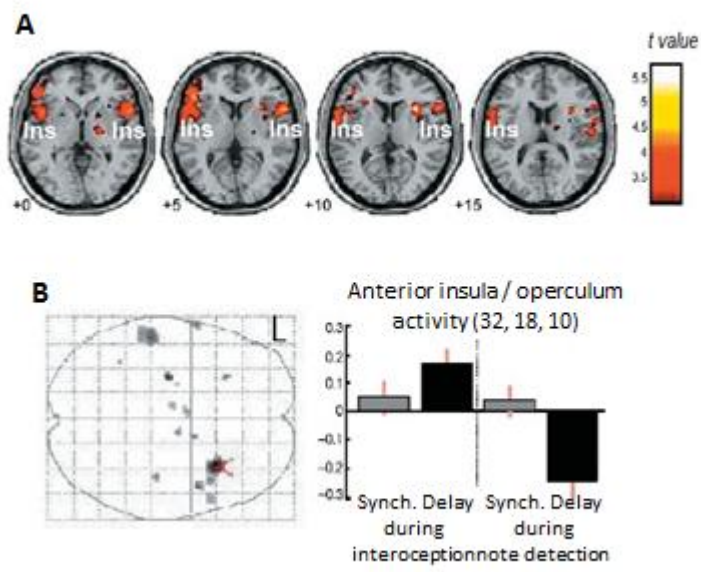
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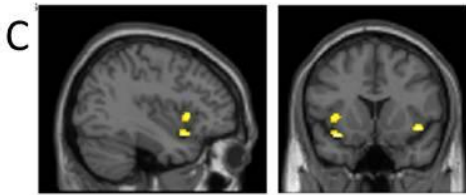
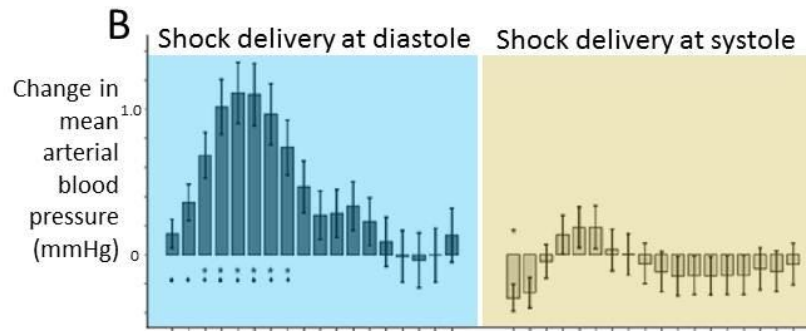
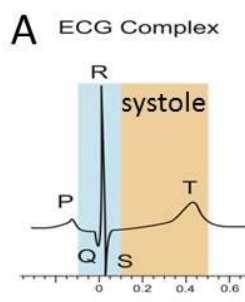
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Fig 1



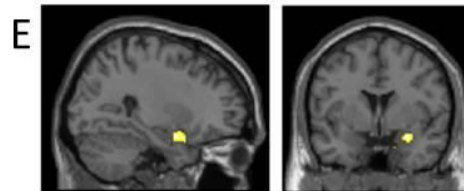
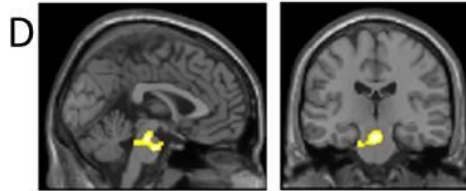
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Fig 2



Evoked activity (tracking blood pressure)

C insula      diastole>systole  
 D pons      diastole>systole  
 E amygdala      systole>diastole



648  
 649 Fig 3

Figure 1.JPEG

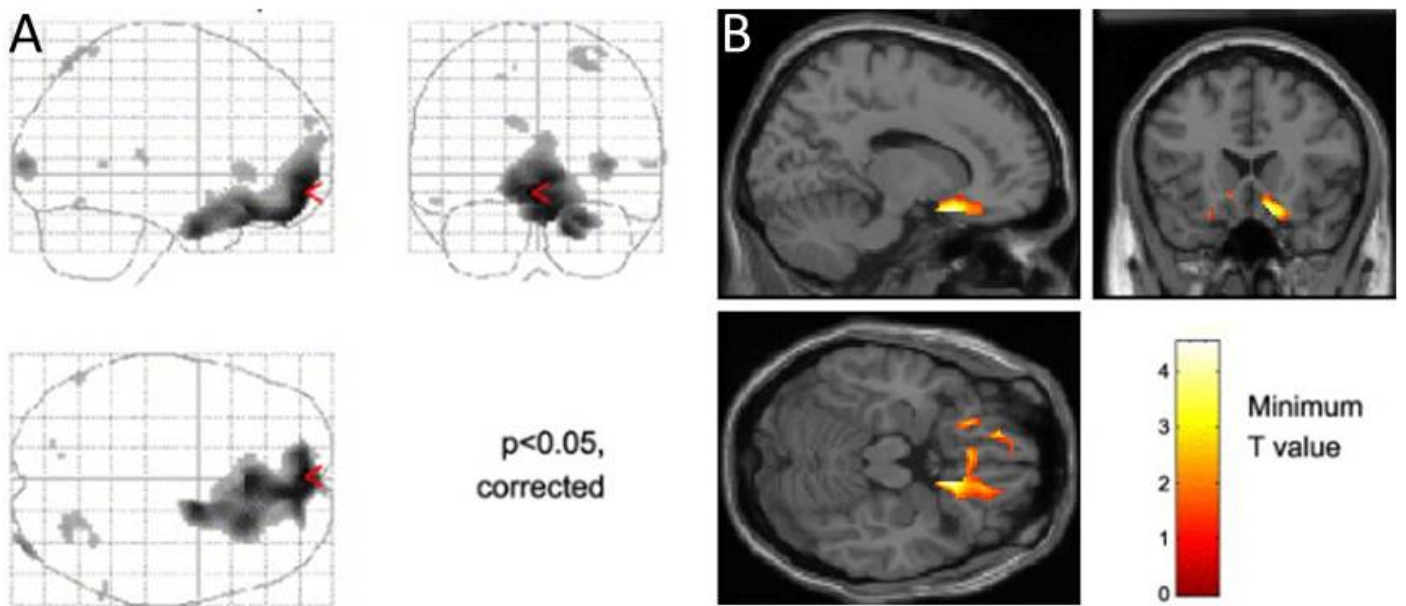


Figure 2.JPEG

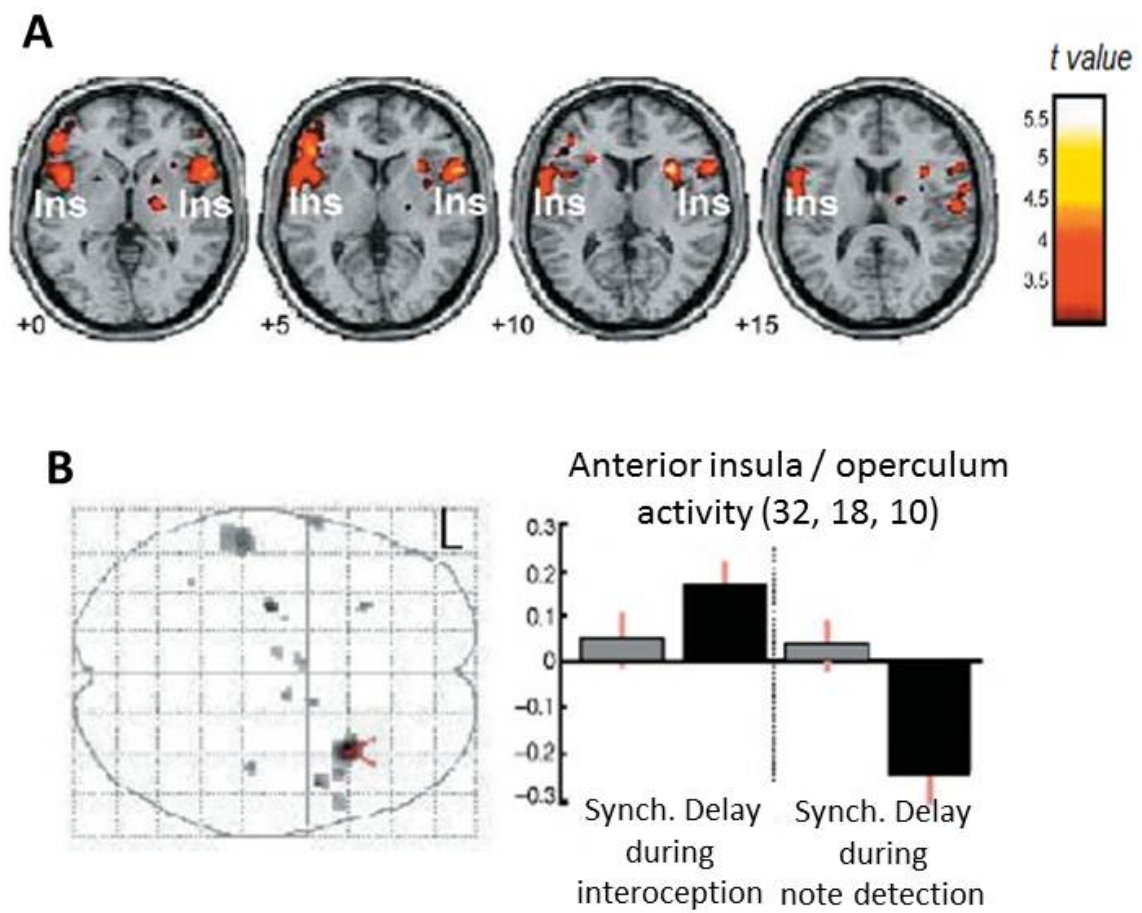


Figure 3.JPEG

