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Title:

Do riparian forest fragments provide ecosystem services or disservices in surrounding oil palm plantations?

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1 *Abstract*

2 Agricultural expansion across tropical regions is causing declines in biodiversity and altering
3 ecological processes. However, in some tropical agricultural systems, conserving natural
4 habitat can simultaneously protect threatened species and support important ecosystem
5 services. Oil palm cultivation is one of the fastest-growing industries in tropical areas, but the
6 extent to which non-crop habitat supports biodiversity and ecosystem services in these
7 landscapes is poorly documented. We investigated whether riparian forest fragments (riparian
8 reserves) provide a pest control service or increase pest activity (disservice) within oil palm
9 dominated landscapes in Sabah, Malaysian Borneo. We assessed the activity of potential
10 predators of pest herbivores using plasticine caterpillar mimics and quantified herbivory rates
11 on oil palm fronds in areas with and without riparian reserves. We also manipulated the shape
12 and colour of the mimics to assess the extent to which artificial pest mimics reflect a predatory
13 response. The presence of riparian reserves increased the attack rate on mimics by
14 arthropods, but not by birds. Our methodological study suggested attacks on artificial pest
15 mimics provide a better indication of predatory activity for birds than for arthropod predators.
16 Herbivory rates were also not significantly affected by the presence of a riparian reserve, but
17 we found some evidence that herbivory rates may decrease as the size of riparian reserves
18 increases. Overall, we conclude that riparian forest fragments of 30 – 50m width on each side
19 of the river are unlikely to provide a pest control service. Nevertheless, our results provide
20 evidence that these riparian buffer strips do not increase the density of defoliating pests,
21 which should reassure managers concerned about possible negative consequences of
22 preserving riparian buffers.

23 *Zusammenfassung*

24 Die Ausweitung der Landwirtschaft in tropischen Regionen verursacht Abnahmen der
25 Biodiversität und verändert ökologische Prozesse. Indessen kann in einigen tropischen

26 Agrarsystemen der Schutz von natürlichem Lebensraum gleichzeitig bedrohte Arten schützen
27 und wichtige Ökosystemdienstleistungen unterstützen. Der Ölpalmenanbau ist die am
28 schnellsten wachsende Industrie in tropischen Gebieten, aber das Ausmaß, in dem nicht
29 bewirtschaftete Habitate Biodiversität und Ökosystemdienstleistungen in diesen Landschaften
30 unterstützen, ist wenig dokumentiert. Wir untersuchten, ob fragmentierte Uferwälder
31 (Uferreservate) in von Ölpalmen dominierten Landschaften von Sabah (Borneo, Malaysia) eine
32 Schädlingskontrolldienstleistung erbringen oder die Schädlingsaktivität steigern. Wir
33 bestimmten die Aktivität von potentiellen Räubern von Schädlingen, indem wir Raupenimitate
34 aus Knetmasse benutzten, und quantifizierten den Schädlingsbefall an Ölpalmwedeln in
35 Gebieten mit und ohne Uferreservate. Wir variierten auch die Gestalt und Farbe der Imitate,
36 um das Ausmaß abzuschätzen, mit dem künstliche Raupenimitate Reaktionen seitens der
37 Räuber wiedergeben. Das Vorhandensein eines Uferreservats steigerte die Angriffsraten von
38 Arthropoden, aber nicht die von Vögeln. Die Breite des Uferreservates hatte keinen
39 signifikanten Einfluss auf die Angriffsraten der beiden Räubergruppen. Unsere Untersuchung
40 zur Methodik legt nahe, dass die Angriffe auf künstliche Schädlingsimitate die Aktivität von
41 Vögeln besser wiedergeben als die von Arthropoden. Der Befall durch Pflanzenfresser wurde
42 ebenfalls nicht signifikant vom Vorhandensein eines Uferreservates beeinflusst. Insgesamt
43 schließen wir, dass Uferreservate vermutlich keine Dienstleistung für die Schädlingskontrolle
44 erbringen. Nichtsdestotrotz belegen unsere Ergebnisse, dass Pufferstreifen an Flussufern nicht
45 zu einer Steigerung der Dichte von blattfressenden Schädlingen führen. Dieser Befund sollte
46 Manager, die um mögliche negative Folgen des Schutzes von Uferwäldern besorgt sind,
47 beruhigen.

48 Keywords: Tropical, Forest, Conservation, Riparian strip, Riparian buffer, Herbivory, Pest
49 control

50

51

52 *Introduction*

53

54 Agricultural production relies on many ecosystem services; pollination, pest control and
55 decomposition are among the most important. However, recent agricultural expansion and
56 intensification has caused declines in biodiversity, undermining many ecological processes. In
57 some agricultural systems this has caused an increase in production costs and a drop in yields
58 (Power, 2010). It is therefore increasingly important that we understand the biological systems
59 underpinning key ecosystem services.

60 In some tropical systems, the protection of natural habitat can increase densities of important
61 service providers and enhance ecosystem services. Pollination and fruit set in coffee
62 plantations increase with proximity to natural habitat (Klein, Steffan–Dewenter, & Tscharntke,
63 2003; Ricketts, 2004). Positive relationships between pollination rate and proximity to forest
64 have also been found for other tropical crops such as longan (Blanche, Ludwig, & Cunningham,
65 2006) and eggplant (Gemmill-Herren & Ochieng, 2008). Similarly, proximity to forest increases
66 the densities of bird and bat species that feed on common pest species in coffee (Karp et al.,
67 2013) and cacao plantations (Maas, Clough, & Tscharntke, 2013).

68 One of the crops expanding rapidly across the tropics is oil palm (*Elaeis guineensis*), but the
69 extent to which non-crop habitats support ecosystem services in oil palm landscapes remains
70 poorly documented. Mayfield (2005) found no relationship between proximity to forest and
71 pollination rates of oil palm in Costa Rica, and recent evidence from Borneo also suggests that
72 there is no relationship between distance from native forest and oil palm yield (Edwards,
73 Edwards, Sloan, & Hamer, 2014). However, the relative provisioning of services and disservices
74 by non-crop habitat in oil palm plantations is still unclear.

75 Of the processes potentially affected by non-crop habitat, the dynamics of pest populations
76 and their predators is of particular interest. Many plantations in Malaysia and Indonesia
77 (which currently produce > 80% of the global supply of palm oil (FAO, 2014)) practice
78 Integrated Pest Management approaches; they do not routinely apply pesticides and are
79 therefore affected by naturally occurring densities of pests and pest predators (Corley &
80 Tinker, 2003; Koh, 2008).

81 Forest is commonly retained along waterways in oil palm plantations to maintain water
82 quality, reduce flood risk and prevent soil erosion (e.g. Sabah Water Resources Enactment
83 1998). However, these riparian reserves can also conserve forest-dependent species not
84 otherwise found in areas of oil palm (Gray, Slade, Mann, & Lewis, 2014). As spillover from
85 forest fragments increases species richness in adjacent areas of oil palm (Lucey & Hill, 2012;
86 Lucey et al., 2014) it is possible that the abundance or diversity of pests and/or pest predators
87 increase with proximity to riparian reserves. However, non-crop habitat can also harbour crop-
88 damaging insects (Naiman & Decamps, 1997) and birds (Deschênes, Bélanger, & Giroux, 2003).
89 Overall, the extent to which riparian reserves support ecosystem services or disservices within
90 agricultural landscapes remains understudied.

91 Here, we assess whether riparian reserves affect the activity of defoliating pests and their
92 potential predators within an oil palm dominated landscape in Sabah, Malaysia. We
93 hypothesised that proximity to a riparian reserve could either a) increase predation on pests
94 and decrease herbivory rates, or b) increase pest activity and herbivory rates. In addition, as
95 positive relationships have been found between the size and species richness of forest
96 fragments and the richness of species spilling over into surrounding oil palm (Lucey et al.,
97 2014), we hypothesised that any increase or decrease in pest activity would be enhanced with
98 greater riparian reserve widths.

100 *Materials and methods*101 *Data collection*

102 All study sites were located around the Stability of Altered Forest Ecosystems (SAFE) project
103 site in Sabah, Malaysian Borneo (117.50 N, 4.60 E). Details of the landscape are given in Ewers
104 et al. (2011).

105 We collected data from a total of 14 riverside sites (see Appendix A: Fig. 1) between April and
106 November 2012. Eight sites had a riparian reserve flanking the river (mean forest width
107 measured on one side of the river = 54 m, sd = 38, minimum width = 12 m, maximum width =
108 101 m. Appendix A: Table 1 gives widths and data on vegetation structure for all sites). All
109 riparian reserves had been previously logged before conversion to oil palm and were
110 structurally similar to nearby logged forest. Riparian reserve widths varied around the legal
111 requirements for the state of Sabah (20 m either side of rivers wider than 3 m, Sabah Water
112 Resources Enactment 1998) and fall within or above the guidelines specified by the Malaysian
113 National Interpretation of RSPO principles and criteria (RSPO, 2010). Six sites were lacking
114 riparian forest. All sites were at least 1.5 km apart, and oil palms at all sites were planted
115 between 2006 and 2011.

116 At each site we attached pest mimics to 29 existing, healthy oil palms. We used artificial pest
117 mimics to avoid the problems associated with rearing large numbers of prey items and
118 difficulties in establishing the identity of predators. Mimics were created from plasticine to
119 resemble bagworms (Lepidoptera: Psychidae). Bagworms are one of the most important pests
120 of oil palm; outbreaks resulting in defoliation of only 10 – 13% can reduce yields by up to 43%
121 (Basri, Norman, & Hamdan, 1995; Kamarudin & Wahid, 2010). Plasticine pest mimics have
122 been used to indicate predation rates in both tropical (Howe, Lövei, & Nachman, 2009; Koh &

123 Menge, 2006; Richards & Coley, 2007; Tvardikova & Novotny, 2012) and temperate
124 ecosystems (Lluch, González-Gómez, Vega, & Simonetti, 2009; Skoczylas, Muth, &
125 Niesenbaum, 2007). At sites with riparian reserves, the 29 palms were located in the first
126 terrace adjacent to the riparian reserve boundary (i.e. along a transect running parallel to and
127 approximately 15m from the riparian reserve edge, see Appendix A: Fig. 2). Palms were 5 -
128 10m apart (mean = 7.8m). Due to variation in reserve width we could not standardise the
129 distance between these palms and the river across all sites. To ensure that any effects of
130 riparian reserve presence were not confounded with distance to a river, at non-riparian
131 reserve sites we selected palms to match the overall mean and distribution of the palm to
132 river distances in riparian reserve sites. The distance of focal oil palms from the river did not
133 differ significantly between sites with and without riparian reserves ($F_{1,424} = 1.9$, $p = 0.17$).

134 Each bagworm mimic was a cylinder (diameter 3.5mm, length 25mm) of non-toxic brown
135 plasticine (Scholaquip Colorclay). Mimics this size were light enough to attach with a small
136 amount of Loctite gel superglue and matched the dimensions of early instar bagworms (Mohd
137 Basri & Kevan, 1995). Twenty-five palms at each site were baited with brown caterpillar
138 mimics; two mimics were attached to each frond, 50 cm apart and on leaflets either side of
139 the midrib. Two fronds on each palm were baited in this way (i.e. four mimics per palm) and
140 mimics were recovered after 48 hours. Deployment and recovery of caterpillars always
141 occurred between 8:30 am and 4pm, avoiding disruption to peak hours of pest predator
142 foraging.

143 To clarify the extent to which attack rates on the mimics reflect expected predatory behaviour,
144 we also recorded attack rates on mimics of different shapes and colours. Two additional palms
145 at each site were baited with red caterpillar mimics and two with brown cubes. We expected
146 that if the visual cues of the mimics elicited a predatory response, changing the shape of the

147 mimic (to a cube, a neutral shape that does not resemble any natural prey item) or providing
148 aposematic colouring (using red plasticine) would reduce attack rates.

149 Attack marks on the mimics were identified under a x20 field microscope using images from
150 previous publications (Howe et al., 2009; Koh & Menge, 2006; Slade, 2007; Tvardikova &
151 Novotny, 2012) and specimens from preliminary exclusion experiments. For each mimic, we
152 recorded the presence or absence of attacks from mammals, arthropods and birds (Fig S3
153 gives examples of attack marks).

154 At each site we planted three palms to record herbivory rates. All were 14 months old and
155 obtained from the same nursery. Excess fronds were removed so that all palms were
156 approximately 1.3 m tall and only the five youngest fronds remained. The palms were planted
157 50 m apart at each site, along the same transects as the pest mimics (see Appendix A: Fig. 2)
158 but 1 – 10 days after mimics were collected (to retain temporal continuity but avoid
159 interference between the two stages of data collection). We photographed all the new growth
160 on the palms (ensuring that herbivory recorded had occurred after planting) after
161 approximately 5 months (mean = 138 days, sd = 7 days). This period of time was considered
162 sufficient to detect any effects of riparian reserves on herbivore activity as a similar study
163 detected significant differences in herbivory rates on palms of a similar age after only 21 days
164 (Koh, 2008).

165

166 *Analysis*

167 All analyses were carried out in R (R Core Team, 2013), using the package lme4 (Bates,
168 Maechler, & Bolker, 2014)

169 As potential predators could easily move between fronds on the same palm, caterpillars on
170 the same palm are unlikely to be independent. Therefore, we calculated the total number of

171 caterpillars attacked (successes) or not (failures) on each palm (n = 349 palms across 14 sites),
172 for all potential predators combined, and then for each predator group separately. In each
173 case we used the combined successes and failures as a two-column response variable in a
174 binomial GLMM, specifying riparian reserve presence/absence as a fixed factor and oil palm
175 age and site as random factors.

176 To test for differences in herbivory rates, we calculated the proportion of surface area lost for
177 each frond using Image J software (Rasband, 2012) (number of fronds = 193, number of
178 surviving palms = 36, across 14 sites). We tested for differences in the proportion of palm
179 frond surface area lost to herbivores using a GLMM with presence/absence of riparian reserve
180 and duration of exposure as fixed factors (the variation in exposure times was very limited in
181 oil palm sites so we could not test for the two-way interaction). We specified palm ID nested
182 within site as a random factor to take account of lack of independence within palm but retain
183 statistical power. The proportion data were logit-transformed to meet model assumptions.

184 To test for an effect of riparian reserve width and vegetation complexity on frond herbivory
185 rates, we used data just from riparian reserves (115 fronds across eight sites). The width of
186 the riparian reserve at the point next to each experimental oil palm was calculated in a GIS
187 (ArcMap version 10.1) to give an average width for each site. Vegetation complexity was
188 calculated from a set of measurements taken at 12 points, each 30 m apart, in the centre of
189 the focal section of each riparian reserve. At each point, we measured tree height, humus
190 depth, canopy cover, mid-storey and understorey density, and calculated one numerical index
191 capturing the greatest variation in these data (see methods in Gray et al., 2014). We then ran
192 generalised linear models on data summarised to site level, using proportion leaf area lost
193 (logit transformed) as a response variable, and width and vegetation complexity as fixed
194 factors.

195 To retain a balanced design whilst testing for effects of mimic shape and colour we used data
196 from the two palms with cubes and red caterpillars and the nearest two palms with brown
197 caterpillar mimics. We calculated the total number of mimics with and without attack marks
198 on each palm as above and ran separate binomial GLMMs with either colour (n = 56 across 14
199 sites) or shape (n = 56 across 14 sites) specified as a fixed factor, and oil palm age, riparian
200 reserve presence and site specified as random factors.

201 *Results*

202 We retrieved 1547 plasticine mimics and 36 oil palms from which we measured the attack rate
203 of potential predators of pests and corresponding herbivory rates. 474 caterpillars were
204 attacked by arthropods, 322 by birds, and only 10 by mammals.

205

206 *Pest control service*

207 53% of mimics were attacked in sites with a riparian reserve, compared to 37% in areas of oil
208 palm without a riparian reserve; this difference was marginally non-significant (Fig. 1A; Table
209 1). The proportion of mimics attacked by arthropods was significantly higher in areas with a
210 riparian reserve (Fig. 1B; Table 1). There was no difference in the proportion of mimics
211 attacked by birds between sites with and without a riparian reserve (Fig. 1C; Table 1). There
212 were too few mammal attacks to carry out a meaningful analysis on these data.

213 There was no significant effect of the presence of a riparian reserve on the proportion of oil
214 palm leaf area consumed by herbivores but there was a significant positive relationship
215 between herbivory and duration of exposure (Table 1; Fig. 2).

216 We found a weakly significant negative relationship between herbivory rate and riparian
217 reserve width, but herbivory did not vary with vegetation complexity (Table 2; Appendix A: Fig.
218 4).

219

220 *Colour and Shape*

221 We found no significant effect of shape on the overall foraging activity of all predators
222 combined, or on the subset of arthropod attacks. However, bird predation on caterpillar
223 mimics was higher than on cubes (Table 3; Fig. 3). There was no significant effect of colour on

224 overall foraging activity, bird attacks or arthropod attacks. There were no mammal attacks on
225 the mimics in these data.

226

227 *Discussion*

228

229 Oil palm is one of the most rapidly expanding crops in tropical regions, but very little research
230 has examined the extent to which non-crop habitat provides ecosystem services in these
231 landscapes. We found some evidence that riparian reserves increase arthropod foraging
232 activity in oil palm plantations, but in general this did not correspond to a change in herbivory
233 on palm fronds. However, our data suggest that herbivory rates may be lower on oil palm
234 adjacent to larger riparian reserves.

235

236 *Pest control service*

237

238 Our results suggest that retaining riparian reserves increases the foraging activity of
239 arthropods that bite or chew prey (e.g. ants, centipedes, beetles) on oil palms. This is likely to
240 be the result of spillover from populations in the riparian reserves (Lucey & Hill, 2012; Lucey et
241 al., 2014). However, our methodological study (see below) calls into question the extent to
242 which the higher proportion of attack marks from arthropods reflects a higher level of
243 predation on real pests. It may be that the increase in arthropod attacks results from an
244 overall increase in arthropod foraging activity, but not of pest predators in particular.

245 We found that the proportion of artificial pest mimics attacked by birds was not elevated in
246 the vicinity of riparian reserves. This may be because forest fragments do not increase bird
247 abundance or diversity in surrounding areas of oil palm (Edwards et al., 2010), and/or because
248 populations of birds existing exclusively within oil palm plantations provide adequate pest
249 control services. The results of our methodological study (see below) indicate that attack rates
250 on mimics by birds are more likely to reflect real predation on living pests than data on mimic

251 attack rates by arthropods. We can therefore be more confident that the data on bird attack
252 rates reflects the role of riparian reserves in provisioning of ecosystem services.

253

254 The results from our assessment of herbivory rates provide the strongest evidence that
255 riparian reserves characteristic of oil palm landscapes in our study area do not provide a pest
256 control service; there was no significant difference in herbivore activity between sites with and
257 without riparian reserves. However, we were not able to collect data during a pest outbreak.
258 Outbreaks occur infrequently and are economically much more consequential than
259 background herbivory rates (Basri et al., 1995; Kamarudin & Wahid, 2010). It is possible that
260 service provision from riparian reserves is only apparent under such conditions, when the
261 population of predators of pests supported by pure oil palm stands becomes saturated with
262 prey. In addition, we were only measuring the impact of defoliating herbivores, and it is
263 possible that the presence of natural habitat in oil palm reserves has a different effect on
264 other pest guilds such as seed predators and stem or root pests.

265

266 Previous studies have found that increasing the width of riparian reserves in oil palm can
267 increase the species richness or diversity of some species (Gray et al., 2014; Viegas, Stenert,
268 Schulz, & Maltchik, 2014) and that spillover increases with forest fragment size (Lucey et al.,
269 2014). We found some evidence that wider reserves may provide a better pest control service,
270 but as our sample size was very small we hesitate to draw strong conclusions about the extent
271 to which this is the case. In addition, the undergrowth in the oil palm plantations, proximity to
272 larger blocks of forest and the complexity of forest fragment edges may affect the pest and
273 pest predator abundances, and the impact of these factors also deserves further investigation.

274

275 Although we found little evidence that conserving riparian forest provides a pest control
276 service, it is equally important that we found no pest “disservice” created by retaining the
277 reserves. This evidence should reassure oil palm managers concerned about negative impacts
278 of conserving non-crop habitat. The extent to which riparian reserves provide other ecosystem
279 services aside from hydrological services also deserves further attention. For example, in our
280 study area in Borneo, aboveground biomass is higher in riparian reserves compared to
281 adjacent areas of oil palm (Singh, 2012). Combining all the possible costs and benefits of
282 conserving riparian reserves will be necessary to inform management guidelines and policy.

283

284 *Colour and shape*

285

286 The results of studies using artificial mimics should be interpreted with caution, as the extent
287 to which attack marks on mimics correlate with real predation rates remains unclear (Howe et
288 al., 2009). We found that bird attacks dropped when the mimic no longer resembled a prey
289 item, but that there was no change in arthropod attacks, suggesting that attack marks from
290 birds are more likely to correspond to predatory behaviour. This is probably because birds rely
291 more on visual cues, whereas arthropods rely much more on olfactory cues and are unlikely to
292 be mistaking the mimics for potential prey (Tvardikova & Novotny, 2012). It is possible that the
293 plasticine mimics elicit a response from foraging arthropods that would not attack pest species
294 on oil palm. Therefore, we suggest that attack rates on plasticine pest mimics are indicative of
295 density or activity of foraging arthropods rather than an actual predation rate.

296 We did not find lower attack rates from either birds or arthropods on aposematic (warning)
297 mimics. The dependence of arthropods on olfactory cues may also explain this result, whereas
298 the lack of an effect of colour on bird attacks may be because frugivorous species mistook the

299 mimic for the red colour of the ripe oil palm fruits; several bird species within oil palm
300 plantations are known to feed on palm fruit (Chenon & Susanto, 2006). It is not possible to
301 determine from our data whether the attacks on brown and red mimics are similar because
302 they both attract the attention of the same bird species, or those with different feeding
303 behaviours.

304 We hope that this methodological assessment will provide a useful insight for future such
305 studies. Comparative studies with live bait and temporally matched data on the densities of
306 foraging arthropods will be very valuable to clarify what information is obtained from attack
307 marks on plasticine mimics.

308

309 *Conclusions*

310

311 The riparian reserves typical of current oil palm plantations may increase the foraging activity
312 of arthropods in adjacent areas of oil palm, but our results do not suggest that this
313 corresponds to a reduction in herbivory on palm fronds under normal pest densities. However,
314 the extent to which wider reserves may provide pest control services deserves further
315 investigation. Our data suggest that the use of artificial pest mimics is likely to be more
316 informative about the predatory behaviour of birds than arthropods, and this should be taken
317 into account by future studies using this method. Importantly, our results show that riparian
318 reserves do not increase defoliating pest activity, and this information should be highlighted in
319 circumstances where doubt over pest problems may prevent the protection of this habitat.

320

321

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328

329 Appendix A. Supplementary data

330 Supplementary data associated with this article can be found, in the online version, at XXXXX.

331

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425 *Legends*

426 Fig. 1. The proportion of caterpillar mimics showing bite marks from a) all potential pest
427 predators, b) arthropods and c) birds on oil palms near rivers with and without riparian
428 reserves. While our analyses used the combined successes and failures as a two-column
429 response variable, for clarity we present data here as proportions. Plots show mean \pm 95% CI.

430 Fig. 2. The proportion of leaf area lost to herbivory in sites with and without a riparian reserve.
431 Plot shows mean \pm 95% CI.

432 Fig. 3. The proportion of mimics attacked by birds against mimic shape. While our analyses
433 used the combined successes and failures as a two column response variable, for clarity we
434 present data here as proportions. Plot shows mean \pm 95% CI.

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