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Article (Accepted Version)

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1 Podoconiosis: Clinical spectrum and microscopic presentations

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25

26 **Abstract**

27 **Background**

28 Podoconiosis is a skin Neglected Tropical Disease (skin NTD) that causes lymphoedema, and
29 affects barefooted subsistence farmers in some tropical countries. The clinical presentation and
30 histopathologic correlates of podoconiosis have been understudied. Here, we systematically
31 document the clinical and histopathologic spectrum of podoconiosis.

32 **Methods**

33 This is a cross-sectional study in Durbete, Ethiopia from February 2018 to October 2019.
34 Dermatologists performed a patient history, physical examination, filariasis test strip, and skin
35 biopsy for histopathologic examination. The results were summarised and a descriptive statistical
36 analysis and Wilcoxon rank sum test with continuity correction was done.

37 **Results**

38 We recruited 289 patients for the study, 178 (61.6%) had stage 1 or 2 podoconiosis, and
39 111(38.4%) stage 3 to 5 podoconiosis. 188 (64.1%) had a family history of podoconiosis. In 251
40 (86.9%) patients, both legs were affected by podoconiosis and in 38 (13.1%) only one leg was
41 affected. 220 (77.5%) patients had warty lesions, 114 (39.4%) had nodules. The median number
42 of episodes of Acute Dermato-Lymphangio-Adenitis (ADLA) reported by the patients in the last
43 three months was 2 (interquartile range (IQR) 1-4). Increased episodes of ADLA were
44 significantly associated with stage 3-5 podoconiosis ($P= 0.002$), while burning pain in the feet was
45 more common in stage 1 or 2 podoconiosis. Stage 3-5 disease was histopathologically

46 characterised by epidermal and dermal thickening, verrucous acanthosis, inflammatory cell
47 infiltrates (predominantly lymphoplasmacytic), dilated and ectatic and a reduced number of
48 lymphatic vessels, eccrine ductal hyperplasia, and sclerosis such as thickened collagen bundles.

49 **Conclusion**

50 We provide a detailed description of the different clinical patterns, associated clinical findings and
51 the histopathologic spectrum of podoconiosis at different stages of the disease. Our observations
52 should serve as a guide to classifying patients with podoconiosis for prognostic assessment and
53 treatment decision.

54

55 **Author summary**

56 Podoconiosis is a skin Neglected Tropical Disease (skin NTD) that causes swelling of the lower
57 extremities. The disease affects barefooted subsistence farmers in some tropical countries. It is
58 caused by destruction of the lymphatic system in the legs, which is critical for the transportation
59 of body fluids. Podoconiosis is physically disabling with significant psycho-social impact.

60 In Ethiopia alone more than 1.5 million people are affected by podoconiosis. The past 50 to 60
61 years generated substantial evidence on the disease distribution, genetic influence, psycho-social
62 impact and clinical management. Yet, systematic information about the various clinical
63 manifestations and histopathologic features of podoconiosis is sparse. We therefore recorded in
64 289 podoconiosis patients their history, and disease-related findings of the lower extremities. We
65 also took blood and tissue samples for laboratory examination. In summary, this study provides a
66 description of the different clinical manifestations and microscopic tissue findings of various
67 podoconiosis stages from mild to advance. Our observations are a guide to classifying patients

68 with podoconiosis, based on clinical and microscopic tissue definitions. Classification can help in
69 patient management, therapeutic follow-up, prioritisation of resources, epidemiological
70 surveillance and future research to improve the quality of life of patients with podoconiosis.

71

72 **Introduction**

73 Podoconiosis is a neglected tropical disease (NTD) affecting barefooted subsistence farmers
74 mainly in tropical countries of Africa, Southeast Asia, Central and South America. Globally the
75 disease affects about 4 million peoples. Ethiopia is the most affected country with an estimated 1.5
76 million cases [1, 2]. It causes chronic non-filarial lymphoedema that has a profound and negative
77 impact on numerous health-related, psychosocial and economic aspects of the lives of affected
78 patients and communities in endemic regions [3, 4, 5].

79 The aetiology of podoconiosis is not known, yet based on the geographic distribution, the affected
80 communities, and its clinical presentation, podoconiosis is likely caused by long-term exposure to
81 red clay soil derived from volcanic rock in rural highland areas [3].

82 Podoconiosis is a localised disease, primarily starting from the feet, progressively involving the
83 legs, yet in most cases remaining below the knee. Clinically the disease severity is classified in 5
84 stages (Annex A) [6]. In stages 1 and 2, simple lymphoedema management can completely reverse
85 the clinical changes, but if the condition is not managed with appropriate foot care and consistent
86 shoe wearing, it can lead to subsequent irreversible stages with progressive swelling and serious
87 disfigurement [3]. Over half of the patients report stigma, impaired mobility and daily activity,
88 resulting in considerable morbidity and limitation of economic performance [7].

89 Over the past half a century many aspects of the disease epidemiology, inheritance, psycho-social
90 impact and therapeutic management have been studied [7-13]. Yet, clinical studies on aetiology,
91 histopathology, and the systematic documentation of the course of the disease by trained
92 physicians are sparse. The systematic description of clinical findings and the corresponding
93 histopathological characteristics are essential to further clarify the aetiology and pathophysiology
94 of podoconiosis. To the best of our knowledge, to date only three studies investigated the
95 histopathology of podoconiosis, of which the first is limited to specific morphologic descriptions
96 while the other two are brief case reports [13 - 15].

97 Here, we examined the clinical presentation and associated complications of patients with
98 podoconiosis, and their histopathologic correlates in the North of Ethiopia. The aim of this study
99 is a systematic documentation of the clinical spectrum and histopathology of podoconiosis to
100 advance patient management, therapeutic follow-up, prioritisation of resources, epidemiological
101 surveillance and to further future research to improve the quality of life of patients with
102 podoconiosis.

103

104 **Methods**

105 **Study design**

106 This is a cross-sectional study of the clinical features and histopathology of podoconiosis patients
107 at the Durbete Podoconiosis Prevention and Treatment Centre in Durbete, Ethiopia from February
108 2018 to October 2019. The reporting is in line with the “Strengthening the Reporting of
109 Observational Studies in Epidemiology” (STROBE) guidelines [16].

110 **General setting**

111 Ethiopia is located in East Africa and has a population of approximately 117,697,600. The country
112 is a federal state with nine regional and two special administrations [17], and is challenged with
113 limited health service coverage (39% of the population) [18].

114 **Local setting**

115 The Amhara regional state lies in the north west of the country with a population of 21.2 million,
116 85% of whom live in rural settings [19]. The region is divided into 13 administrative zones and
117 137 districts (called *woredas*). This region has a podoconiosis prevalence of 3.7% and preventive
118 shoe-wearing is strongly advised [20,21]. Durbete is a district in South Achefer zone at an altitude
119 ranging from 1914 to 2045 meters above sea level. Podoconiosis is endemic in the district. The
120 Durbete Podoconiosis Prevention and Treatment Centre is a specialist/referral care centre in
121 Amhara region, West Gojam zone, South Achefer district, Durbete town. The centre manages 400
122 to 600 podoconiosis patients annually and supports the local podoconiosis patient association by
123 awareness raising, shoe distribution and community education.

124 **Box 1. Clinical criteria used for the diagnosis of podoconiosis [22]**

Diagnostic criteria

Major criteria

1. Lower limb lymphoedema
2. Residing in endemic area during development of lymphoedema
3. Prolonged barefoot exposure
4. Mossy feet in slipper pattern

Minor criteria

1. Nodules
2. Toe fusion
3. Both feet affected

4. Positive Stemmer's sign (i.e. a test to identify dorsal foot lymphoedema. It consists of pinching and lifting a skin fold at the base of the second toe. This test is positive if the skin cannot be lifted.)

5. Burning sensation of the feet

6. Family history of podoconiosis

7. Negative filarial microscopic/antigen test

Diagnostic definitions

1. *Definitive podoconiosis*: 3 major; or 2 major plus 2 minor; or 1 major plus 5 minor criteria

2. *Probable podoconiosis*: 2 major; or 1 major plus 2 minor; or 5 minor criteria

125

126 **Sample size**

127 For district population size (N): 150.000, with % frequency of outcome factor (i.e. different clinical
128 manifestations or complications) in the population (*p*): 25%, confidence limits as % of 100
129 (absolute +/- %), (*d*):5%, for cluster surveys-design effect (*DEFF*):1 and 95% confidence interval
130 ($Z^2\alpha/2$), the minimum sample size required was calculated as 288 using the sample size calculation
131 for population frequency.

132 Sample size $n = [DEFF * Np(1-p)] / [(d^2 / Z^2_{1-\alpha/2} * (N-1) + p*(1-p)]$

133 **Study population and procedure**

134 We invited all new patients who had not previously received lymphoedema morbidity
135 management. We recorded relevant patient history, examined the patient (with a focus on the feet
136 and lower legs), and staged the disease according to a validated podoconiosis staging system
137 (Annex A, 1) [4]. To standardize the recording, we used written case definitions for type of
138 lymphoedema, mossy foot, ADLA and signs of fungal infection (Annex B).

139 A consultant dermatologist (WE) examined all patients and made a diagnosis based on the patient
140 history and the clinical presentation (Box 1). Four other physicians independently assessed the
141 photographic documentation of the different clinical presentations based on dermatologic

142 morphologic descriptions [23]. For economic reasons and considering the inconvenience of
143 invasive procedures, we performed a Filariasis Strip Test (FST), and a 6 mm tissue sample from
144 the dorsum of foot of every fourth patient who consented to these procedures (Annex C). In two
145 previous studies on surgical wide excision (nodulectomy) for podoconiosis, we documented that
146 wound healing was satisfactory, also in patients with lymphoedema. We therefore considered that
147 the risk of complications such as wound infection, ADLA, or hyperpigmentation following 6 mm
148 skin punch biopsy would be low [22, 24].

149 Specimens were stained with haematoxylin and eosin (HE) and Periodic Acid-Schiff (PAS)
150 procedures. WE and a general pathologist (BA) performed the microscopic evaluation of each
151 slide. Discrepancies were resolved by a dermatopathologist (ABA). Information on the
152 histopathological presentation of the epidermis, dermis, lympho-vasculature, and inflammatory
153 cell infiltration was documented, and the presence of double breaking foreign body material was
154 ruled out using polarised light microscopy.

155 **Data variables**

156 Patient history and clinical findings (Annex C) were recorded using a standardised questionnaire
157 and pictures were taken. Two dermatologists independently reviewed the photographs from
158 suspected lesions for most of the patients, and consensus was reached on the cutaneous conditions
159 and type of lymphoedema.

160

161 **Data collection and statistical analysis**

162 Blood was collected and Filarial antigen tests (FTS) (BinaxNOW Filariasis card test, India) were
163 performed by a trained laboratory technician. Tissue samples for biopsy were collected either by

164 a dermatologist or a surgeon (annex C). Patient history and clinical data were entered into
165 Microsoft Excel database by a dedicated person and cross-validated by the main investigator.

166 We first summarised sociodemographic characteristics, medical history, and clinical findings for
167 the entire patient population using descriptive statistics. Next, we summarised histopathological
168 findings for the subgroup of patients with available results. Finally, we assessed the association
169 between clinical characteristics and podocniosis-related complications, staging (stage 1&2 versus
170 3-5), and histopathologic features and expressed the strength of association with odds ratios and
171 95% confidence intervals, and using the Wilcoxon rank sum test with continuity correction. All
172 statistical analyses were done with R version 3.5.0 (R Foundation for Statistical Computing,
173 Vienna, Austria) [24].

174 **Ethical considerations**

175 The study was conducted according to the principles of the Declaration of Helsinki and was
176 approved by The National Research Ethics Review Committee (Ref. No. 3.10/189/2017) and
177 Amhara Public Health Research Institute (Ref. No. A.P.H.I. T/SH/DA/01/795). Study information
178 sheets were read to the patients in the local language (Amharic). The patients were then asked to
179 sign a consent form written in Amharic. Patient names and identifiable information were removed,
180 and only aggregate non-identifiable data were used for the publication. The electronic databases
181 with patient identifiable information were kept on the password protected computer of the principal
182 investigator.

183 **Results**

184 **Socio-demographic characteristics of podocniosis patients:**

185 In total, 289 podoconiosis patients were involved in the study and 167 (57.8%) were male. The
 186 median age was 50 (IQR 35-65) years, and 85.2% of the participants had no formal education.
 187 Only 40.1% of the patients had visited a health facility in the past for their leg swelling (without
 188 receiving treatment). For those who previously visited a health facility for their leg swelling, the
 189 median time between disease onset and care seeking was 15 (IQR 5 – 28) years. More than three
 190 fourths (75.4%) of the patients were farmers or shepherds (Table 1). All the 289 patients were
 191 barefoot or wore shoes inconsistently in the years before symptoms appeared. About two-thirds of
 192 patients (65.0%) had a family history of podoconiosis.

193 **Table 1. Sociodemographic characteristics and medical history of patients with**
 194 **podoconiosis in Durbete Podoconiosis Prevention and Treatment Center, Durbete,**
 195 **Ethiopia, February 2018 to October 2019 (n = 289)**

Variable	Count (%) ^a
Sex	
Women	122 (42.2)
Men	167 (57.8)
Age, <i>years</i> : median (IQR) [range]	50 (35 – 65) [12 – 82]
Schooling	
None	171 (59.2)
Informal schooling	75 (26.0)
Elementary school completed	37 (12.8)
Secondary school completed	6 (2.0)
Occupation	
Farmer	203 (70.2)

Shepherd	15 (5.2)
Daily labourer	21 (7.3)
Student	23 (8.0)
Merchant	5 (1.7)
Unemployed	9 (3.1)
Other (retired, civil servant, children)	13 (4.5)
Age when patients got first pair of shoes, <i>years</i> : median (IQR) [range] ^b	18 (10 – 27) [0 – 78]
Consistent shoe wearing ^c	
No	171 (66.5)
Yes	86 (33.5)
Family members diagnosed with podoconiosis	
No	101 (33.9)
Yes	188 (64.1)
If yes, which family member	
Parent	66 (35.1)
Sibling	46 (24.5)
Uncle or aunt	37 (19.7)
Child	28 (14.9)
Grandparent	11 (5.8)
Sought treatment in the past	
No	173 (59.9)
Yes	116 (40.1)
Time between disease onset and seeking care, <i>years</i> : median (IQR) [range]	15 (5 – 28) [0 – 70]

197 *^aExcept for quantitative variables, for which median, interquartile range, and range are given*

198 *^bMissing for 9 patients*

199 *^cMissing for 32 patients*

200 **Clinical characteristics of podoconiosis**

201 At the time of examination, the median time patients had leg swelling was 20 (IQR 10-32) years.

202 There was no significant difference in disease duration between patients diagnosed with mild

203 (stage 1 & 2) *versus* advanced (stages 3-5) podoconiosis (p-value = 0.1) and similarly, there was

204 no association between disease duration and the type of lymphoedema (p-value = 0.2) (Fig 1).178

205 (61.6%) patients had mild stage podoconiosis while the rest had advanced stage.251 (86.9%)

206 patients had both legs affected, while 38 (13.1%) had podoconiosis only in one leg. 104 (36%)

207 patients had asymmetrical stages of the two legs and 51 (18.5%) also had asymmetry in the type

208 of lymphoedema (one leg waterbag and the other fibrotic/sclerotic). A small number (23.8%) of

209 patients had leg swelling extending above the knee. 220 (77.5%) patients had hyperkeratotic

210 (mossy) lesions on one or both feet. 175 (39.4%) patients had nodules (Figs 1 and 2). The reported

211 median number of episodes of ADLA in the last three months was 2 (IQR 1-4). About 100 (34.7%)

212 patients had oozing foot lesions and 130 (45.1%) had foul-smelling feet. 248 (85.8%) patients had

213 associated signs of fungal infection on their feet at the time of examination. Those with fungal

214 infections had an increase incidence (OR=7.3) of ADLA than those without (P<0.05). Increased

215 episodes of ADLA were significantly associated with stage 3-5 disease (P< 0.05), while burning

216 pain on the feet was much more common in stages 1 & 2 (Tables 2 and 3).

217

218 **Table 2. Clinical features of patients with podoconiosis in Durbete Podoconiosis Prevention**

219 **and Treatment Center, Durbete, Ethiopia, February 2018 to October 2019 (n = 289)**

Variable	Count (%)^a
Burning feeling or pain at the time of the examination	
No	236 (81.7)
Yes	53 (18.3)
Stage ^b	
Stage 1	24 (8.3)
Stage 2	154 (53.3)
Stage 3	102 (35.3)
Stage 4	4 (1.4)
Stage 5	5 (1.7)
Symmetry	
Both feet same stage	185 (64.0)
Both feet different stage	66 (22.8)
Podoconiosis on one foot only	38 (13.2)
Mossy hyperkeratotic papillomata	
No	69 (23.9)
On one extremity	59 (20.4)
On both extremities	161 (55.7)
Presence of nodules	
No	175 (60.6)
On one extremity	49 (17.0)
On both extremities	65 (22.4)
Type of lymphoedema	
Water bag type on both extremities	165 (59.8)
Fibrotic type on both extremities	60 (21.7)
One extremity waterbag, other extremity fibrotic type	51 (18.5)
Number of ADLA episodes in past three months: median (IQR) [range] ^b	2 (1 – 3) [0 – 6]

Presence of ulcers	
No	261 (90.3)
Yes	28 (9.7)
Presence of fungal infection	
No	41 (14.2)
Yes	248 (85.8)
Presence of toe fusion	
No	231 (79.9)
On one extremity	14 (4.8)
On both extremities	44 (15.3)
Presence of foul smell	
No	159 (50.0)
Yes	130 (45.0)

220 *ADLA: acute dermato-lymphango-adenitis; IQR: interquartile range*

221 ^a *Except for quantitative variables, for which median, interquartile range, and range are given*

222 ^b *Based on the side with the most advanced lesions*

223 **Fig 1. Most common characteristics of the various stages of podoconiosis, in Durbete**

224 **Podoconiosis Prevention and Treatment Centre, Durbete, Ethiopia, February 2018 to**

225 **October 2019**

226 A) Stage 1: overnight reversible oedema, with increased skin marking and hyperkeratosis on the
 227 base of the toes of both the right and left foot. B) Stage 1: splaying of the right forefoot,
 228 accentuation of the skin markings on the metatarsophalangeal joint. C) Stage 2: bilateral
 229 lymphoedema below the knee. Area of scarring is seen on the lower leg and foot (from
 230 traditional bloodletting). Hyperpigmented, warty hyperkeratotic papules covering the dorsum of
 231 the feet extending to the ankle. Nodules on the right forefoot. D) Stage 2: Pitting lymphoedema
 232 below the knee, with dry skin. E) Stage 2(right foot) and stage 3 (left foot). Right foot: pitting
 233 lymphoedema on the shin, few papules and nodules on the dorsum anterior one third of the foot

234 and toes. Left foot: smooth surface with multiple nodules and tumorous masses covering the
235 whole foot and flexural ankle with extension to the anterior distal one third of the lower leg. The
236 foot is totally deformed and the toes are no longer visible. Based on the extension of the nodules
237 the right foot is stage 2 (nodules below the ankle only) and the left foot stage 3 (nodules
238 extending above the ankle). F) Stage 3: Bilateral lymphoedema. On the right leg the swelling is
239 pitting on the proximal half of the lower leg and non-pitting fibrotic edema on the distal one third
240 of the lower leg and foot. Scattered, infiltrative papules on the dorsal distal one third of the foot
241 and toes. Hyperkeratotic and depigmented rough papules and nodules covering the distal one
242 third of the left lower leg, and dorsum of the foot. G) Stage 4 (right) and stage 2 (left). Right
243 foot: non pitting oedematous swelling of the leg extending above the knee with an area of skin
244 depigmentation around the ankle. Left foot: non pitting swelling of the leg below the knee. H)
245 Stage 5: fibrotic globular swelling of the left foot and ankle with ankylosis of the ankle joint,
246 multiple areas of scarring (from traditional bloodletting). I) Stage 5: right leg with nodules and
247 rubbery to woody hard tumors on the dorsum of the foot with band like redundant skin on
248 flexural ankle (pillowy oedema) and ankle fixation.

249 **Fig 2. Clinical variations and complications of podoconiosis, in Durbete Podoconiosis**
250 **Prevention and Treatment Centre, Durbete, Ethiopia, February 2018 to October 2019**

251 A) Stage 2 podoconiosis patient with Acute Dermato-Lymph-Angitis (ADLA) presenting with
252 pitting oedema of the left leg with epidermal exfoliation on the distal half of the lower leg. B)
253 Stage 3 fibrotic oedema of the left leg below the knee with sclerotic hyperpigmentation and
254 hypopigmentation accentuated on the shin and a fibrotic ridge on the flexural ankle. C) Stage 2:
255 Water-bag type oedema with pitting and soft swelling and a flask-like appearance with the neck
256 around the knee and wider base on the ankle and oedematous foot with a smooth and dumpy
257 surface around the shoe strap areas. D) Stage 3 podoconiosis, multiple nodules with plantar foot
258 involvement. E) Asymmetric podoconiosis with right leg stage 3 diseases with fibrotic
259 depigmented nodules on foot and above the ankle, while the left leg is not affected. F)
260 Asymmetric lymphoedema with the right leg in stage1, and the left leg in stage 3 podoconiosis
261 with depigmented nodules and toe fusion. G) Stage 5: oedematous right foot with scarring of the
262 distal half of the dorsum of the foot, bone resorption of all the toes. H) Stage 3 podoconiosis with
263 multiple coalescent nodules on the dorsum of the foot extending to the sole of the foot and above

264 the ankle with maceration and oozing. I) Fibrotic lymphoedema of the right leg with a
 265 continuous sock-like yellowish crust covering the whole foot and lower leg, and band like skin
 266 invagination on the flexural ankle joint. J) Hyperpigmented warty papules coalescing and
 267 covering the lateral feet and cracking in moccasin-like configuration.

268 **Table 3. Associated morbidity of stage 1-2 versus stage 3-5 podoconiosis in Durbete**
 269 **Podoconiosis Prevention and Treatment Centre, Durbete, Ethiopia, February 2018 to**
 270 **October 2019**

	Stage 1-2 podoconiosis (n=178)	Stage 3-5 podoconiosis (n=111)	Odds ratio (95% confidence interval)	p-value ^a
	Median (IQR)	Median (IQR)		
ADLA episodes ^b	2 (1 – 3)	3 (1 – 4)	1.3 (1.1 – 1.5)	0.002
Time off work due to ADLA ^b	4 (3 – 4)	4 (3 – 4)	1.2 (1.0 – 1.4)	0.07
	Count (%)	Count (%)		
Fever	10 (5.6)	24 (21.6)	4.6 (2.2 – 10.6)	<0.0001
Burning feeling or pain ^c	43 (24.4)	10 (9.2)	0.3 (0.1–0.6)	0.002
Presence of eczema ^c	29 (16.3)	9 (8.2)	0.5 (0.2 – 1.0)	0.07
Presence of ulcers ^c	16 (9.0)	12 (10.9)	1.2 (0.6–2.7)	0.7
Fungal infection ^c	151 (84.8)	96 (87.3)	1.2 (0.6–2.5)	0.7

271 *ADLA: acute dermato-lymphangitis; IQR: interquartile range*

272 ^a *Wilcoxon rank sum test with continuity correction or Pearson's Chi-squared test with Yates'*
 273 *continuity correction*

274 ^b *In the three months prior to examination*

275 ^c *Affecting one or both lower extremities*

276

277 **Histopathology**

278 Sixty five (31 stage 1&2, and 34 stage 3-5) tissue samples were analysed. As far as epidermal
279 abnormalities, the vast majority of samples showed orthokeratosis/hyperkeratosis (61/65, 94%)
280 most with compact cornification (58/65, 89%), and two-thirds with verrucous acanthosis (42/65,
281 65%). The epidermis was markedly hyperkeratotic with a mean thickness of 1.65mm (range 0.8–
282 3.2 mm. Focal spongiosis was seen in 22/65 (34%) samples.

283 Typical dermal histopathological abnormalities were the presence of a lymphocytic cell infiltrate
284 (in all 65 samples), located in the papillary dermis (in 56/65, 86%) and in the superficial reticular
285 dermis (in 55/65, 86%). Apart from the lymphocytic infiltrate in all samples, 60/65 (94%) had an
286 additional mast-cell infiltration, and 46/65 (71%) additional plasma-cell infiltration. The papillary
287 dermis was thickened in 56/65 (86%) and showed signs of sclerosis with coarse, thickened, and
288 vertically arranged collagen bundles in the papillary dermis, and horizontally arranged bundles in
289 the reticular dermis. Comparing stages 1&2 versus stages 3-5 podoconiosis, we noticed more signs
290 of sclerosis in the advanced stage samples: media-sclerosis of blood vessel walls ($p<0.001$),
291 eccrine duct hyperplasia ($p<0.001$), dilated and ectatic lymphatic vessels (respectively 24/31, %
292 vs 14/34, %, $p<0.001$) and thickening of collagen bundles ($p<0.001$, Table 4 and Fig 3). PAS stain
293 for hyphae & spores, and polarization for double refractive foreign body substances were negative
294 in all cases.

295 **Table 4. Histopathologic findings and associations in skin biopsies from 65 patients with**
296 **stage 1-2 versus stage 3-5 podoconiosis, in Durbete Podoconiosis Prevention and Treatment**
297 **Centre, Durbete, Ethiopia, February 2018 to October 2019**

Clinical feature	Stage 1-2 podoconiosis (n = 31) Count (%)	Stage 3-5 podoconiosis (n = 34) Count (%)	All patients (n=65) Count (%)	^a p- value
Ortho/hyperkeratosis	28 (90)	33 (97)	61 (94)	
Focal	0 (0)	0 (0)	0 (0)	
Confluent	28 (90)	33 (97)	61 (94)	0.9
Compact	27 (87)	31 (91)	58 (89)	0.9
Basketweave	0 (0)	1 (3)	1 (2)	1.0
Parakeratosis	1 (3)	0 (0)	1 (2)	1.0
focal	1 (3)	0 (0)	1 (2)	1.0
confluent	0 (0)	0 (0)	0 (0)	-
Hypergranulosis	16 (52)	11 (32)	27 (42)	0.2
Spongiosis ^c	9 (29)	13 (38)	22 (34)	0.6
Acanthosis	23 (74)	19 (56)	42 (65)	0.2
regular	17 (55)	15 (44)	32 (49)	
irregular	6 (19)	2 (6)	8 (12)	
verrucous	6 (19)	4 (12)	10 (15)	
Papillomatosis	3 (10)	2 (6)	5 (8)	0.9
Edema of papillary dermis	6 (19)	12 (35)	18 (28)	0.2
Infiltrate in dermal papillae	31 (100) 27 (87)	34 (100) 29 (85)	65 (100) 56 (86)	0.9
in superficial reticular dermis	29 (94)	26 (79) ^b	55 (86)	0.1
in deep reticular dermis	22 (71)	21 (62)	43 (66)	0.6
in subcutis	4 (13)	6 (18)	10 (15)	0.9
perivascular	28 (90)	33 (97)	61 (94)	0.5
interstitial	9 (29)	3 (9)	12 (18)	0.08

perivascular and interstitial	9 (29)	3 (9)	12 (18)	0.08
Eccrine ductal changes				
>2 layers of ductal cells	4 (13)	23(68)	27 (42)	0.001
reticulate proliferation	4 (13)	12 (35)	16 (25)	0.07
miliaria	2 (6)	6 (18)	8 (12)	0.3
Type of infiltrate				
lymphocytic	31 (100)	34 (100)	65 (100)	-
lymphoeosinophilic	0 (0)	0 (0)	0 (0)	-
lymphoplasmacytic	23 (74)	23 (68)	46 (71)	0.8
mast cells	29 (94)	31 (94) ^b	60 (94)	0.9
lymphohistiocytic	15 (48)	14 (41)	29 (45)	0.7
neutrophilic	0 (0)	1 (3)	1 (2)	1.0
Blood vessels				
increase	20 (65)	27 (79)	47 (72)	0.3
decrease	1 (3)	0 (0)	1 (2)	1.0
dilation / ectasia	26 (84)	22 (65)	48 (74)	0.1
sclerosis of vessel walls	1 (3)	16 (47)	17 (26)	0.001
Lymphatic vessels				
increase	15 (48)	9 (26)	24 (37)	0.1
decrease	0 (0)	7 (21)	7 (11)	0.02
dilation / ectasia	24 (77)	14 (41)	38 (58)	0.001
Collagen				
Thickened bundles	17 (55)	29 (85)	46 (71)	0.01
Sclerosis of connective tissue	5 (16)	17 (50)	22 (34)	0.008

298 ^a Wilcoxon rank sum test

299 ^b All patients with spongiosis had mild forms

300
301 **Fig 3. Histopathologic characteristics of podoconiosis in skin biopsies from 65 patients with**
302 **stages 1 & 2 versus stages 3-5 podoconiosis, in Durbete Podoconiosis Prevention and**
303 **Treatment Centre, Durbete, Ethiopia, February 2018 to October 2019.**

304
305 A) Stages 1 podoconiosis: Basket woven hyperkeratosis, acanthosis, and ectatic and dilated
306 lymphatic vessels (40X magnification). B) Stages 2 podoconiosis: Compact hyperkeratosis and
307 acanthosis with elongated rete ridges, sclerosis with numerous linear, perpendicular arranged
308 capillaries in the papillary dermis (20X magnification). C) Stages 3 podoconiosis: Verrucous
309 hyperplasia of the epidermis (40X magnification). D) Stage 3 and 4 podoconiosis: Dense dermal
310 infiltrate with lymphocyte, histocyte and plasma cells (100X magnification). E) Stage 3
311 podoconiosis: Compact hyperkeratosis and acanthotic epidermis with increased dermal
312 vasculature (20X magnification). F) Stage 4 podoconiosis: Sclerosis vessel with perivascular
313 infiltrate (100X magnification). G) Stage 4 podoconiosis: Dilated eccrine gland with area of
314 sclerosis around the gland (100X magnification). H) Stage 5 podoconiosis: Sclerosis dermis
315 (both papillary and reticular) with loss of adnexal structure and vasculatures (20X
316 magnification).

317

318 **Discussion**

319 We describe here the different clinical patterns, associated clinical presentations and
320 histopathologic presentations of podoconiosis. Based on his outpatient clinic experience, EW Price
321 in the 1980s described some of the clinical patterns, but this knowledge needed a timely update
322 and validation by community based studies [1]. All the other recent studies on clinical
323 presentations are based on case reports, lack photography or use inadequate terms or morphologic
324 descriptions [2, 9-11, 23-26]. Given the large number (based on an initial power calculation) and

325 representative distribution of participants, we feel confident that the clinical and histopathological
326 findings presented here truly reflect the podoconiosis diseases spectrum.

327 Nodules can occur from stage 2 onwards and 39.4% of our patients had nodules on the feet or
328 lower legs. The programmatic implication of nodules on the foot is that most of those patients
329 require surgical intervention [13, 27, 28]. In previous publications, oedema in podoconiosis was
330 considered not to extend above the knee [12, 28, 29]. However, in our study 4 (1.4%) patients had
331 swelling above the knee (stage 4 disease). Documentation from the 1990s reported that 2.4% of
332 podoconiosis patients had above-knee lymphoedema and other recent studies, reported 5.9%-18%
333 of patients with stage 4 podoconiosis [9, 10, 23].

334 In most studies, the standard description of podoconiosis is that it results in “progressive bilateral
335 swelling of the lower legs” and most studies that have documented stages of podoconiosis have
336 failed to explore on the evidence of the variation/symmetry of involvement [1, 2, 8, 10]. This study
337 has showed that asymmetry in leg involvement is common as far as the type of lymphoedema and
338 hyperkeratosis between both legs involved. These findings suggest that even though podoconiosis
339 is linked to genetic factors, the type of lymphoedema manifested is unlikely to be genetically
340 linked. The finding also poses a question of why such significant asymmetric manifestations
341 should have an environmental cause, if both feet are exposed equally to the same environment.

342 Price stated that most patients presented late [1]. The late presentation in our study also suggests
343 that the structural and individual barriers preventing patients seeking care need to be identified and
344 addressed. Stigma could be an important factor in late presentations. Like many other studies [30-
345 32], we found a large proportion of patients to have a family history of podoconiosis. Family
346 history of similar illness is an important factor in differentiating podoconiosis from lymphatic
347 filariasis.

348 In our study, 77.5% of patients had hyperkeratotic papules in a ‘slipper’ distribution on the feet.
349 Other studies reported a wide variation (38.5 to 97.95) in the clinical presentation [9, 10, 33, 34].
350 From our clinical observation, hyperkeratotic papules are common in podoconiosis with no
351 previous treatment history and among those who do not wear protective shoes consistently. The
352 wide variation in prevalence seen across the different studies may be explained by the absence of
353 a standard clinical definition and/or the limited clinical experience of data collectors.
354 Distinguishing the characteristics by disease stage can help the clinical management of
355 podoconiosis, such as the choice of treatment option, and follow-up after treatment. Moreover,
356 clear disease staging criteria are critical to further our understanding of podoconiosis
357 pathophysiology, compile evidence-based prevention measures and as endpoints in the
358 evaluation of innovative therapies. The existing podoconiosis staging criteria has been used since
359 2008 [6]. Considering the findings in this article, we recommend revision of the clinical staging.
360 In the new staging criteria in addition to clarification/rephrasing the existing clinical stages we
361 highlighted the necessary criteria for each stage, removed the redundancy and included
362 additional clinical findings (Annex A, 2).
363 As to the prevalence of ulcers, similar inconsistencies with earlier literature were found. In our
364 study 9.7% of the patients had an associated leg ulcer. One study in West Ethiopia documented
365 37.7% of patients examined to have associated ulcers [10], but another study in the same
366 population reported 7.2% of patients to have leg ulcers [32]. An epidemiological study in Northern
367 Ethiopia reported that 53% of patients had an ulcer [9].
368 The most disabling problem associated with podoconiosis is ADLA, which needs emergency
369 medical intervention and prevention strategies. About 86% of the cases in our study had at least
370 one episode of ADLA during the three-month period preceding the date of examination. ADLA

371 frequency was significantly higher in those with stage 3-5 podoconiosis and those with associated
372 interdigital fungal infection. The frequency of ADLA varies in studies from 5 to 23.3 episodes per
373 year [9-11] and interdigital lesions were found to be the main risk factor [34]. One factor
374 explaining this wide variation in reported episodes may be recall bias. We tried to minimize this
375 by asking the history over the last 3 months instead of one year. ADLA frequency data would be
376 much more accurate if the study was prospective. More importantly, in almost all previous studies
377 which have documented ADLA, an inadequate case definition (a reddish, hot, swollen leg with a
378 painful groin) has been used, or the case definition is not clear [9-11]. In our study comprising
379 patients with skin of colour, exfoliative dermatitis was the dominant clinical finding. Whereas
380 most studies use erythema/red skin as hallmark sign for ADLA, this is not helpful in the
381 dermatologic evaluations of individuals with a skin of colour [35]. The reported frequency of
382 ADLA episodes in lymphoedema patients with podoconiosis is much more frequent than that of
383 lymphoedema secondary to lymphatic filariasis [36] suggesting the need for public health
384 programs to improve access to prevention and case management.

385 Histopathologic presentations of podoconiosis have a lot of similarity to those seen in longstanding
386 filarial lymphoedema in regard to epidermal and dermal thickening, epidermal verrucous
387 acanthosis and sclerosis [15, 37-38]. However, in podoconiosis, infiltrates consisted
388 predominantly of mast cells, plasma cells and lymphoplasmacytic cells, while lymphocytes
389 predominate the infiltrates in filariasis and mast cells have not been emphasised in this condition
390 [37]. Our previous study on the histology of nodules from podoconiosis patients demonstrated
391 similar findings [13]. Mast cells are commonly seen in fibrotic skin disorders, and it has been
392 hypothesized that they may be involved in the pathogenesis of fibrosis [39]. Podoconiosis also
393 differs from filariasis in terms of vascular abnormalities. While filariasis shows dilated and

394 tortuous lymphatics, in stages 3-5podoconiosis, the lymphatics are reduced and blood vessels are
395 dilated, increased and often show a sclerotic wall [38-41]. In stage 1-2 podoconiosis, the cellular
396 infiltrates are much more intense, probably showing a more active inflammatory process.
397 Compared to the advanced stages of podoconiosis, in stages 1-2, the lymphatic vessels were more
398 numerous and dilated, with indication of collateral formation. The reduction of lymphatic vessels,
399 sclerotic blood and lymphatic vessel walls, eccrine ductal hyperplasia, thickening of collagen and
400 sclerotic connective tissue are signs of a fully developed stage of the disease.

401 The strengths of this study are that each patient was evaluated by an expert dermatologist, and that
402 the description of the clinical pattern was made based on the morphology. The clinical findings of
403 a large number of mostly untreated patients with different stages of the disease were systematically
404 documented and revision of the staging criteria was recommended. This is also the first study into
405 the histopathologic substrate of the various podoconiosis stages, allowing a better understanding
406 of the spectrum of clinical presentations and to correlate it with associated histopathology,
407 morbidities and severity.

408 One limitation of this study is that patients were recruited from the treatment centre and hence
409 only give a picture of podoconiosis patients seeking health care, and may not represent those who
410 do not have access to or knowledge about the existence of such service. As information on the
411 clinical history was collected retrospectively by patient interview, there may be some recall bias
412 especially on the duration of the disease or the date of the first symptoms. The newly suggested
413 clinical staging also needs to be field tested.

414 The findings of this study emphasize the need for standardization of clinical presentations of
415 podoconiosis, both in clinical and research settings. Second, the detailed morphologic description
416 of the disease with histopathological correlates is expected to serve as a reference for clinicians

417 for diagnosis and management. This information will also be important for public health in
418 budgeting, resource allocation, and monitoring, but also in the design of awareness and prevention
419 campaigns.

420

421 **Conclusion**

422 The clinical features of podoconiosis include bilateral but mostly asymmetrical leg lymphoedema.
423 Clinically, symptoms of stages 1 & 2 podoconiosis are a burning sensation or/and itching of the
424 forefoot, splaying of the forefoot, plantar oedema, hyperkeratosis and increased skin markings.
425 Later, debilitating bilateral lymphoedema of the lower leg occurs with or without skin changes
426 such as hyperkeratosis, nodules and ‘mossy’ papillomatosis. Accompanying oedema may be either
427 soft or hard and fibrotic. Two out of five patients have multiple smooth surface or hyperkeratotic
428 nodules or tumours dominantly on the dorsum of the foot extending to the ankle area. Other clinical
429 variants are unilateral involvement, toe fusion, toe resorption, maceration, and ulcerative
430 podoconiosis. The histopathologic changes demonstrate broad similarities with the
431 lymphoedematous stage of filariasis but also have some differences in the vascular abnormalities
432 and the type of infiltrates.

433 We suggest that further research includes the establishment of prospective cohorts, population
434 based epidemiological studies, field testing of the revised staging and more in-depth histological
435 studies to further the understanding of the pathogenesis of podoconiosis, including potential
436 infectious associations.

437

438 **Acknowledgments**

439 We thank all the study participants in Durbete for their willingness to participate in this study.
440 We also acknowledge senior staff and residents (Dr. Asressie Mamo, Dr. Debas Tesfa, Dr.
441 Wosen Ketema, and Dr. Yared Getachew) of the dermatovenerology department in Bahir Dar
442 University College of Medicine and Health Sciences for their contribution on the review and
443 description of patient's clinical presentation photographs.

444

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563

564 **Supporting Information**

- 565 SI. 1, Annex A, Clinical staging
- 566 SI 2, Annex B , Operational definitions
- 567 SI 3, Procedures and variables
- 568 SI 3, Striking picture